

MAPPING CLASS GROUPS OF h -COBORDANT MANIFOLDS

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ABSTRACT. We prove that the mapping class group is not an h -cobordism invariant of high-dimensional manifolds by exhibiting h -cobordant manifolds whose mapping class groups have different cardinalities. In order to do so, we introduce a moduli space of " h -block" bundles and understand its difference with the moduli space of ordinary block bundles.

1. INTRODUCTION

Automorphism groups of manifolds have been subject to extensive research in algebraic and geometric topology. Inspired by the study of how different h -cobordant manifolds can be (see e.g. [JK15, JK18]), in the present paper we investigate the question of how automorphism groups of manifolds can vary within a fixed h -cobordism class. Namely: given an h -cobordism W^{d+1} between (closed) smooth¹ manifolds M and M' of dimension $d \geq 0$, how different can the homotopy types of the diffeomorphism groups $\text{Diff}(M)$ and $\text{Diff}(M')$ be?

Certain analogues of this question have led to invariance-type results. Dwyer and Szczarba [DS83, Cor. 2] proved that when $d \neq 4$, the rational homotopy type of the identity component $\text{Diff}_0(M) \subset \text{Diff}(M)$ does not change as M^d varies within a fixed homeomorphism class of smooth manifolds. Krannich [Kra19, Thm. A] gave another instance of such a result, showing that when $d = 2k \geq 6$ and M^d is closed, oriented and simply connected, the rational homology of $B\text{Diff}^+(M)$ in a range is insensitive to replacing M by $M\#\Sigma$, for Σ any homotopy d -sphere.

Our main result is, however, that the homotopy type of the diffeomorphism group does sometimes depend on the h -cobordant representative of the argument. Let $\Gamma(M)$ denote the *mapping class group* of M —the group of isotopy classes of diffeomorphisms of M , i.e., $\Gamma(M) := \pi_0(\text{Diff}(M))$. The *block mapping class group* $\tilde{\Gamma}(M)$ is the quotient of $\Gamma(M)$ by the normal subgroup of those classes of diffeomorphisms which are pseudoisotopic to the identity.

Theorem A. *In each dimension $d = 12k - 1 \geq 0$, there exist d -manifolds M^d h -cobordant to the lens space $L = L_7^{12k-1}(r_1 : \dots : r_{6k})$, where*

$$r_1 = \dots = r_k = 1, \quad r_{k+1} = \dots = r_{2k} = 2, \quad \dots \quad r_{5k+1} = \dots = r_{6k} = 6 \pmod{7},$$

such that

- (i) $\tilde{\Gamma}(L)$ and $\tilde{\Gamma}(M)$ are finite groups with cardinalities of different 3-adic valuations,
- (ii) $\Gamma(L)$ and $\Gamma(M)$ are finite groups with cardinalities of different 3-adic valuations.

Remark 1.1. For an oriented connected manifold M , there are orientation preserving mapping class groups $\Gamma^+(M)$ and $\tilde{\Gamma}^+(M)$, which have index one or two inside the whole mapping class groups $\Gamma(M)$ and $\tilde{\Gamma}(M)$, respectively. Therefore, the conclusions of Theorem A also hold for $\tilde{\Gamma}^+(-)$ and $\Gamma^+(-)$.

Remark 1.2. Theorem A(i) is the best possible result in the following sense: let $\widetilde{\text{Diff}}(M)$ denote the semi-simplicial group of *block diffeomorphisms* of M (cf. [BLR06, p. 20] or [ERW14, Defn. 2.1]), whose p -simplices consist of diffeomorphisms $\phi : M \times \Delta^p \xrightarrow{\cong} M \times \Delta^p$ which are face-preserving (i.e. for every face $\sigma \subset \Delta^p$, ϕ restricts to a diffeomorphism of $M \times \sigma$). Then we have $\tilde{\Gamma}(M) = \pi_0(\widetilde{\text{Diff}}(M))$. The restriction map $\rho_M : \text{Diff}(W) \longrightarrow \widetilde{\text{Diff}}(M)$ is a

2020 *Mathematics Subject Classification.* 57R80, 55R60, 57S05, 57N37, 57Q10.

¹We will work in the smooth setting for notational preference, but all of the results in this paper are equally valid for the topological and PL categories. See Remarks 4.11 and 5.4 for modified arguments when $CAT = \text{Top}$ and PL .

fibration with fibre $\widetilde{\text{Diff}}_M(W)$, the subspace of block diffeomorphisms of W which fix pointwise a neighbourhood of $M \subset W$. By the s -cobordism theorem (see Theorem 2.1 below), there exists some h -cobordism $-W$ from M' to M such that $W \cup_{M'} -W \cong M \times I$ and $-W \cup_M W \cong M' \times I$. Then the (semi-simplicial) group homomorphisms

$$\begin{aligned} \text{Id}_W \cup_{M'} - : \widetilde{C}(M') &:= \widetilde{\text{Diff}}_{M' \times \{0\}}(M' \times I) \longrightarrow \widetilde{\text{Diff}}_M(W), \\ \text{Id}_{-W} \cup_M - : \widetilde{\text{Diff}}_M(W) &\longrightarrow \widetilde{\text{Diff}}_{M'}(-W \cup_M W) \cong \widetilde{C}(M') \end{aligned}$$

are easily seen to be homotopy inverse to each other. But the semi-simplicial group $\widetilde{C}(M')$ of *block concordances* of M' is contractible (cf. [BLR06, Lem. 2.1]), and therefore ρ_M induces an equivalence onto the components that it hits, and similarly for $\rho_{M'}$. In other words, the classifying spaces $B\widetilde{\text{Diff}}(M)$ and $B\widetilde{\text{Diff}}(M')$ share the same universal cover, so

$$\pi_i(\widetilde{\text{Diff}}(M)) \cong \pi_i(\widetilde{\text{Diff}}(M')), \quad i \geq 1.$$

Recall that for $d \geq 5$, the *Whitehead group* $\text{Wh}(M)$ of a compact d -manifold M (see Section 2.1) classifies isomorphism classes of h -cobordisms starting at M (see Theorem 2.1). This same group has an involution denoted $\tau \mapsto \bar{\tau}$ which, roughly speaking and up to a factor of $(-1)^d$, corresponds to reversing the direction of an h -cobordism (see (2.4)).

In Section 3 we will introduce the h - and s -*block moduli spaces*, $\widetilde{\mathcal{M}}^h$ and $\widetilde{\mathcal{M}}^s$ respectively, whose vertices (as semi-simplicial sets) are the smooth closed d -manifolds, for some fixed integer $d \geq 0$. A path in the former (resp. latter) space between d -manifolds M and M' is exactly an h -cobordism $W : M \xrightarrow{h} M'$ (resp. an s -cobordism $W : M \xrightarrow{s} M'$, i.e., an h -cobordism with vanishing Whitehead torsion (see Section 2.2)). The s -block moduli space $\widetilde{\mathcal{M}}^s$ is, somewhat in disguise, a known object; in Proposition 3.6 we identify the path-component of M^d in $\widetilde{\mathcal{M}}^s$ with $B\widetilde{\text{Diff}}(M)$, the classifying space for the simplicial group of block diffeomorphisms of M .

The second main result we state arises as part of the proof of Theorem A, but may be of independent interest: there is a natural inclusion $\widetilde{\mathcal{M}}^s \hookrightarrow \widetilde{\mathcal{M}}^h$ which forgets the simpleness condition. We identify the homotopy fibre of this inclusion (i.e. the difference between the h - and s -block moduli spaces) as a certain infinite loop space.

Theorem B. *Let M be a closed d -dimensional manifold, and let $C_2 := \{e, t\}$ act on the Whitehead group $\text{Wh}(M)$ by $t \cdot \tau := (-1)^{d-1} \bar{\tau}$. Write $H\text{Wh}(M)$ for the Eilenberg–MacLane spectrum associated to $\text{Wh}(M)$, and let $H\text{Wh}(M)_{hC_2} := H\text{Wh}(M) \wedge_{C_2} (EC_2)_+$ stand for the homotopy C_2 -orbits of $H\text{Wh}(M)$. For $d \geq 5$, there is a homotopy cartesian square*

$$\begin{array}{ccc} \Omega^\infty(H\text{Wh}(M)_{hC_2}) & \longrightarrow & \widetilde{\mathcal{M}}^s \\ \downarrow & \lrcorner & \downarrow \\ \{M^d\} & \longrightarrow & \widetilde{\mathcal{M}}^h, \end{array}$$

where the lower horizontal map is the inclusion of M as a point in $\widetilde{\mathcal{M}}^h$.

As we will explain in Section 3.3, this result is intimately tied to the *Rothenberg exact sequence* [Ran81, Prop. 1.10.1].

Structure of the paper. Section 2 serves as a reminder to the reader of the s -cobordism theorem and some of the properties of *Whitehead torsion*.

In Section 3 we prove Theorem B. It boils down to arguing that certain simplicial abelian group $F^{\text{alg}}(A)$ corresponds to the Eilenberg–MacLane spectrum HA_{hC_2} under the Dold–Kan correspondence (see Theorem 3.10).

Section 4 deals with part (i) of Theorem A, which is proved in Theorem 4.6. We analyse the lower degree part of the homotopy long exact sequence associated to the homotopy pullback

square of Theorem B. The proof of Theorem A(ii) builds on part (i) and pseudoisotopy theory, and comprises Section 5.

Appendix A is an algebraic K -theory computation required for Sections 4 and 5. Appendix B explores the connection between Theorem B and the theory of Weiss–Williams [WW88].

Acknowledgements. The author is immensely grateful to his Ph.D. supervisor Prof. Oscar Randal-Williams for suggesting this problem and for the many illuminating discussions that have benefited this work. The author would also like to thank the EPSRC for supporting him with a Ph.D. Studentship, grant no. 2597647.

2. NOTATION AND RECOLLECTIONS

All manifolds will be assumed to be compact and smooth (possibly with corners).

2.1. Whitehead Torsion. The *Whitehead group* of (π, w) [Mil66, §6], where π is a group and $w : \pi \rightarrow C_2 = \{\pm 1\}$ is a homomorphism, is the (abelian) group

$$\mathrm{Wh}(\pi, w) := GL(\mathbb{Z}\pi)^{\mathrm{ab}}/(\pm\pi)$$

equipped with the following involution: the anti-involution on the group ring $\mathbb{Z}\pi$ given by

$$a = \sum_{g \in \pi} a_g \cdot g \longmapsto \bar{a} := \sum_{g \in \pi} w(g) a_g \cdot g^{-1}, \quad a_g \in \mathbb{Z},$$

induces an involution on $\mathrm{Wh}(\pi, w)$ by sending an element represented by a matrix $\tau = (\tau_{ij})$ to its conjugate transpose $\bar{\tau} := (\bar{\tau}_{ji})$. We will refer to this involution as the *algebraic involution* on $\mathrm{Wh}(\pi, w)$. We will write $\mathrm{Wh}(\pi)$ for $\mathrm{Wh}(\pi, w)$ if w is the trivial homomorphism, or if we are simply disregarding this involution. If X is a finite CW-complex with a choice of basepoint in each of its connected components, the *Whitehead group* of X is

$$\mathrm{Wh}(X) := \bigoplus_{X_j \in \pi_0(X)} \mathrm{Wh}(\pi_1(X_j)).$$

If $X = M$ is moreover a manifold, the algebraic involution on $\mathrm{Wh}(M)$ is that induced by $w = w_1(M) \in H^1(M; \mathbb{Z}/2)$, the first Stiefel–Whitney class of M .

Given a homotopy equivalence between finite pointed CW-complexes $f : X \xrightarrow{\cong} Y$, we will denote by $\tau(f) \in \mathrm{Wh}(X)$ its (*Whitehead torsion*) [Mil66, §7]. It only depends on f up to homotopy [Mil66, Lem. 7.7]. Let us collect a few properties of the Whitehead torsion $\tau(-)$ that we will use throughout the paper:

- *Composition rule:* $\tau(-)$ is a crossed homomorphism in the sense that if $f : X \xrightarrow{\cong} Y$ and $g : Y \xrightarrow{\cong} Z$ are homotopy equivalences, then [Mil66, Lem. 7.8]

$$(2.1) \quad \tau(g \circ f) = \tau(f) + f_*^{-1} \tau(g),$$

where $f_* : \mathrm{Wh}(X) \xrightarrow{\cong} \mathrm{Wh}(Y)$ is the natural isomorphism induced by $\pi_1(f) : \pi_1(X) \xrightarrow{\cong} \pi_1(Y)$.

- *Inclusion-exclusion principle:* if $X = X_0 \cup X_1$ and $Y = Y_0 \cup Y_1$, where $X_0, X_1, Y_0, Y_1, X_{01} := X_0 \cap X_1$ and $Y_{01} := Y_0 \cap Y_1$ are all finite CW-complexes, and

$$f_0 : X_0 \xrightarrow{\cong} Y_0, \quad f_1 : X_1 \xrightarrow{\cong} Y_1, \quad f_{01} = f_0 \cap f_1 : X_{01} \xrightarrow{\cong} Y_{01},$$

are homotopy equivalences, then the torsion of the homotopy equivalence $f = f_0 \cup f_1 : X \xrightarrow{\cong} Y$ is [Coh73, Thm. 23.1]

$$(2.2) \quad \tau(f) = (i_0)_* \tau(f_0) + (i_1)_* \tau(f_1) - (i_{01})_* \tau(f_{01}) \in \mathrm{Wh}(X),$$

where $i_0 : X_0 \hookrightarrow X$, $i_1 : X_1 \hookrightarrow X$ and $i_{01} : X_{01} \hookrightarrow X$ are the inclusions.

- *Product rule:* $\tau(-)$ is multiplicative with respect to the Euler characteristic in the sense that for any homotopy equivalence $f : X \xrightarrow{\simeq} Y$ and any finite connected CW-complex K with basepoint $* \in K$ [Coh73, Thm. 23.2],

$$(2.3) \quad \tau(f \times \text{id}_K) = \chi(K) \cdot i_* \tau(f) \in \text{Wh}(X \times K),$$

where $i : X \cong X \times \{*\} \hookrightarrow X \times K$ is the inclusion.

A homotopy equivalence f as above is said to be *simple*, and denoted $f : X \xrightarrow{\simeq_s} Y$, if $\tau(f) = 0$. We will write $s\text{Aut}(X) \subset h\text{Aut}(X)$ for the topological submonoid (see (2.1)) of simple homotopy automorphisms of X .

2.2. The s -cobordism theorem. Let M^d be a smooth compact manifold of dimension d . A *cobordism from M rel ∂M* is a triple $(W; M, M')$, also written as $W : M \rightsquigarrow M'$, consisting of a $(d + 1)$ -manifold W^{d+1} with boundary

$$\partial W \cong M \cup M' \cup (\partial M \times [0, 1])$$

so that $M \cap (\partial M \times [0, 1]) = \partial M \times \{0\}$ and $M' \cap (\partial M \times [0, 1]) = \partial M \times \{1\}$ (in particular $\partial M' = \partial M$). Cobordisms are often accompanied with an additional data of *collars*, i.e., open neighbourhoods of M and M' in W diffeomorphic to $M \times [0, \epsilon)$ and $M' \times (1 - \epsilon, 1]$ (rel $\partial M \times I$) for some small $\epsilon > 0$, but the choice of such is contractible. If $\partial M = \emptyset$, this coincides with the usual notion of a cobordism between closed manifolds. Such a cobordism is called an *h -cobordism* if the inclusions $i_M : (M, \partial M) \rightarrow (W, \partial M \times I)$ and $i_{M'} : (M', \partial M') \rightarrow (W, \partial M \times I)$ are homotopy equivalences of pairs. In such case we will write $W : M \xrightarrow{h} M'$ to emphasise that W is an h -cobordism from M to M' . The *torsion* of W with respect to M is

$$\tau(W, M) := \tau(i_M) \in \text{Wh}(M).$$

If $\tau(W, M) = 0$, such an h -cobordism $W : M \xrightarrow{h} M'$ is said to be *simple* (or an *s -cobordism*), and denoted $W : M \xrightarrow{s} M'$. This definition does not depend on the direction of W since the torsion of an h -cobordism satisfies the *duality formula* [Mil66, §10]

$$(2.4) \quad \tau(W, M') = (-1)^d (h^W)_* \overline{\tau(W, M)}.$$

Here $h^W : M \simeq M'$ is the *natural homotopy equivalence*

$$(2.5) \quad h^W : M \xleftarrow[\simeq]{i_M} W \xrightarrow[\simeq]{r_{M'}} M',$$

where $r_{M'}$ is some homotopy inverse to $i_{M'}$ (so h^W is only well-defined up to homotopy).

Due to the composition rule (2.1), the torsion of an h -cobordism is nearly additive with respect to composition: namely if $W : M \xrightarrow{h} M'$ and $W' : M' \xrightarrow{h} M''$ are h -cobordisms, we write $W' \circ W : M \xrightarrow{h} M''$ for the h -cobordism $W \cup_{M'} W'$, which can be made smooth by pasting along collars. Then

$$(2.6) \quad \tau(W' \circ W, M) = \tau(W, M) + (h^W)_*^{-1} \tau(W', M').$$

Let $h\text{Cob}_\partial(M)$ denote the set of h -cobordisms rel boundary starting at M , up to diffeomorphism rel M . We will use the following a great deal [Maz63, Bar64].

Theorem 2.1 (*s -Cobordism Theorem rel boundary*). *If $d = \dim M \geq 5$, then there is a bijection*

$$h\text{Cob}_\partial(M) \longleftrightarrow \text{Wh}(M), \quad (W : M \xrightarrow{h} M') \longmapsto \tau(W, M).$$

3. THE BLOCK MODULI SPACES OF MANIFOLDS

As explained in the introduction, we now present the h - and s -block moduli spaces of manifolds, in which a path, i.e., a 1-simplex, is an h - or s -cobordism, respectively. To describe what higher-dimensional simplices should be we give the next definition, which is inspired by [HLLRW21, §2].

Definition 3.1. Fix once and for all some small $\epsilon > 0$. A compact smooth manifold with corners $W^{d+p} \subset \mathbb{R}^\infty \times \Delta^p$ is said to be **stratified over** Δ^p if:

- (i) W is a closed manifold if $p = 0$,
- (ii) W is transverse to $\mathbb{R}^\infty \times \sigma$ for every proper face $\sigma \subset \Delta^p$ and $W_\sigma := W \cap (\mathbb{R}^\infty \times \sigma)$ is a $(d + \dim \sigma)$ -dimensional manifold stratified over $\Delta^{\dim \sigma} \cong \sigma$,
- (iii) W satisfies the ϵ -collaring conditions of [HLLRW21, Defn. 2.3.1.(ii)].

We will write $W^{d+p} \Rightarrow \Delta^p$ for such a manifold. A map $f : W \rightarrow V$ between manifolds stratified over Δ^p is said to be **face-preserving**, and denoted $f : W \rightarrow_\Delta V$, if for every face $\sigma \subset \Delta^p$ we have $f(W_\sigma) \subset V_\sigma$ and f satisfies certain collaring conditions (namely f must be the product $f_\sigma \times \text{Id}$ in the ϵ -neighbourhood of the strata W_σ , where $f_\sigma := f|_{W_\sigma}$). If moreover f_σ is a homotopy equivalence, simple homotopy equivalence or diffeomorphism for all $\sigma \subset \Delta^p$, we will write $f : W \xrightarrow{\spadesuit} \Delta V$ for $\spadesuit = \simeq_h, \simeq_s$ or \cong , respectively.

Notation 3.2. Let $\Lambda_i^p \subset \Delta^p$ denote the i -th horn of Δ^p ($i = 0, \dots, p$).

- If $0 \leq i_0 < \dots < i_r \leq p$, we write $\langle i_0, \dots, i_r \rangle \subset \Delta^p$ for the face spanned by the vertices $i_0, \dots, i_r \in \Delta^p$.
- If W is stratified over Δ^p , we will often write $\partial_i W$ for $W_{\langle 0, \dots, \widehat{i}, \dots, p \rangle}$ and W_i for $W_{\langle i \rangle}$. For instance, $\langle 0, \dots, \widehat{i}, \dots, p \rangle \equiv \partial_i \Delta^p \subset \Delta^p$.
- If $K \subset \Delta^p$ is a simplicial sub-complex, we will write W_K for $W \cap (\mathbb{R}^\infty \times K)$. In the particular case that $K = \Lambda_i^p$, we set $\Lambda_i(W) := W_{\Lambda_i^p}$. For instance, if $\sigma \subset \Delta^p$ is some face, $\Lambda_i(\sigma)$ denotes the i -th horn of σ ($i = 0, \dots, \dim \sigma$).
- If $f : W \rightarrow_\Delta V$ is face-preserving, we will write $\partial_i f$ for $f_{\partial_i \Delta^p} = f|_{\partial_i W}$.

Example 3.3. A cobordism $W^{d+1} : M \rightsquigarrow M'$ between closed manifolds M and M' is always diffeomorphic to a manifold $W' \subset \mathbb{R}^\infty \times \Delta^1$ stratified over Δ^1 with $W'_0 \cong M$ and $W'_1 \cong M'$.

Definition 3.4. Fix some integer $d \geq 0$. The **h -block moduli space of d -manifolds** is the semi-simplicial set $\widetilde{\mathcal{M}}_\bullet^h$ with p -simplices

$$(3.1) \quad \widetilde{\mathcal{M}}_p^h := \left\{ \begin{array}{c} W^{d+p} \\ \Downarrow \\ \Delta^p \end{array} : \exists f : W \xrightarrow{\simeq_h} \Delta W_0 \times \Delta^p \right\},$$

and with face maps given by restriction to face-strata

$$\partial_i : \widetilde{\mathcal{M}}_p^h \longrightarrow \widetilde{\mathcal{M}}_{p-1}^h, \quad \begin{array}{ccc} W^{d+p} & & \partial_i W^{d+p} \\ \Downarrow & \longmapsto & \Downarrow \\ \Delta^p & & \partial_i \Delta^p \cong \Delta^{p-1}, \end{array} \quad i = 0, \dots, p.$$

The **s -block moduli space of d -manifolds** $\widetilde{\mathcal{M}}_\bullet^s$ is its simple analogue, where \simeq_h in (3.1) is replaced by \simeq_s , and has a natural inclusion $\widetilde{\mathcal{M}}_\bullet^s \hookrightarrow \widetilde{\mathcal{M}}_\bullet^h$. We will let $\widetilde{\mathcal{M}}^h$ and $\widetilde{\mathcal{M}}^s$ denote the geometric realisations $|\widetilde{\mathcal{M}}_\bullet^h|$ and $|\widetilde{\mathcal{M}}_\bullet^s|$, respectively.

Remark 3.5. Under the conditions of Definition 3.1, the semi-simplicial sets $\widetilde{\mathcal{M}}_\bullet^h$ and $\widetilde{\mathcal{M}}_\bullet^s$ are Kan, as remarked right after [HLLRW21, Defn. 2.3.1].

The next two subsections are devoted to prove Theorem B. But first, we study the s -block moduli space $\widetilde{\mathcal{M}}^s$ more closely. We recall that the classifying space $B\widetilde{\text{Diff}}(M)$ for the simplicial group of block diffeomorphisms has a semi-simplicial model (see e.g. [ERW14]) in which the p -simplices are

$$B\widetilde{\text{Diff}}(M)_p = \left\{ \begin{array}{c} W^{d+p} \\ \Downarrow \\ \Delta^p \end{array} : \exists \phi : W \xrightarrow{\cong} \Delta M \times \Delta^p \right\},$$

and therefore there is a forgetful inclusion $B\widetilde{\text{Diff}}(M) \hookrightarrow \widetilde{\mathcal{M}}^s$.

Proposition 3.6. *For $d \geq 5$, there is a decomposition of \mathcal{M}^s into connected components*

$$(3.2) \quad \widetilde{\mathcal{M}}^s = \bigsqcup_{\substack{[M^d] \text{ up} \\ \text{to } s\text{-cob.}}} \widetilde{BDiff}(M) = \bigsqcup_{\substack{[M^d] \text{ up} \\ \text{to diffeo.}}} \widetilde{BDiff}(M).$$

In order to prove this proposition, we will need the following simple observation.

Lemma 3.7. *Let $W^{d+p} \Rightarrow \Delta^p$ represent a p -simplex in $\widetilde{\mathcal{M}}^s$. For every face inclusion $\xi \subset \sigma \subset \Delta^p$, the map $W_\xi \hookrightarrow W_\sigma$ is a simple homotopy equivalence. In particular if $p = 1$, W is an s -cobordism from W_0 to W_1 .*

Proof. Let $f : W \xrightarrow{\cong_s} \Delta W_0 \times \Delta^p$ be some face-preserving simple homotopy equivalence. The inclusion $W_\xi \hookrightarrow W_\sigma$ is homotopic to a composition of simple maps

$$W_\xi \xrightarrow{f_\xi} W_0 \times \xi \hookrightarrow W_0 \times \sigma \xrightarrow{f_\sigma^{-1}} W_\sigma,$$

where f_σ^{-1} is any homotopy inverse to f_σ . Therefore it is also simple. \square

Proof of Proposition 3.6. For a closed manifold M^d , let $\widetilde{\mathcal{M}}^s(M)$ denote the path-component of M in $\widetilde{\mathcal{M}}^s$. We only have to argue that $\widetilde{\mathcal{M}}^s(M) \subset \widetilde{BDiff}(M)$, which is the following claim when $r = -1$.

Claim. *Let $W \in \widetilde{\mathcal{M}}_p^s(M)$ and suppose that for some $-1 \leq r \leq p - 1$, there exist face-preserving diffeomorphisms*

$$\phi_i : \partial_i W \xrightarrow{\cong} \Delta M \times \Delta^{p-1}, \quad 0 \leq i \leq r,$$

such that $\partial_i \phi_j = \partial_{j-1} \phi_i$ for $0 \leq i < j \leq r$. Then there exists some face-preserving diffeomorphism $\phi : W \xrightarrow{\cong} \Delta M \times \Delta^p$ such that $\partial_i \phi = \phi_i$ for $0 \leq i \leq r$. In particular $W \in \widetilde{BDiff}(M)_p$.

Proceed by induction on $p \geq 0$. The statement is vacuous when $p = 0$, and it holds by Lemma 3.7 and the s -cobordism theorem when $p = 1$. Suppose that the claim is true for $p - 1 \geq 0$. By the induction hypothesis, we can find diffeomorphisms $\phi_i : \partial_i W \xrightarrow{\cong} \Delta M \times \Delta^{p-1}$ for $0 \leq i \leq p - 1$ such that $\partial_i \phi_j = \partial_{j-1} \phi_i$ for $0 \leq i < j \leq p - 1$. By pasting these together, we obtain a (face-preserving) diffeomorphism $\Lambda_0(\phi) : \Lambda_0(W) \xrightarrow{\cong} \Delta M \times \Delta_0^p$. Now by Lemma 3.7 and the inclusion-exclusion principle (2.2), the inclusion $\Lambda_0(W) \hookrightarrow W$ is a simple homotopy equivalence. Unbending the corners of $\Lambda_0(W)$, the s -cobordism theorem for manifolds with boundary (Theorem 2.1) provides a face-preserving diffeomorphism $\phi : W \xrightarrow{\cong} \Delta M \times \Delta^p$ extending $\Lambda_0(\phi)$, as required. \square

By analogy to (3.2), we define $\widetilde{BDiff}^h(M)$ to be the path-component of M in $\widetilde{\mathcal{M}}^h$, and so obtain a decomposition

$$(3.3) \quad \widetilde{\mathcal{M}}^h = \bigsqcup_{\substack{[M^d] \text{ up} \\ \text{to } h\text{-cob.}}} \widetilde{BDiff}^h(M).$$

Remark 3.8. The semi-simplicial sets $\widetilde{BDiff}(M)_\bullet$ and $\widetilde{BDiff}^h(M)_\bullet$ have M as their natural basepoint. If M and M' are s -cobordant, i.e. diffeomorphic (resp. h -cobordant), then $\widetilde{BDiff}(M)$ and $\widetilde{BDiff}(M')$ (resp. $\widetilde{BDiff}^h(M)$ and $\widetilde{BDiff}^h(M')$) are the same semi-simplicial set but equipped with different basepoints.

Digression 3.9. Let $G : \mathbf{sSet}_* \rightarrow \mathbf{sGrp}$ denote the Kan simplicial loop space functor [Kan58, §10 and 11]. As we will see in Remark 3.21, the semi-simplicial set $\widetilde{BDiff}^h(M)_\bullet$ can be upgraded to a simplicial set. The simplicial group $\widetilde{Diff}^h(M) := \widetilde{GBDiff}^h(M)$ has been studied in previous literature under different names [WW88, Appendix 5]. More precisely, if $d \geq 5$ then $\widetilde{Diff}^h(M^d)$ is weakly equivalent to $\widetilde{Diff}^b(M \times \mathbb{R})$, the space of block diffeomorphisms of $M \times \mathbb{R}$ bounded in

the functor τ satisfies the inclusion-exclusion principle (compare to (2.2)):

$$(3.7) \quad \tau(L, K) = \tau(L, K_0) + \tau(L, K_1) - \tau(L, K_{01}).$$

Proof. If $\tau \in \text{Fun}^\square(\text{SubComp}_p^{\simeq*}, A)$, then

$$\begin{aligned} \tau(L, K_0) + \tau(L, K_1) - \tau(L, K_{01}) &= \tau(L, K) + \tau(K, K_0) + \tau(L, K_1) - \tau(L, K_1) - \tau(K_1, K_{01}) \\ &= \tau(L, K), \end{aligned}$$

where the second line follows from (3.5). Conversely (3.5) follows from the inclusion-exclusion principle (3.7) applied to the diagram (3.6) with $L = K$, noting that $\tau(K, K) = 0$. \square

Observe that both $\text{Fun}(\text{SubComp}_p^{\simeq*}, A)$ and $\text{Fun}^\square(\text{SubComp}_p^{\simeq*}, A)$ are abelian groups under morphism-wise addition. Therefore, $\text{Fun}(\text{SubComp}_\bullet^{\simeq*}, A)$ defines a simplicial abelian group whose i -th face and degeneracy maps are $\partial_i^{\text{Fun}} := \text{Fun}(\partial^i, A)$ and $s_i^{\text{Fun}} := \text{Fun}(s^i, A)$, respectively.

The face maps ∂_i^{Fun} clearly descend to $\text{Fun}^\square(\text{SubComp}_\bullet^{\simeq*}, A)$, but it is not difficult to see that the degeneracies s_i^{Fun} fail to preserve this subgroup (e.g. for any non-zero $\tau \in \text{Fun}^\square(\text{SubComp}_1^{\simeq*}, A)$, $s_1^{\text{Fun}}\tau$ does not satisfy (3.5) for $K = \Lambda_2^2$, $K_0 = \partial_0\Delta^2$, $K_1 = \partial_1\Delta^2$ and $K_{01} = \langle 2 \rangle$). Let us construct a system of degeneracies s_i^\square for $\text{Fun}^\square(\text{SubComp}_\bullet^{\simeq*}, A)$ compatible with the face maps $\partial_i^\square \equiv \partial_i^{\text{Fun}}|_{\text{Fun}^\square}$ which makes it into a simplicial abelian group.

Consider the sub-cosimplicial poset $\text{Face}_\bullet \subset \text{SubComp}_\bullet^{\simeq*}$ consisting of those sub-complexes of Δ^\bullet which are faces, and write ι for the inclusion. The following result says that a functor in $\text{Fun}^\square(\text{SubComp}_\bullet^{\simeq*}, A)$ is completely determined by the torsion elements corresponding to face inclusions.

Lemma 3.13. *There is a natural isomorphism of semi-simplicial abelian groups*

$$\iota^* : \text{Fun}^\square(\text{SubComp}_\bullet^{\simeq*}, A) \cong \text{Fun}(\text{Face}_\bullet, A) : \iota_!$$

Therefore $\text{Fun}^\square(\text{SubComp}_\bullet^{\simeq*}, A)$ is a simplicial abelian group with degeneracy maps

$$s_i^\square := \iota_! \circ \text{Fun}(s^i, A) \circ \iota^* : \text{Fun}^\square(\text{SubComp}_\bullet^{\simeq*}, A) \rightarrow \text{Fun}^\square(\text{SubComp}_{\bullet+1}^{\simeq*}, A).$$

Proof. For $\tau \in \text{Fun}(\text{Face}_p, A)$, we first define $\iota_!\tau(L, K)$ for any inclusion of sub-complexes $K \subset L \subset \Delta^p$ in $\text{SubComp}_p^{\simeq*}$. Setting

$$(3.8) \quad \iota_!\tau(L, K) := \iota_!\tau(\Delta^p, K) - \iota_!\tau(\Delta^p, L),$$

it suffices to specify $\iota_!\tau(\Delta^p, K)$ for any $K \in \text{SubComp}_p^{\simeq*}$. This in turn is defined by induction on the dimension of the maximal face of Δ^p contained in K . Namely, suppose that $\iota_!\tau(\Delta^p, K)$ is given for any $K \in \text{SubComp}_p^{\simeq*}$ containing faces of dimension at most $0 \leq k-1 \leq p-1$. If $K \in \text{SubComp}_p^{\simeq*}$ contains faces of dimension at most k , $\sigma \in \text{Face}_p$ is a face with $\dim \sigma \geq k$ such that σ is not contained in K , and $K \cap \sigma \in \text{SubComp}_p^{\simeq*}$, then $K \cap \sigma$ only contains faces of dimension at most $k-1$. Thus define $\iota_!\tau(\Delta^p, K \cup \sigma)$ according to the inclusion-exclusion principle (3.7). Since any subcomplex of Δ^p is an iterated pushout of faces, we are done. We leave it to the reader to check that $\iota_!\tau$ is well-defined, i.e., that $\iota_!\tau(\Delta^p, K)$ does not depend on the order that faces are attached to build up K . By (3.8), $\iota_!\tau$ is functorial, and hence it defines an element in $\text{Fun}^\square(\text{SubComp}_p^{\simeq*}, A)$.

Clearly by construction ι^* and $\iota_!$ are maps of semi-simplicial abelian groups, which are inverse to each other. \square

A functor $\tau \in \text{Fun}(\text{SubComp}_p^{\simeq*}, A)$ will be said to satisfy *face-horn duality* for σ if

$$(3.9) \quad \tau(\sigma, \partial_i\sigma) = (-1)^{\dim \sigma} \tau^*(\sigma, \Lambda_i(\sigma)), \quad i = 0, \dots, \dim \sigma.$$

In the above notation, $\tau^*(L, K)$ stands for $(\tau(L, K))^*$. Write $D_p(A) \subset \text{Fun}(\text{SubComp}_p^{\simeq*}, A)$ for the subgroup of functors that satisfy face-horn duality for every face $\sigma \subset \Delta^p$, and let $D_\bullet(A) \subset \text{Fun}(\text{SubComp}_\bullet^{\simeq*}, A)$ denote the corresponding sub-semi-simplicial abelian group.

Remark 3.14. If $\tau \in D_p(A) \cap \text{Fun}^\square(\text{SubComp}_p^{\simeq*}, A)$, then τ satisfies more general sorts of dualities. For instance, if $\sigma \subset \Delta^p$ is a face and $0 \leq i < j \leq \dim \sigma$, then

$$\tau(\sigma, \partial_i \sigma \cup \partial_j \sigma) = (-1)^{\dim \sigma} \tau^*(\sigma, \partial \sigma \setminus \text{int}(\partial_i \sigma \cup \partial_j \sigma)).$$

This follows from the inclusion-exclusion principle (3.7) of Lemma 3.12 applied to $K = \partial_i \sigma \cup \partial_j \sigma$ and $\Lambda_i(\sigma) = (\partial \sigma \setminus \text{int}(\partial_i \sigma \cup \partial_j \sigma)) \cup \partial_j \sigma$, and $L = \sigma$. By induction, one can generalise this duality to any proper collection of faces $\partial_I \sigma := \bigcup_{i \in I} \partial_i \sigma$, $I \subsetneq \{0, \dots, \dim \sigma\}$:

$$(3.10) \quad \tau(\sigma, \partial_I \sigma) = (-1)^{\dim \sigma} \tau^*(\sigma, \partial_I \sigma), \quad J := \{0, \dots, \dim \sigma\} \setminus I.$$

Even more generally, if $K \in \text{SubComp}_p^{\simeq*}$ is a union of k -dimensional faces and $Q \subset \partial K$ is a contractible sub-complex which is a union of $(k-1)$ -dimensional faces, then

$$(3.11) \quad \tau(K, Q) = (-1)^k \tau^*(K, \partial K \setminus \text{int } Q).$$

We now give a simple inductive criterion to check if a functor satisfies all face-horn dualities.

Lemma 3.15. *Let $\tau \in \text{Fun}^\square(\text{SubComp}_p^{\simeq*}, A)$ satisfy face-horn duality for all $\sigma \neq \Delta^p$ and for the 0-th face-horn of Δ^p :*

$$\tau(\Delta^p, \partial_0 \Delta^p) = (-1)^p \tau^*(\Delta^p, \Lambda_0^p).$$

Then τ satisfies face-horn duality for Δ^p too, i.e., $\tau \in D_p(A)$.

Proof. For $i \in \{1, \dots, p\}$ denote Λ_{0i}^p for $\partial_{\{1, \dots, \widehat{i}, \dots, p\}} \Delta^p$, and consider the two pushout diagrams in $\text{SubComp}_p^{\simeq*}$

$$\begin{array}{ccc} \Lambda_0(\partial_i \Delta^p) & \longrightarrow & \Lambda_{0i}^p \\ \downarrow & \lrcorner & \downarrow \\ \partial_i \Delta^p & \longrightarrow & \Lambda_0^p \\ & \searrow & \searrow \\ & & \Delta^p \end{array} \quad \begin{array}{ccc} \Lambda_{i-1}(\partial_0 \Delta^p) & \longrightarrow & \partial_0 \Delta^p \\ \downarrow & \lrcorner & \downarrow \\ \Lambda_{0i}^p & \longrightarrow & \Lambda_i^p \\ & \searrow & \searrow \\ & & \Delta^p \end{array}$$

Note that $\Lambda_{i-1}(\partial_0 \Delta^p)$ is the union of the codimension one sub-faces of $\partial_0 \Delta^p = \langle 1, \dots, p \rangle \subset \Delta^p$ that contain the i -th vertex of Δ^p . We check directly that τ satisfies duality for the i -th face-horn using the inclusion-exclusion principle (3.7).

$$\begin{aligned} \tau(\Delta^p, \partial_i \Delta^p) &= \tau(\Delta^p, \Lambda_0^p) + \tau(\Lambda_{0i}^p, \partial_i \Delta^p) \\ &= (-1)^p \tau^*(\Delta^p, \partial_0 \Delta^p) + \tau(\Lambda_{0i}^p, \Lambda_0(\partial_i \Delta^p)) \\ &= (-1)^p \tau^*(\Delta^p, \Lambda_i^p) + (-1)^p \tau^*(\Lambda_i^p, \partial_0 \Delta^p) + (-1)^{p-1} \tau^*(\Lambda_{0i}^p, \Lambda_{i-1}(\partial_0 \Delta^p)) \\ &= (-1)^p \tau^*(\Delta^p, \Lambda_i^p). \end{aligned}$$

In the third line we have used (3.11) for $K = \Lambda_{0i}^p$ and $Q = \Lambda_0(\partial_i \Delta^p)$. \square

Finally, we write $Z_p(A) \subset \text{Fun}(\text{SubComp}_{p+1}^{\simeq*}, A)$ for the subgroup of functors τ such that

$$\tau(L, K) = 0, \quad \forall K \subset L \subset \langle 1, \dots, p+1 \rangle.$$

The assignment $[p] \mapsto Z_p(A)$ defines a semi-simplicial abelian group $Z_\bullet(A)$ whose i -th face map is the restriction of $\partial_{i+1}^{\text{Fun}}$ to $Z_p(A)$.

Definition 3.16. *The simplicial abelian group $F_p^{\text{alg}}(A) \subset \text{Fun}(\text{SubComp}_{p+1}^{\simeq*}, A)$ has as p -simplices*

$$F_p^{\text{alg}}(A) := Z_p(A) \cap D_{p+1}(A) \cap \text{Fun}^\square(\text{SubComp}_{p+1}^{\simeq*}, A),$$

as face maps $\delta_i : F_p^{\text{alg}}(A) \rightarrow F_{p-1}^{\text{alg}}(A)$ the restriction to $F_p^{\text{alg}}(A)$ of $\partial_{i+1}^{\text{Fun}}$, and as degeneracy maps $s_i : F_p^{\text{alg}}(A) \rightarrow F_{p+1}^{\text{alg}}(A)$ the restriction to $F_p^{\text{alg}}(A)$ of the map s_{i+1}^\square from Lemma 3.13.

Lemma 3.17. *$F_\bullet^{\text{alg}}(A)$ as defined above is a simplicial abelian group.*

Proof. The only non-trivial thing to check is that s_i sends $D_p(A)$ into $D_{p+1}(A)$, so let $\tau \in D_p(A) \cap \text{Fun}^\square(\text{SubComp}_p^{\simeq*}, A)$. Without loss of generality assume $i = 0$, and by the induction hypothesis and the simplicial identities, it suffices to check that $s_0\tau$ satisfies face-horn duality for the top face Δ^{p+1} . By Lemma 3.15, just checking this for the 0-th face-horn of Δ^{p+1} will suffice. Since $s_0\tau$ satisfies the inclusion-exclusion principle,

$$\begin{aligned} s_0\tau(\Delta^{p+1}, \Lambda_0^{p+1}) &= \sum_{k=1}^{p+1} (-1)^{k-1} \sum_{0 < j_1 < \dots < j_k \leq p+1} s_0\tau \left(\Delta^{p+1}, \bigcap_{r=1}^k \partial_{j_r} \Delta^{p+1} \right) \\ &= \sum_{k=1}^{p+1} (-1)^{k-1} \left\{ \sum_{1 < j_1 < \dots < j_k} \tau(\Delta^p, \langle 0, \dots, \widehat{j_1 - 1}, \dots, \widehat{j_k - 1}, \dots, p+1 \rangle) \right. \\ &\quad \left. + \sum_{1=j_1 < j_2 < \dots < j_k} \tau(\Delta^p, \langle 0, \dots, \widehat{j_2 - 1}, \dots, \widehat{j_k - 1}, \dots, p+1 \rangle) \right\} \\ &= (-1)^p \sum_{\substack{1 < j_1 < \dots < j_{p+1} \leq p+1 \\ = \emptyset}} \tau(\Delta^p, \langle 0, \dots, \widehat{j_1 - 1}, \dots, \widehat{j_k - 1}, \dots, p+1 \rangle) = 0. \end{aligned}$$

In the other hand, $s_0\tau(\Delta^{p+1}, \partial_0 \Delta^{p+1}) = \tau(\Delta^p, \Delta^p) = 0 = (-1)^{p+1} (s_0\tau(\Delta^{p+1}, \Lambda_0^{p+1}))^*$, as required. \square

3.1.2. *Proof of Theorem 3.10.* Recall that the *Dold–Kan correspondence* [GJ09, §III.2, Cor. 2.3] establishes an equivalence of categories

$$(3.12) \quad N : \text{sAb} \xrightleftharpoons[\perp]{\rightarrow} \text{Ch}_{\geq 0}(\mathbb{Z}) : \Gamma,$$

where N is the *normalised Moore complex* functor, given for a simplicial group $G = (G_\bullet, \delta_\bullet)$ by

$$(NG)_n := \bigcap_{i=1}^n \ker(\delta_i : G_n \rightarrow G_{n-1}), \quad d_n = \delta_0 |_{(NG)_n} : (NG)_n \longrightarrow (NG)_{n-1}.$$

Under (3.12), we will identify $F_\bullet^{\text{alg}}(A)$ with the (connective) chain complex \mathbb{A}_{hC_2} given by

$$\dots \xrightarrow{1-t} A \xrightarrow{1+t} A \xrightarrow{1-t} A \longrightarrow 0 = (\mathbb{A}_{hC_2})_{-1}.$$

Proposition 3.18. *The map $\psi_\bullet^A : (NF^{\text{alg}}(A)_\bullet, d_\bullet) \longrightarrow \mathbb{A}_{hC_2}$ given by*

$$(3.13) \quad \psi_n^A : NF^{\text{alg}}(A)_n \longrightarrow (\mathbb{A}_{hC_2})_n = A, \quad \tau \longmapsto \tau(\Delta^{n+1}, \langle 0 \rangle),$$

is a quasi-isomorphism of chain complexes. In particular,

$$\pi_n(F_\bullet^{\text{alg}}(A)) \cong H_n(NF^{\text{alg}}(A)) \cong H_n(C_2; A) = \begin{cases} \frac{A}{\{b-b^* \mid b \in A\}}, & n = 0, \\ \frac{\{a \in A \mid a = (-1)^{n+1} a^*\}}{\{b + (-1)^{n+1} b^* \mid b \in A\}}, & n \geq 1. \end{cases}$$

Proof. First we verify that $\psi_\bullet = \psi_\bullet^A$ is a chain map. Let $\tau \in NF^{\text{alg}}(A)_n$ and write

$$a := \psi_n(\tau) = \tau(\Delta^{n+1}, \langle 0 \rangle), \quad b := \psi_{n-1}(d_n \tau) = \tau(\partial_1 \Delta^{n+1}, \langle 0 \rangle), \quad c := \tau(\Delta^{n+1}, \partial_1 \Delta^{n+1}).$$

Noting that $\tau(\Lambda_1^{n+1}, \langle 0 \rangle) = 0$ by inclusion-exclusion, applying τ to the diagram in $\text{SubComp}_{n+1}^{\simeq*}$

$$\begin{array}{ccc} \langle 0 \rangle & \longrightarrow & \Lambda_1^{n+1} \\ \downarrow & & \downarrow \\ \partial_1 \Delta^{n+1} & \longrightarrow & \Delta^{n+1} \end{array}$$

and duality of τ , we obtain

$$(-1)^{n+1} c^* = a = b + c \implies a + (-1)^n a^* = b + c + (-1)^n ((-1)^{n+1} c^*)^* = b,$$

i.e., $d_n(\psi_n(\tau)) = \psi_{n-1}(d_n\tau)$.

We now have to show that the map

$$\psi_* : H_n(NF^{\text{alg}}(A)) \longrightarrow H_n(C_2; A)$$

is an isomorphism for $n \geq 0$. We deal with the case $n > 0$, as $n = 0$ is very similar (and simpler).

Claim. *There is a bijection*

$$\begin{aligned} \tau_{(-)} : \{a \in A \mid a = (-1)^{n+1}a^*\} &\longleftrightarrow \bigcap_{i=0}^n \ker(\delta_i : F_n^{\text{alg}}(A) \longrightarrow F_{n-1}^{\text{alg}}(A)) : \psi_n, \\ a &\longmapsto \tau_a \\ \tau(\Delta^{n+1}, \langle 0 \rangle) &\longleftarrow \tau \end{aligned}$$

where $\tau_a \in F_n^{\text{alg}}(A)$ is the functor given by

$$\tau_a(L, K) = \begin{cases} 0, & L \neq \Delta^{n+1}, \\ a, & K \subsetneq L = \Delta^{n+1}. \end{cases}$$

Proof of Claim. Note that the condition $a = (-1)^{n+1}a^*$ is exactly the face-horn duality for Δ^{n+1} , so τ_a is indeed an element of $F_n^{\text{alg}}(A)$. Also observe that $\psi_n(\tau_a) = a$, so we only need to show that $\tau_{(-)}$ is surjective. Let τ be a cycle in $F_n^{\text{alg}}(A)$, and set $a := \tau(\Delta^{n+1}, \langle 0 \rangle)$; we check that $\tau = \tau_a$. By the functoriality relation $\tau(L, K) = \tau(\Delta^{n+1}, K) - \tau(\Delta^{n+1}, L)$, we may assume that $L = \Delta^{n+1}$, and by Lemma 3.13 that $K = \sigma \in \text{Face}_{n+1}$. Let i be such that $\sigma \subset \partial_i \Delta^{n+1}$. As $\partial_i \tau = 0$,

$$\tau(\Delta^{n+1}, \sigma) = \tau(\Delta^{n+1}, \partial_i \Delta^{n+1}) + \tau(\partial_i \Delta^{n+1}, \sigma) = \tau(\Delta^{n+1}, \partial_i \Delta^{n+1}),$$

so it is enough to show that $\tau(\Delta^{n+1}, \partial_i \Delta^{n+1}) = a$ for $i = 0, \dots, n+1$. If $i \neq 0$, this follows from the definition of $a := \tau(\Delta^{n+1}, \langle 0 \rangle)$. Applying τ to the diagram in $\text{SubComp}_{n+1}^{\simeq*}$

$$\begin{array}{ccc} \langle 2, \dots, n+1 \rangle & \longrightarrow & \partial_1 \Delta^{n+1} \\ \downarrow & & \downarrow \\ \partial_0 \Delta^{n+1} & \longrightarrow & \Delta^{n+1} \end{array}$$

and noting that $\partial_0 \tau = 0$, we obtain $\tau(\Delta^{n+1}, \partial_0 \Delta^{n+1}) = a$, as required. Also by definition τ_a is a cycle in $F_n^{\text{alg}}(A)$ and $\tau_a(\Delta^{n+1}, \langle 0 \rangle) = a$, so the claim follows. \square

The previous claim shows that ψ_* is surjective. For injectivity, let $\tau \in NF^{\text{alg}}(A)_n$ be a cycle such that $\psi_*[\tau] = 0$, i.e., $\tau(\Delta^{n+1}, \langle 0 \rangle) = b + (-1)^{n+1}b^*$ for some $b \in A$. It is not difficult to see that there exists a functor $T \in \text{Fun}^{\square}(\text{SubComp}_{n+2}^{\simeq*}, A)$ with

$$\partial_i T = \begin{cases} \tau, & i = 1, \\ 0, & i \neq 1, \end{cases} \quad T(\Delta^{n+2}, \partial_i \Delta^{n+2}) := \begin{cases} -b, & i = 1, \\ (-1)^{n+1}b^*, & i \neq 1, \end{cases} \quad (0 \leq i \leq n+2).$$

By construction, T satisfies face-horn duality for any face $\sigma \neq \Delta^{n+1}$ and for the first face-horn $(\partial_1 \Delta^{n+2}, \Lambda_1^{n+2})$. Therefore by Lemma 3.15 it satisfies all face-horn dualities. Then T is clearly an element of $NF^{\text{alg}}(A)_{n+1}$ bounding τ , so $[\tau] = 0$ in $H_n(NF^{\text{alg}}(A)_\bullet)$. This finishes the proof. \square

Remark 3.19. It is not difficult to see that $\psi_*^A : NF^{\text{alg}}(A) \xrightarrow{\cong} \mathbb{A}_{hC_2}$ is in fact an isomorphism of chain complexes. An element $\tau \in NF^{\text{alg}}(A)_n$ is completely determined by $\delta_0 \tau (= \partial_1 \tau)$ and $b := \tau(\Delta^{n+1}, \langle 0 \rangle) \in A$ using functoriality and duality. By the claim in the proof of Proposition 3.18, $\delta_0 \tau = \tau_a$ for some $a \in A$ with $a = (-1)^n a^*$. Face-horn duality for $(\partial_1 \Delta^{n+1}, \Lambda_1^{n+1})$ yields

$$a + (-1)^{n+1}b^* = b \implies a = b + (-1)^n b^*,$$

so $\delta_0 \tau = \tau_a$ is completely determined by $b = \tau(\Delta^{n+1}, \langle 0 \rangle)$. We leave it to the reader to check that ψ_*^A is indeed surjective.

Let us introduce some notation for the proof of Theorem 3.10. For $G = \{e\}$ or C_2 and \mathcal{C} a category, the category of G -objects in \mathcal{C} is $\mathcal{C}^G := \text{Fun}(G, \mathcal{C})$. Observe that there are natural isomorphisms of categories $\text{Mod}_{\mathbb{Z}[G]} \cong \text{Ab}^G$ and $H\mathbb{Z}[G]\text{-Mod} \cong (H\mathbb{Z}\text{-Mod})^G$. There is an inclusion of categories $\text{Mod}_{\mathbb{Z}[G]} \hookrightarrow \text{sMod}_{\mathbb{Z}[G]}$ sending a $\mathbb{Z}[G]$ -module M to the *constant* simplicial $\mathbb{Z}[G]$ -module on M , denoted by $\underline{M} = \underline{M}_\bullet$. The Eilenberg–MacLane functor $H : \text{Mod}_{\mathbb{Z}[G]} \rightarrow H\mathbb{Z}[G]\text{-Mod}$ upgrades to a functor

$$H : \text{sMod}_{\mathbb{Z}[G]} \xrightarrow{|\cdot|} (\Omega^\infty\text{-Top})^G \xrightarrow{B^\infty} H\mathbb{Z}[G]\text{-Mod} \subset \text{Sp}^G,$$

where Sp^G is the category of (naïve) G -spectra, and B^∞ is the functor sending an infinite loop space X to the (Ω) -spectrum given by $(B^\infty X)_n := B^n X$, the n -th iterated delooping of X . It is immediate that there is a natural homotopy equivalence of functors

$$(3.14) \quad \begin{array}{ccc} \text{sAb} & \xrightarrow{H} & \text{Sp} \\ & \searrow & \swarrow \Omega^\infty \\ & |\cdot| & \Omega^\infty\text{-Top}. \end{array}$$

Given a simplicial $\mathbb{Z}[C_2]$ -module M_\bullet , we will write $(M_\bullet)_{hC_2}$ for the simplicial abelian group

$$(M_\bullet)_{hC_2} := \text{Diag}(M_\bullet \otimes_{\mathbb{Z}[C_2]} \mathbb{Z}[E.C_2]) : [p] \mapsto M_p \otimes_{\mathbb{Z}[C_2]} \mathbb{Z}[C_2^{\times(p+1)}].$$

This functor is a model for the homotopy colimit, namely

$$\begin{array}{ccc} \text{sMod}_{\mathbb{Z}[C_2]} & \xrightarrow{(-)_{hC_2}} & \text{sAb} \\ \parallel & \nearrow \text{hocolim}_{C_2}(-) & \\ \text{sAb}^{C_2} = \text{Fun}(C_2, \text{sAb}) & & \end{array}$$

is homotopy commutative, and similarly for the analogue in spectra. There is also a natural homotopy equivalence of functors²

$$(3.15) \quad (H(-))_{hC_2} \simeq H((-)_{hC_2}) : \text{sMod}_{\mathbb{Z}[C_2]} \longrightarrow \text{Sp}.$$

Finally, we will denote $C : \text{sAb} \rightarrow \text{Ch}_{\geq 0}(\mathbb{Z})$ for the functor sending a simplicial abelian group $(M_\bullet, \delta_\bullet)$ to the chain complex (CM_\bullet, d_\bullet)

$$CM_n := M_n, \quad d_n = \sum_{i=0}^n (-1)^i \delta_i : M_n \longrightarrow M_{n-1}.$$

The normalised chain complex NM_\bullet is a sub-complex of CM_\bullet , and in fact the inclusion $NM_\bullet \hookrightarrow CM_\bullet$ is a split quasi-isomorphism [GJ09, §III.2, Thm. 2.1 & Thm. 2.4].

Proof of Theorem 3.10. Our goal is to identify $F_\bullet^{\text{alg}}(A)$ with \underline{A}_{hC_2} , functorially in $A \in \text{Mod}_{\mathbb{Z}[C_2]}$. To do so, we first compare $N\underline{A}_{hC_2}$ and \underline{A}_{hC_2} .

Write $M_\bullet \xrightarrow{\epsilon} \mathbb{Z}$ for the minimal resolution of \mathbb{Z} by free $\mathbb{Z}[C_2]$ -modules

$$\dots \longrightarrow \mathbb{Z}[C_2] \xrightarrow{1+t} \mathbb{Z}[C_2] \xrightarrow{1-t} \mathbb{Z}[C_2] \xrightarrow{\epsilon} \mathbb{Z},$$

where ϵ sets $t = 1$. The chain complex $C(\mathbb{Z}[E.C_2])$ together with the augmentation map $\epsilon : C(\mathbb{Z}[E.C_2])_0 = \mathbb{Z}[C_2] \rightarrow \mathbb{Z}$ provides another such resolution (also known as the *canonical resolution* of \mathbb{Z} by free $\mathbb{Z}[C_2]$ -modules). Therefore, there is a map $C(\mathbb{Z}[E.C_2]) \rightarrow M_\bullet$ of resolutions of \mathbb{Z} which, upon applying $A \otimes_{\mathbb{Z}[C_2]} (-)$, provides a quasi-isomorphism of chain complexes $CA_{hC_2} \xrightarrow{\simeq} \underline{A}_{hC_2}$. We thus obtain the desired quasi-isomorphism of chain complexes

$$\phi_\bullet^A : N\underline{A}_{hC_2} \xrightarrow{\simeq} CA_{hC_2} \xrightarrow{\simeq} \underline{A}_{hC_2},$$

²The essential point here is that when A is a projective $\mathbb{Z}[C_2]$ -module so that $(\underline{A}_\bullet)_{hC_2} \simeq A_{C_2}$, the n -truncation map $K(A, n) \wedge_{C_2} (EC_2)_+ \rightarrow K(A_{C_2}, n)$ is approximately $2n$ -connected.

which is clearly functorial in A .

The Dold–Kan correspondence (3.12) can be upgraded to a Quillen equivalence of model categories [Qui67, §II.4] (for the projective model structure on chain complexes), so there is a zig-zag of equivalences (functorial in $A \in \text{Mod}_{\mathbb{Z}[C_2]}$)

$$(3.16) \quad F_{\bullet}^{\text{alg}}(A) \xrightarrow[\simeq]{(\psi_{\bullet}^A)^{\vee}} \Gamma \mathbb{A}_{hC_2} \xleftarrow[\simeq]{(\phi_{\bullet}^A)^{\vee}} \underline{A}_{hC_2}.$$

Each map in the zig-zag is an equivalence since every object in $\text{Ch}_{\geq 0}(\mathbb{Z})$ is fibrant (in the projective model), whilst every object in sAb is cofibrant. Applying geometric realisation to (3.16) and noting (3.14) and (3.15), there results a zig-zag of infinite loop spaces

$$|F_{\bullet}^{\text{alg}}(A)| \xrightarrow{\simeq} |\Gamma \mathbb{A}_{hC_2}| \xleftarrow{\simeq} |\underline{A}_{hC_2}| \xleftarrow{\simeq} \Omega^{\infty}(H(\underline{A}_{hC_2})) \xleftarrow{\simeq} \Omega^{\infty}((HA)_{hC_2}),$$

which once again is functorial in A . This finishes the proof. \square

3.2. Proof of Theorem B. Let $F_{\bullet}(M)$ denote the simplicial homotopy fibre

$$(3.17) \quad F_{\bullet}(M) := \text{holim} \left(\{M^d\} \longrightarrow \widetilde{\mathcal{M}}_{\bullet}^h \longleftarrow \widetilde{\mathcal{M}}_{\bullet}^s \right).$$

It has as p -simplices

$$F_p(M) = \left\{ W \in \widetilde{\mathcal{M}}_{p+1}^h : W_0 = M, \quad \partial_0 W \in \widetilde{\mathcal{M}}_p^s \right\},$$

and as face maps

$$\delta_i : F_p(M) \longrightarrow F_{p-1}(M), \quad W \longmapsto \partial_{i+1} W = W_{\langle 0, \dots, \widehat{i+1}, \dots, p+1 \rangle}, \quad i = 0, \dots, p.$$

Recall that C_2 acts on $\text{Wh}(M)$ by $t \cdot \tau := (-1)^{d-1} \bar{\tau}$. Our task is to find an equivalence $|F_{\bullet}(M)| \simeq \Omega^{\infty}(H\text{Wh}(M)_{hC_2})$, and by Theorem 3.10 we already know that the latter space is equivalent to $|F_{\bullet}^{\text{alg}}(\text{Wh}(M))|$. Therefore, in order to show Theorem B, it suffices to establish an equivalence of semi-simplicial sets between $F_{\bullet}(M)$ and $F_{\bullet}^{\text{alg}}(\text{Wh}(M))$.

Let $W^{d+p+1} \in F_p(M)$. We may find some face preserving homotopy equivalence $f : W \xrightarrow{\simeq} M \times \Delta^{p+1}$ with $f_0 = \text{Id}_M : W_0 = M \rightarrow M$. With such a homotopy equivalence we can identify $\pi_1(W_K)$ with $\pi_1(M)$ for every sub-complex $K \in \text{SubComp}_p^{\simeq*}$ so that for $K \subset L$, the identifications $\pi_1(W_K) \cong \pi_1(M)$ and $\pi_1(W_L) \cong \pi_1(M)$ are compatible with the induced isomorphism $\pi_1(W_K \hookrightarrow W_L) : \pi_1(W_K) \cong \pi_1(W_L)$. Any other choice of such a homotopy equivalence f' will not change this identification, as f and f' are homotopic rel $W_0 = M$ (to see this, note that it is equivalent to showing that a homotopy equivalence $f : M \times \Delta^p \xrightarrow{\simeq} M \times \Delta^p$ with $f_0 = \text{Id}_M$ is homotopic rel $M \times \Delta_0^p$ to the identity. This in part follows from the fact that it is block homotopic rel $M \times \Delta_0^p$ to the identity by an *Alexander trick*-like argument similar to [BLR06, Lem. 2.1] and that $h\text{Aut}(M)_{\bullet} \simeq \widehat{h\text{Aut}(M)}_{\bullet}$). Once we have made such an identification of fundamental groups, we can define the functor $\tau_W \in \text{Fun}(\text{SubComp}_p^{\simeq*}, \text{Wh}(M))$

$$\tau_W(L, K) := \tau(W_K \xrightarrow{\simeq} W_L) \in \text{Wh}(M).$$

The composition rule (2.1) of the Whitehead torsion and the inclusion-exclusion principle (2.2) guarantees that τ_W satisfies (3.7) and therefore, by Lemma 3.12, τ_W is an element of $\text{Fun}^{\square}(\text{SubComp}_p^{\simeq*}, \text{Wh}(M))$. In fact, by the definition of the $F_{\bullet}(M)$, the functor τ_W is a p -simplex in this space (remember that τ^* in (3.10) should now be replaced by $(-1)^{d-1} \bar{\tau}$).

Proposition 3.20. *For $d = \dim M \geq 5$, there is an equivalence of semi-simplicial sets*

$$\tau_{(-)} : F_{\bullet}(M) \xrightarrow{\simeq} F_{\bullet}^{\text{alg}}(\text{Wh}(M)), \quad W \longmapsto \tau_W.$$

Together with Theorem 3.10, this will prove Theorem B. In particular, by Proposition 3.18,

$$(3.18) \quad \pi_n(F_{\bullet}(M)) \cong H_n(C_2; \text{Wh}(M)) \cong \begin{cases} \overline{\{b + (-1)^d \bar{b} \mid b \in \text{Wh}(M)\}}, & n = 0, \\ \overline{\{a \in \text{Wh}(M) \mid a = (-1)^{d+n} \bar{a}\}}, & n \geq 1. \end{cases}$$

Proof of Proposition 3.20. We need to prove that $\tau_{(-)}$ induces isomorphisms in homotopy groups. To prove surjectivity, let $a \in \text{Wh}(M)$ be such that $a = (-1)^{d+n}\bar{a}$ and let $W^{d+n+1} : M \times \Lambda_0^{n+1} \xrightarrow{h} W_{\langle 1, \dots, n+1 \rangle}$ be an h -cobordism rel boundary with $\tau(W, M \times \Lambda_0^{n+1}) = a$. The manifold W admits a stratified structure over Δ^{n+1} with 0-th horn $\Lambda_0(W) = M \times \Lambda_0^{n+1}$ and 0-th face $W_{\langle 1, \dots, n+1 \rangle}$. It is not difficult to see that $\tau_W = \tau_a$ by Lemma 3.13 and noting that τ_W satisfies face-horn dualities for all faces (in particular Δ^{n+1}).

For injectivity, let $W \in F_n(M)$ be a cycle such that $[\tau_W] = 0$ in $\pi_n(F_n^{\text{alg}}(\text{Wh}(M)))$. By the first step in the proof of Proposition 3.18, $\tau_W = \tau_{b+(-1)^{d+n}\bar{b}}$ for some $b \in \text{Wh}(M)$. Let $V : W \xrightarrow{h} W'$ be an h -cobordism rel boundary with torsion $\tau(V, W) = -b$ (after having identified $\pi_1(W)$ with $\pi_1(M)$ appropriately). Then $\pi_1(V)$ and $\pi_1(W')$ get identified with $\pi_1(M)$ too). We claim W' is (face-preservingly) diffeomorphic to $M \times \Delta^{n+1}$, i.e., we have to show that $\tau(W', \Lambda_0(W')) = 0$ by the s -cobordism theorem. Since $\Lambda_0(W') = \Lambda_0(W) = M \times \Lambda_0^{n+1}$,

$$\tau(W, \Lambda_0(W)) + \tau(V, W) = \tau(W', \Lambda_0(W')) + \tau(V, W')$$

which, by duality, yields

$$\tau(W', \Lambda_0(W')) = b + (-1)^{d+n}\bar{b} - b - (-1)^{d+n+1}\overline{(-b)} = 0.$$

Let $\phi : W' \cong M \times \Delta^{n+1}$ be a diffeomorphism fixing $\Lambda_0(W') = M \times \Lambda_0^{n+1}$, and consider $V' := M_\phi \circ V$, where $M_\phi : W' \xrightarrow{s} M \times \Delta^{n+1}$ denotes the *mapping cylinder*³ of ϕ . Then using the canonical diffeomorphism rel boundary $\Delta^{n+1} \cong \Lambda_1^{n+2}$, the manifold V' admits a stratified structure over Δ^{n+2} with $\partial_1 V' = W$ and $\Lambda_1(V') = M \times \Lambda_1^{n+2}$. Therefore V' provides a null-homotopy of W in $F_\bullet(M)$, as desired. \square

Remark 3.21. The semi-simplicial sets $\widetilde{\mathcal{M}}_!^h$ and $\widetilde{\mathcal{M}}_!^s$ admit compatible systems of degeneracies that make them into simplicial objects. Namely, the i -th degeneracy map $s_i : \widetilde{\mathcal{M}}_p^{h/s} \rightarrow \widetilde{\mathcal{M}}_{p+1}^{h/s}$ sends a p -simplex $W^{d+p} \Rightarrow \Delta^p$ to the pullback $W \text{ pr} \times_{s^i} \Delta^{p+1}$, where $\text{pr} : W \rightarrow \Delta^p$ is the composition $W \subset \mathbb{R}^\infty \times \Delta^p \rightarrow \Delta^p$, and $s^i : \Delta^{p+1} \rightarrow \Delta^p$ is the linear i -th codegeneracy map. The pullback $W \text{ pr} \times_{s^i} \Delta^{p+1}$ is regarded as a manifold stratified over Δ^{p+1} under the inclusion

$$W \text{ pr} \times_{s^i} \Delta^{p+1} \hookrightarrow \mathbb{R}^\infty \times \Delta^{p+1}, \quad ((w, x), y) \longmapsto (w, y),$$

for $(w, x) \in W \subset \mathbb{R}^\infty \times \Delta^p$ and $y \in \Delta^{p+1}$ such that $x = s^i(y)$. The semi-simplicial homotopy fibre $F_\bullet(M)$ thus inherits a simplicial structure which agrees with that of $F_n^{\text{alg}}(\text{Wh}(M))$, i.e., the map $\tau_{(-)}$ of Proposition 3.20 becomes an equivalence of simplicial sets.

3.3. Relation to the Rothenberg exact sequence. The purpose of this section is to derive a consequence of Theorem B in a different direction to Theorem A. The reader may want to skip it on first reading.

For a finite group G and a naïve G -spectrum X , we will denote by X_{tG} the *Tate homology spectrum* of X [ACD89, Defn. 2.2], i.e., the homotopy fibre of the *norm map* (cf. [WW89, Prop. 2.4]) $N : X_{hG} \rightarrow X^{hG}$, where $X_{hG} := X \wedge_G (EG)_+$ are the homotopy G -orbits of X as before, and $X^{hG} := F(\Sigma_+^\infty EG, X)^G$ denotes the homotopy G -fixed points of X . Here $F(-, -)^G$ is the G -equivariant mapping spectrum. When $X = HA$ for some $\mathbb{Z}[G]$ -module A , $\pi_*^s((HA)_{hG}) = H_*(G; A)$ whilst $\pi_*^s((HA)^{hG}) = H^{-*}(G; A)$, and the norm map in degree zero $A_G \rightarrow A^G$ is multiplication by

³This should really be the mapping cylinder *with collars* (see Definition 3.1(iii)). Namely, given a diffeomorphism (possibly rel boundary) $\phi : A \cong B$, we define M_ϕ by $A \times [0, 1/2] \cup_{\phi \times \{1/2\}} B \times [1/2, 1]$.

the norm element $N = \sum_{g \in G} g \in \mathbb{Z}[G]$. Therefore when $G = C_2$ and $A = \text{Wh}(M)$,

$$\widehat{H}_*(C_2; \text{Wh}(M)) := \pi_*^s(H\text{Wh}(M)_{iC_2}) = \begin{cases} H_*(C_2; \text{Wh}(M)), & * \geq 1, \\ \frac{\{a \in \text{Wh}(M) \mid a = (-1)^d \bar{a}\}}{\{b + (-1)^d \bar{b} \mid b \in \text{Wh}(M)\}} \subset H_0(C_2; \text{Wh}(M)), & * = 0, \\ \frac{\{a \in \text{Wh}(M) \mid a = (-1)^{d-1} \bar{a}\}}{\{b + (-1)^{d-1} \bar{b} \mid b \in \text{Wh}(M)\}} \subset H^0(C_2; \text{Wh}(M)), & * = -1, \\ H^{-*-1}(C_2; \text{Wh}(M)), & * \leq -2. \end{cases}$$

Let $\mathbb{L}^{h/s}(M)$ denote the (quadratic) ordinary/simple L -theory spectrum of M^d [Ran92, §13]. For $d \geq 5$, we will now establish an equivalence of spaces

$$(3.19) \quad \Omega^{\infty+d+1} \text{hofib}(\mathbb{L}^s(M) \rightarrow \mathbb{L}^h(M)) \simeq \Omega^\infty(H\text{Wh}(M)_{iC_2}),$$

which agrees with the positive-degree section of the *Rothenberg exact sequence* for quadratic L -theory [Ran81, Prop. 1.10.1].

Let $\widetilde{\mathcal{S}}^{h/s}(M)$ denote the ordinary/simple *block structure spaces* of M [Qui70]. Roughly speaking, a p -simplex in the space $\widetilde{\mathcal{S}}^{h/s}(M)$ is a pair (W^{d+p}, f) consisting of a manifold W stratified over Δ^p and a face-preserving homotopy equivalence $f : W \xrightarrow{\simeq_{h/s}}_{\Delta} M \times \Delta^p$. *Surgery theory* establishes a diagram of fibration sequences [Qui70, §3]

$$\begin{array}{ccccc} \Omega^{\infty+d+1} \mathbb{L}^s(M) & \longrightarrow & \widetilde{\mathcal{S}}^s(M) & \longrightarrow & (G/O)_*^{M+} \\ \downarrow & & \downarrow & & \parallel \\ \Omega^{\infty+d+1} \mathbb{L}^h(M) & \longrightarrow & \widetilde{\mathcal{S}}^h(M) & \longrightarrow & (G/O)_*^{M+}. \end{array}$$

Taking homotopy fibres we obtain an equivalence

$$(3.20) \quad \Omega^{\infty+d+1} \text{hofib}(\mathbb{L}^s(M) \rightarrow \mathbb{L}^h(M)) \simeq \text{hofib}(\widetilde{\mathcal{S}}^s(M) \rightarrow \widetilde{\mathcal{S}}^h(M)).$$

On the other hand, it is not difficult to see that there is another diagram of fibration sequences

$$\begin{array}{ccccc} s\text{Aut}(M) & \longrightarrow & \widetilde{\mathcal{S}}^s(M) & \xrightarrow{u} & \widetilde{\mathcal{M}}^s \\ \downarrow \text{incl. of} & & \downarrow & & \downarrow \\ & \text{cpt.} & & & \\ h\text{Aut}(M) & \longrightarrow & \widetilde{\mathcal{S}}^h(M) & \xrightarrow{u} & \widetilde{\mathcal{M}}^h, \end{array}$$

where u is the (geometric realisation of the) forgetful map sending a p -simplex (W^{d+p}, f) in $\widetilde{\mathcal{S}}^{h/s}(M)$ to $W \in \widetilde{\mathcal{M}}_p^{h/s}$. Taking again homotopy fibres (in the basepoint components corresponding to M and Id_M), we get a map $\bar{u} : \text{hofib}(\widetilde{\mathcal{S}}^s(X) \rightarrow \widetilde{\mathcal{S}}^h(X)) \rightarrow |F_*(M)| \simeq \Omega^\infty(H\text{Wh}(M)_{hC_2})$ which is an equivalence onto the components that are hit. For each $[a] \in H_0(C_2; \text{Wh}(M))$, write $\Omega_{[a]}^\infty(H\text{Wh}(M)_{hC_2}) \subset \Omega^\infty(H\text{Wh}(M)_{hC_2})$ for the connected component corresponding to $[a]$. There is hence a chain of equivalences

$$\Omega^{\infty+d+1} \text{hofib}(\mathbb{L}^s(M) \rightarrow \mathbb{L}^h(M)) \stackrel{(3.20)}{\simeq} \text{hofib}(\widetilde{\mathcal{S}}^s(X) \rightarrow \widetilde{\mathcal{S}}^h(X)) \simeq \bigsqcup_{[a] \in \text{Im}(\pi_0(\bar{u}))} \Omega_{[a]}^\infty(H\text{Wh}(M)_{hC_2}).$$

To establish (3.19), it remains to argue that $\text{Im}(\pi_0(\bar{u})) = \widehat{H}_0(C_2; \text{Wh}(M))$. Choose a 0-simplex in $\text{hofib}(\widetilde{\mathcal{S}}^s(X) \rightarrow \widetilde{\mathcal{S}}^h(X))$, that is, a 1-simplex $(W, f) \in \widetilde{\mathcal{S}}_1^h(M)$ such that $W_0 = M$, $f_0 = \text{Id}_M$ and $f_1 : W_1 \xrightarrow{\simeq_s} M \times \{1\}$ is a simple homotopy equivalence. In particular, $W : M \xrightarrow{h} W_1$ is an h -cobordism starting at M . By definition of $\psi_*^{\text{Wh}(M)}$ (see (3.13)),

$$\pi_0(\bar{u}) : \pi_0(\text{hofib}(\widetilde{\mathcal{S}}^s(X) \rightarrow \widetilde{\mathcal{S}}^h(X))) \longrightarrow H_0(C_2; \text{Wh}(M)), \quad [W, f] \longmapsto [\tau(W, M)].$$

Now $0 = \tau(f_0) = (i_M)_*^{-1} \tau(f) + \tau(W, M)$ and, by duality, $0 = (h_*^W)^{-1} \tau(f_1) = (i_M)_*^{-1} \tau(f) + (-1)^d \tau(W, M)$. Putting these two together we obtain $\tau(W, M) = (-1)^d \tau(W, M)$, so it follows that

$\text{Im}(\pi_0(\bar{u})) \subset \widehat{H}_0(C_2; \text{Wh}(M))$. For the other inclusion, let $W \in F_0(M)$ be such that $\tau(W, M) = (-1)^d \bar{\tau}(W, M)$, and pick some face-preserving homotopy equivalence $f : W \xrightarrow{\simeq} M \times I$. By post-composing f with $f_0^{-1} \times I$, for some f_0^{-1} homotopy inverse to f_0 , we may assume that $f_0 = \text{Id}_M$. Then

$$\tau(f_1) = (i_{W_1})_*^{-1} \tau(f) + (-1)^d h_*^W \bar{\tau}(W, M) = (i_{W_1})_*^{-1} \tau(f) + h_*^W \tau(W, M) = h_*^W \tau(f_0) = 0,$$

so $f_1 : W_1 \simeq_s M \times \{1\}$ is a simple homotopy equivalence and thus (W, f) represents a 0-simplex in $\text{hofib}(\widetilde{\mathcal{S}}_s^h(X) \rightarrow \widetilde{\mathcal{S}}^h(X))$. This proves the claim.

Remark 3.22 (Speculative). The equivalence (3.19) can presumably be upgraded to one of infinite loop spaces. The argument should be similar to that of Theorem B by replacing the block moduli spaces $\widetilde{\mathcal{M}}_*^{h/s}$ with the L -theory semi-simplicial sets $L_*^{h/s}$ as defined in [Qui70, §2]. More generally, an equivalence of spectra $\text{hofib}(\mathbb{L}^s(M) \rightarrow \mathbb{L}^h(M)) \simeq H\text{Wh}(M)_{iC_2}$ should hold.

4. PROOF OF THEOREM A(i)

By analysing the lower-degree portion of the long exact sequence of homotopy groups associated to the homotopy pullback of Theorem B, we propose a general strategy to prove Theorem A(i) (see Proposition 4.5). We then present an example of h -cobordism $W : L \xrightarrow{h} M$, where L is as in the statement of Theorem A, which satisfies the conditions of the proposed strategy. All throughout let M^d denote a closed smooth manifold of dimension $d \geq 5$.

4.1. A general strategy. From the homotopy cartesian square of the homotopy fibre $F_*(M)$ (see (3.17)) we obtain an associated long exact sequence of homotopy groups

$$(4.1) \quad \dots \rightarrow \pi_n(F_*(M)) \rightarrow \pi_n(\widetilde{\mathcal{M}}^s, \{M\}) \rightarrow \pi_n(\widetilde{\mathcal{M}}^h, \{M\}) \rightarrow \dots$$

$$\dots \rightarrow \pi_1(\widetilde{\mathcal{M}}^h, \{M\}) \xrightarrow{\partial} \pi_0(F_*(M)) \rightarrow \pi_0(\widetilde{\mathcal{M}}^s) \rightarrow \pi_0(\widetilde{\mathcal{M}}^h).$$

For $n \geq 1$, the boundary map $\partial : \pi_n(\widetilde{\mathcal{M}}^h, \{M\}) \rightarrow \pi_{n-1}(F_*(M))$ sends an n -cycle $W^{d+n} \in \widetilde{\mathcal{M}}_n^h$ based at M to W as an $(n-1)$ -cycle in $F_*(M)$. So the image of the lowest-degree boundary map $\partial : \pi_1(\widetilde{\mathcal{M}}^h, \{M\}) \rightarrow \pi_0(F_*(M))$ consists of those classes represented by h -cobordisms $W : M \xrightarrow{h} M$.

Definition 4.1. An h -cobordism $W : M \xrightarrow{h} M'$ is said to be *inertial* [JK18, Defn. 2.1] if M' is diffeomorphic to M . The set of inertial h -cobordisms starting at M (up to diffeomorphism rel M) is denoted by $I(M) \subset h\text{Cob}(M) \cong \text{Wh}(M)$.

Example 4.2. Given an h -cobordism $W : M \xrightarrow{h} M'$, denote $\bar{W} : M' \xrightarrow{h} M$ for W with the reversed cobordism direction. The *double* $D(W) := \bar{W} \circ W = W \cup_{M'} \bar{W}$ [Mil66, p. 400] is an inertial h -cobordism $M \xrightarrow{h} M$ with torsion (see (2.4) and (2.6))

$$\tau(D(W), M) = \tau(W, M) + (-1)^d \bar{\tau}(W, M).$$

The subgroup of *double* h -cobordisms of M^d ,

$$(4.2) \quad \mathcal{D}(M) := \{\sigma + (-1)^d \bar{\sigma} : \sigma \in \text{Wh}(M)\} \subset \text{Wh}(M),$$

is therefore a subset of $I(M)$ too. Also observe from (3.18) that

$$H_0(C_2; \text{Wh}(M)) = \text{Wh}(M)/\mathcal{D}(M).$$

Lemma 4.3. Let $\frac{I(M)}{\mathcal{D}(M)}$ denote the image of $I(M)$ under the projection $\text{Wh}(M) \rightarrow H_0(C_2; \text{Wh}(M))$. Under the isomorphism $\pi_0(F_*(M)) \cong H_0(C_2; \text{Wh}(M))$ established in (3.18),

$$\text{Im} \left\{ \partial : \pi_1(\widetilde{\mathcal{M}}^h, \{M\}) \rightarrow \pi_0(F_*(M)) \cong \frac{\text{Wh}(M)}{\mathcal{D}(M)} \right\} = \frac{I(M)}{\mathcal{D}(M)}.$$

Proof. The inclusion (\subset) is immediate. Conversely if $W : M \rightsquigarrow M'$ is an inertial h -cobordism with $\phi : M \cong M'$, let $W' : M \rightsquigarrow M$ denote the h -cobordism $M_{\phi^{-1}} \circ W$. Recall that the isomorphism $\pi_0(F_\bullet(M)) \cong H_0(C_2; \text{Wh}(M))$ sends the class represented by W to that of its torsion $\tau(W, M) = \tau(W', M)$. As W' represents a class in $\pi_1(\widetilde{\mathcal{M}}^h, \{M\})$, we are done. \square

Recall from Proposition 3.6 and (3.3) that $B\widetilde{\text{Diff}}(M)$ and $B\widetilde{\text{Diff}}^h(M)$ are the connected components of $\widetilde{\mathcal{M}}^s$ and $\widetilde{\mathcal{M}}^h$, respectively, which contain M^d as basepoint. We will denote $\widetilde{\text{Diff}}^h/\widetilde{\text{Diff}}(M) \subset F_\bullet(M)$ for the union of connected components corresponding to $\frac{I(M)}{\mathcal{D}(M)}$. By exactness of (4.1), these are exactly the components of $F_\bullet(M)$ that map to $B\widetilde{\text{Diff}}(M) \subset \widetilde{\mathcal{M}}^s$. We thus obtain a fibration sequence

$$(4.3) \quad \widetilde{\text{Diff}}^h/\widetilde{\text{Diff}}(M) \longrightarrow B\widetilde{\text{Diff}}(M) \longrightarrow B\widetilde{\text{Diff}}^h(M).$$

For the remaining of the section, let $W^{d+1} : L^d \rightsquigarrow M^d$ be some h -cobordism with torsion $\tau := \tau(W, L) \in \text{Wh}(L)$. The homotopy long exact sequences of the fibration (4.3) for L and M yield the diagram

$$(4.4) \quad \begin{array}{ccccccc} \pi_2(B\widetilde{\text{Diff}}^h(L)) & \xrightarrow{\partial} & H_1(C_2; \text{Wh}(L)) & \longrightarrow & \pi_1(B\widetilde{\text{Diff}}(L)) & \longrightarrow & \pi_1(B\widetilde{\text{Diff}}^h(L)) \xrightarrow{\partial} \frac{I(L)}{\mathcal{D}(L)} \\ \text{base pt.} \downarrow \cong & & (\dagger) & & h_*^W \downarrow \cong & & \text{base pt.} \downarrow \cong \\ \pi_2(B\widetilde{\text{Diff}}^h(M)) & \xrightarrow{\partial} & H_1(C_2; \text{Wh}(M)) & \longrightarrow & \pi_1(B\widetilde{\text{Diff}}(M)) & \longrightarrow & \pi_1(B\widetilde{\text{Diff}}^h(M)) \xrightarrow{\partial} \frac{I(M)}{\mathcal{D}(M)}, \end{array}$$

where we have used the isomorphism $\pi_n(\widetilde{\text{Diff}}^h/\widetilde{\text{Diff}}(-)) \cong H_n(C_2; \text{Wh}(-))$ for $n \geq 1$ from Proposition 3.20. We are trying to compare the middle terms of the two extensions above, since

$$\pi_1(B\widetilde{\text{Diff}}(-)) \cong \pi_0(\widetilde{\text{Diff}}(-)) =: \widetilde{\Gamma}(-).$$

We first study the left part of the extensions in (4.4).

Proposition 4.4. *For $n \geq 2$, the following square commutes:*

$$\begin{array}{ccc} \pi_n(B\widetilde{\text{Diff}}^h(L)) & \xrightarrow{\partial} & H_{n-1}(C_2; \text{Wh}(L)) \\ \text{base pt.} \downarrow \cong & & h_*^W \downarrow \cong \\ \pi_n(B\widetilde{\text{Diff}}^h(M)) & \xrightarrow{\partial} & H_{n-1}(C_2; \text{Wh}(M)). \end{array}$$

In particular, the square decorated by (\dagger) in (4.4) commutes.

Proof. The basepoint change map sends an n -cycle $V^{d+n} \in B\widetilde{\text{Diff}}^h(L)_n$ to the manifold (see Figure 1)

$$W_\#V := V \cup_{L \times \partial \Delta^n} (W \times \partial \Delta^n).$$

The union is made along the boundary $\partial V = L \times \partial \Delta^n$. The manifold $W_\#V$ is naturally stratified over Δ^n , and clearly represents an n -cycle in $B\widetilde{\text{Diff}}^h(M)$. As mentioned before, the boundary map $\partial : \pi_n(B\widetilde{\text{Diff}}^h(L)) \longrightarrow H_{n-1}(C_2; \text{Wh}(L))$ sends $[V]$ to the class represented by $\tau(V, V_0) = \tau(V, L)$ in $H_{n-1}(C_2; \text{Wh}(L))$. We thus need to show that

$$\tau(W_\#V, M) \equiv h_*^W \tau(V, L) \pmod{\{\sigma + (-1)^{d+n-1} \bar{\sigma} : \sigma \in \text{Wh}(L)\}}.$$

We compute $\tau(W_\#V, M)$ directly. For any subspaces $A, B \subset W_\#V$ with $A \subset B$, write i_A^B for the inclusion. If $P := V \cup_{V_0=L} W$ (see Figure 1), we can factor the inclusion $i_M^{W_\#V} : M = M \times \{0\} \hookrightarrow W_\#V$ as

$$\begin{array}{ccc} M & \xrightarrow{\cong} & W_\#V \\ \downarrow \cong & & \cong \uparrow \\ W & \xrightarrow{\cong} & P. \end{array}$$

We compute the torsion of these three maps using the inclusion-exclusion principle (2.2):

$$\begin{aligned}
 \tau(W, M) &= (-1)^d h_*^W \bar{\tau}, \\
 \tau(P, W) &= (i_L^W)_* \tau(V, L) + (i_W^W)_* \tau(W, W) - (i_L^W)_* \tau(L, L) \\
 &= (i_L^W)_* \tau(V, L), \\
 \tau(W_{\#}V, P) &= (i_V^P)_* \tau(W_{\#}V, V) + (i_W^P)_* \tau(W, W) - (i_L^P)_* \tau(W, L) \\
 &= (i_V^P)_* (i_{\partial V}^V)_* \tau(W \times \partial\Delta^n, L \times \partial\Delta^n) - (i_L^P)_* \tau \\
 &= \chi(\partial\Delta^n) \cdot (i_L^P)_* \tau - (i_L^P)_* \tau \\
 &= (-1)^{n-1} (i_L^P)_* \tau.
 \end{aligned}$$

In the penultimate line we have used that $i_V^P \circ i_{\partial V}^V \circ i_{L \times 0}^{L \times \partial\Delta^n} = i_L^P$ and the product rule (2.3) of $\tau(-)$, for which we need the condition $n \geq 2$ for $\partial\Delta^n$ to be connected. By the composition rule (2.1), we get

$$\begin{aligned}
 \tau(W_{\#}V, M) &= (-1)^d h_*^W \bar{\tau} + (i_M^W)_*^{-1} (i_L^W)_* \tau(V, L) + (-1)^{n-1} (i_M^P)_*^{-1} (i_L^P)_* \tau \\
 &= (-1)^d h_*^W \bar{\tau} + h_*^W \tau(V, L) + (-1)^{n-1} h_*^W \tau \\
 &= h_*^W \tau(V, L) + (-1)^{n-1} \left(h_*^W \tau + (-1)^{d+n-1} \overline{h_*^W \tau} \right),
 \end{aligned}$$

where in the second line we have used the commutative diagram

$$\begin{array}{ccccc}
 M & \xrightarrow{\cong} & W & \xleftarrow{\cong} & L \\
 & \searrow \cong & \downarrow \cong & \swarrow \cong & \\
 & & P & &
 \end{array}$$

so $(i_M^P)_*^{-1} (i_L^P)_* = (i_M^W)_*^{-1} (i_L^W)_* = h_*^W$. This finishes the proof. \square

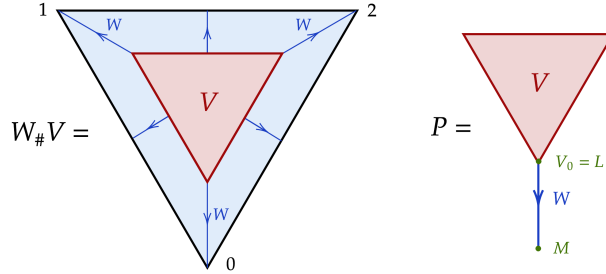


FIGURE 1. Illustration of $W_{\#}V$ and $P := V \cup_{L \times \{0\}} W$ when $n = 2$.

In the next section we will focus on the task of finding an example of $W : L \xrightarrow{h} M$ for which $\tilde{\Gamma}(L) \neq \tilde{\Gamma}(M)$ as in Theorem A(i). By the previous result, we should make the right hand sides of the two extensions in (4.4) differ. In order to do so, we will use the following.

Proposition 4.5. *Let $W : L \xrightarrow{h} M$ be such that*

- ① $\frac{I(L)}{D(L)} = 0$ but $\frac{I(M)}{D(M)} \neq 0$,
- ② $\pi_1(\widetilde{BDiff}(L))$ and $H_1(C_2; \text{Wh}(L))$ are finite.

Then $\frac{I(M)}{D(M)}$ is finite of cardinality $N > 1$ and

$$|\pi_1(\widetilde{BDiff}(L))| = N \cdot |\pi_1(\widetilde{BDiff}(M))| < \infty.$$

In particular, $\tilde{\Gamma}(L) \neq \tilde{\Gamma}(M)$.

Proof. If $\frac{I(L)}{\mathcal{D}(L)} = 0$, $\pi_1(\widetilde{\text{BDiff}}(L))$ surjects onto $\pi_1(\text{BDiff}^h(L))$, and hence the latter is finite too. As $\pi_1(\widetilde{\text{BDiff}}^h(M)) \cong \pi_1(\widetilde{\text{BDiff}}^h(L))$ surjects onto $\frac{I(M)}{\mathcal{D}(M)}$, this is also finite, say of cardinality $N \in \mathbb{Z}$ greater than one since $\frac{I(M)}{\mathcal{D}(M)} \neq 0$. Write

$$K := \left\{ x \in \pi_1(\widetilde{\text{BDiff}}^h(M)) \cong \pi_1(\widetilde{\text{BDiff}}^h(L)) : \partial x = 0 \in \frac{I(M)}{\mathcal{D}(M)} \right\}.$$

We now have two extensions of finite groups

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_1(C_2; \text{Wh}(L))/\text{Im } \partial & \longrightarrow & \pi_1(\widetilde{\text{BDiff}}(L)) & \twoheadrightarrow & \pi_1(\widetilde{\text{BDiff}}^h(L)) \longrightarrow 1 \\ & & \downarrow \cong & & & & \cup \\ 0 & \longrightarrow & H_1(C_2; \text{Wh}(M))/\text{Im } \partial & \longrightarrow & \pi_1(\widetilde{\text{BDiff}}(M)) & \twoheadrightarrow & K \longrightarrow 1, \end{array}$$

where the left vertical isomorphism is a consequence of Proposition 4.4. Since we have that $N \cdot |K| = |\pi_1(\widetilde{\text{BDiff}}^h(M))| = |\pi_1(\widetilde{\text{BDiff}}^h(L))|$, the result follows. \square

4.2. The candidate $W : L \xrightarrow{h} M$. Let $L_p^{2n-1}(r_1 : \dots : r_n)$ denote the linear lens space with fundamental group C_p and weights $r_1, \dots, r_n \pmod p$, i.e., the quotient of the sphere S^{2n-1} by the free (left) C_p -action given by

$$t \cdot (z_1, \dots, z_n) := (\zeta^{r_1} z_1, \dots, \zeta^{r_n} z_n), \quad (z_1, \dots, z_n) \in S^{2n-1} \subset \mathbb{C}^n,$$

where $t \in C_p$ is the generator and $\zeta = \exp(2\pi i/p)$. We identify $\pi_1(L_p^{2n-1}(r_1 : \dots : r_n))$ with C_p by sending the homotopy class represented by the loop

$$[0, 1] \longrightarrow L_p^{2n-1}(r_1 : r_2 : \dots : r_n), \quad s \longmapsto [\zeta^{s \cdot r_1}, 0, \dots, 0]$$

to $t \in C_p$. The goal of this section is to prove

Theorem 4.6. *Let L be the lens space $L_7^{12k-1}(r_1 : \dots : r_{6k})$ with*

$$r_1 = \dots = r_k = 1, \quad r_{k+1} = \dots = r_{2k} = 2, \quad \dots \quad r_{5k+1} = \dots = r_{6k} = 6 \pmod 7.$$

The element $u := 2 + 2t - t^3 - t^4 - t^5$ is a unit in $\mathbb{Z}[C_7]$ with inverse $u^{-1} = 1 - 2t + 3t^2 - 3t^3 + 3t^4 - 2t^5 + t^6$, and hence represents an element of $\text{Wh}(L)$. Then the h -cobordism $W : L \xrightarrow{h} M$ with torsion $\tau(W, L) = u$ satisfies conditions $\textcircled{\text{I}}$ and $\textcircled{\text{II}}$ of Proposition 4.5 with $N = \left| \frac{I(M)}{\mathcal{D}(M)} \right| = 3$.

In particular

$$|\pi_1(\widetilde{\text{BDiff}}(L))| = 3 \cdot |\pi_1(\widetilde{\text{BDiff}}(M))| < \infty,$$

and Theorem A(i) holds.

The proof of Theorem 4.6 will be established in Propositions 4.7 and 4.10 below.

Proposition 4.7. *The h -cobordism $W : L \xrightarrow{h} M$ of Theorem 4.6 satisfies condition $\textcircled{\text{I}}$ of Proposition 4.5. In fact,*

$$\left| \frac{I(M)}{\mathcal{D}(M)} \right| = 3.$$

Proof. The algebraic involution $\bar{\cdot} : \text{Wh}(\pi) \rightarrow \text{Wh}(\pi)$ is trivial when π is a finite abelian group [Bas74, Prop. 4.2]. Therefore by (4.2), the subgroups of double h -cobordisms $\mathcal{D}(L)$ and $\mathcal{D}(M)$ are trivial since L and M are odd-dimensional and orientable (so their first Stiefel–Whitney classes vanish), and $\pi_1(L) \cong \pi_1(M) \cong C_7$ is certainly finite abelian. It thus suffices to show that $I(L) = 0$ and $|I(M)| = 3$.

The first assertion follows from [Mil66, Cor. 12.12]. We now prove that $I(M) \neq 0$, i.e., we construct non-trivial inertial h -cobordisms starting at M . For a diffeomorphism $\phi \in \text{Diff}(L)$, write V_ϕ for the inertial h -cobordism

$$V_\phi := W \circ M_{\phi^{-1}} \circ \overline{W} : M \xrightarrow{h} L \xrightarrow{s} L \xrightarrow{h} M,$$

i.e., $V_\phi = \overline{W} \cup_{\phi^{-1}} W \in I(M)$. Observe that $h^{\overline{W}} : M \xrightarrow{\simeq} L$ is homotopy inverse to $h^W : L \xrightarrow{\simeq} M$ because $\overline{W} \circ W = D(W)$ and $W \circ \overline{W} = D(\overline{W})$ are h -cobordant rel boundary to the trivial h -cobordisms $L \times I$ and $M \times I$, respectively (see Figure 2). So $h^{\overline{W} \circ W} = h^{\overline{W}} \circ h^W$ is homotopic to $h^{L \times I} = \text{Id}_L$ (and similarly $h^W \circ h^{\overline{W}} \simeq \text{Id}_M$). The h -cobordism V_ϕ then has torsion

$$\begin{aligned} \tau(V_\phi, M) &= \tau(\overline{W}, M) + (h^{\overline{W}})_*^{-1} \tau(W \circ M_{\phi^{-1}}, L) \\ &= (-1)^{12k-1} h_*^W \bar{u} + h_*^W \phi_* u \\ &= h_*^W (\phi_* u - u), \end{aligned}$$

where we have used that $\bar{u} = u$ by the triviality of the algebraic involution. Therefore, if we are able to find self-diffeomorphisms ϕ of L for which $\phi_* u \neq u$ in $\text{Wh}(L)$, then we will have achieved our task.

Claim. *There are orientation-preserving self-diffeomorphisms $\phi_i : L \xrightarrow{\cong} L$ for $i \in (\mathbb{Z}/7)^\times$ such that $\pi_1(\phi_i) : t \mapsto t^i$.*

Proof of Claim. In fact by the main theorem of [HJ83] (see (4.5) below), the natural map $\pi_0(\text{Diff}(L)) \rightarrow \pi_0(s\text{Aut}(L))$ is surjective, so it will suffice to find simple homotopy automorphisms $f_i \in s\text{Aut}(L)$ for each $i \in (\mathbb{Z}/7)^\times$ such that $\pi_1(f_i) : t \mapsto t^i$. By [Mil66, §12.1], the natural map $\gamma : \pi_0(h\text{Aut}(L)) \rightarrow \text{Aut}(\pi_1 L) \cong (\mathbb{Z}/7)^\times$ is injective with image

$$\text{Im } \gamma = \{i \in \mathbb{Z}/7 : i^{6k} \equiv \pm 1 \pmod{7}\}.$$

The classes $[f]$ sent to $i \in (\mathbb{Z}/7)^\times$ with $i^n \equiv +1 \pmod{7}$ (resp. $i^n \equiv -1 \pmod{7}$) are orientation-preserving (resp. orientation-reversing). But if $i \in (\mathbb{Z}/7)^\times$, $i^6 \equiv 1 \pmod{7}$ and so $i^{6k} \equiv 1 \pmod{7}$ too. Therefore for each $i \in (\mathbb{Z}/7)^\times$, there exists some (orientation-preserving) homotopy automorphism $f_i \in h\text{Aut}(L)$ such that $\pi_1(f_i) : t \mapsto t^i$. By [Mil66, Lem. 12.5], f_i is a simple homotopy automorphism if and only if

$$(f_i)_* \Delta(L) = \Delta(L) \in \mathbb{Q}[C_7] / \sim,$$

where $\Delta(L)$ denotes the R -torsion of L [Mil66, Lem. 12.4]. Here, two elements $x, y \in \mathbb{Q}[C_7]$ are related $x \sim y$ if and only if there exists some $g \in C_7$ such that $x = \pm g \cdot y$. Recall also [Mil66, p. 406] that the R -torsion of L is

$$\Delta(L) = \prod_{j=1}^{6k} (t^j - 1) = \prod_{j=1}^6 (t^j - 1)^k,$$

and so

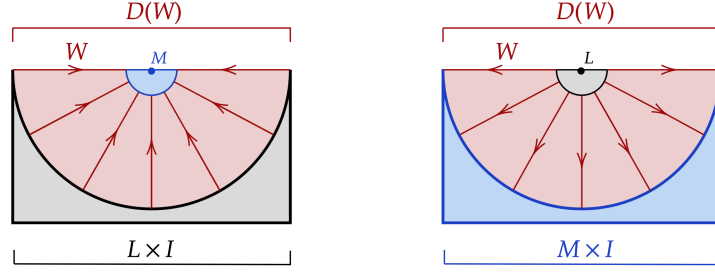
$$(f_i)_* \Delta(L) = (f_i)_* \left(\prod_{j=1}^6 (t^j - 1)^k \right) = \prod_{i=1}^6 (t^{i \cdot j} - 1)^k = \Delta(L), \quad i \in (\mathbb{Z}/7)^\times.$$

Therefore f_i is a simple homotopy equivalence for $i \in (\mathbb{Z}/7)^\times$, as claimed. \square

Now it is easily checked that $(\phi_6)_* u = u$, so $(\phi_2)_* u = (\phi_5)_* u$ and $(\phi_3)_* u = (\phi_4)_* u$. On the other hand, the three non-trivial units

$$u = 2 + 2t - t^3 - t^4 - t^5, \quad (\phi_2)_* u = 2 + 2t^2 - t^6 - t - t^3, \quad (\phi_3)_* u = 2 + 2t^3 - t^2 - t^5 - t$$

represent different elements in $\text{Wh}(L)$ (for instance, the difference between the powers of t of the terms whose coefficient is 2 in the three units is different mod 7). By our previous argument, the inertial h -cobordisms $V_{\phi_1} = M \times I$, V_{ϕ_2} and V_{ϕ_3} are non-diffeomorphic inertial h -cobordisms starting at M , so $|I(M)| \geq 3$. Note that $V_{\phi_i} \cong V_{\phi_{7-i}}$ for $i = 1, \dots, 6$. Conversely, suppose that $V : M \xrightarrow{h} M$ is an inertial h -cobordism (by possibly post-composing with a mapping cylinder, we may assume that the target of W is M itself). Then the inertial h -cobordism $\overline{W} \circ V \circ W : L \xrightarrow{h} L$


 FIGURE 2. h -cobordisms rel boundary $D(W) \xrightarrow{h} L \times I$ and $D(\overline{W}) \xrightarrow{h} M \times I$.

must be trivial as $I(L) = 0$, and $(h^{\overline{W} \circ V \circ W})_*^{-1} = (h^W)_*^{-1} (h^V)_*^{-1} h_*^W = (\phi_i)_*$ for some $i \in (\mathbb{Z}/7)^\times$ because $\text{Aut}(\pi_1 L) \cong (\mathbb{Z}/7)^\times$. Using $\tau(\overline{W} \circ V \circ W, L) = 0$ we get that

$$\tau(V, M) = (h^V)_*^{-1} h_*^W u - h_*^W u = h_*^W ((\phi_i)_* u - u) = \tau(V_{\phi_i}, M),$$

i.e. V is diffeomorphic to V_{ϕ_i} rel M . Hence, $|I(M)| = 3$ and this finishes the proof. \square

Remark 4.8. This is an example of how badly-behaved the set of inertial h -cobordisms of a manifold may be. For one thing, it is not an h -cobordism invariant. It is also *not* a subgroup of $\text{Wh}(M) \cong \text{Wh}(C_7) \cong \mathbb{Z}^2$ (see [Bas64, §7] and [Ste78, pp. 202–205]) because $I(M)$ is a finite subset with cardinality strictly greater than 1. See [Hau80, Rmk. 6.2] for more instances of this phenomenon.

Warning 4.9. The main theorem of [KS99] states that $I(M) = 0$ if M is a fake lens space, that is, the orbit space of a free (possibly non-linear) action of a finite cyclic group on a sphere. This clearly contradicts Proposition 4.7, but we believe that the proof of the result in [KS99] is fallacious: following a trail of references [KS99, Claim 2], [KS92, Prop. 3.2] and [Kwa86, p. 353], it is eventually stated that if $\tau \in \text{Wh}(M)$ is the torsion of some inertial h -cobordism of M , then $2\tau = 0$. This supposedly follows from the proof of [Mil66, Prop. 12.8], but in the statement of this result it is required that the h -cobordism between special manifolds be compatible with the given identifications of the fundamental groups, i.e., that $h_*^W : \text{Wh}(M) \rightarrow \text{Wh}(M')$ has been trivialised beforehand. This requirement does not hold in the case of [Kwa86, p. 353], and is exactly what we exploit in the proof of Proposition 4.7.

We now deal with $\textcircled{\text{II}}$. As mentioned in Remark 4.8, $\text{Wh}(L) = \text{Wh}(C_7) \cong \mathbb{Z}^2$, and since the algebraic involution on $\text{Wh}(L)$ is trivial and L is odd-dimensional,

$$H_1(C_2; \text{Wh}(L)) = \mathbb{Z}^2 / 2\mathbb{Z}^2 \cong \mathbb{Z}/2 \oplus \mathbb{Z}/2,$$

which is definitely finite. It remains to verify that $\pi_1(\widetilde{B\text{Diff}}(L)) = \pi_0(\widetilde{\text{Diff}}(L))$ is finite. Since the natural map $\pi_0(\text{Diff}(L)) \rightarrow \pi_0(\widetilde{\text{Diff}}(L))$ is surjective, it suffices to show

Proposition 4.10. *The mapping class group $\Gamma(L) = \pi_0(\text{Diff}(L))$ of L is finite. In particular, $W : L \xrightarrow{h} M$ satisfies condition $\textcircled{\text{II}}$ of Proposition 4.5.*

Proof. According to the main result of [HJ83], the mapping class group of L fits into an extension of groups

$$(4.5) \quad 0 \longrightarrow Q \oplus H \longrightarrow \pi_0(\text{Diff}(L)) \longrightarrow \pi_0(s\text{Aut}(L)) \longrightarrow 0.$$

The group H is the image of $[\Sigma L_+, \text{Top}/O]_*$ in $[\Sigma L_+, G/O]_*$. By [HS76, Thm. 1.1], the group Q also appears in an exact sequence

$$(4.6) \quad L_{2n+2}^s(\mathbb{Z}[C_7]) \longrightarrow H_0(C_2; \text{Wh}_2(C_7) \oplus \text{Wh}_1^+(C_7; \mathbb{F}_2)) \longrightarrow Q \longrightarrow L_{2n+1}^s(\mathbb{Z}[C_7]),$$

where, for an abelian group with involution π ,

- $L_*^s(\mathbb{Z}\pi)$ are the simple quadratic L -groups of the group ring $\mathbb{Z}\pi$,

- $\text{Wh}_2(\pi)$ is the abelian group (with involution) defined as the cokernel of the map arising from algebraic K -theory (see (B.1))

$$(4.7) \quad \pi_2^s((B\pi)_+) \longrightarrow K_2(\mathbb{Z}\pi) \longrightarrow \text{Wh}_2(\pi) \longrightarrow 0,$$

- $\text{Wh}_1^+(\pi; \mathbb{F}_2) := H_0(C_2; \mathbb{F}_2[\pi])$ with a certain involution.

We now show that each of the groups in the extension (4.5) are finite. As mentioned in the proof of Proposition 4.7, $\pi_0(s\text{Aut}(L)) \subset \pi_0(h\text{Aut}(L)) \subset (\mathbb{Z}/7)^\times$, so it is definitely finite.

By Proposition A.1, $K_2(\mathbb{Z}[C_7])$ is finite, and hence so is $\text{Wh}_2(C_7)$ by (4.7). Since $\mathbb{F}_2[C_7]$ is finite, $\text{Wh}_1^+(C_7; \mathbb{F}_2)$ is so too. Moreover, the (simple) L -theory of $\mathbb{Z}\pi$ for finite groups π of odd order is zero in odd degrees [Bak75, Thm. 1]. Therefore $L_{2n+1}^s(\mathbb{Z}[C_7]) = 0$, and thus Q is finite by (4.6).

The finiteness of H is a consequence of the following two observations: firstly that the infinite loop space Top/O has finite homotopy groups in every degree (see [KS77, Thm. 5.5]). Secondly that if X is a (pointed) finite CW -complex and Y a (pointed) space with finite homotopy groups in every degree, then the set $[X, Y]_*$ of (pointed) maps from X to Y up to homotopy is finite—Indeed, this follows easily by induction on the skeleta $\{X_k\}_{k \geq 0}$ of X by considering the cofibre sequences

$$X_{k-1} \hookrightarrow X_k \twoheadrightarrow \bigvee_{i \in I_k} S^k,$$

where I_k is a finite set (because X is a finite CW -complex). Hence $[\Sigma L_+, \text{Top}/O]_*$ is finite, and thus so is H . This finishes the proof. \square

Remark 4.11. For $CAT = \text{Top}$ or PL , the group H of (4.5) should be replaced by the image of $[\Sigma L_+, \text{Top}/CAT]_*$ in $[\Sigma L_+, G/CAT]$, which readily vanishes for $CAT = \text{Top}$ and is seen to be finite too for $CAT = PL$ (see e.g. [Bru68]), so the same argument in the proof of Proposition 4.10 goes through.

5. PROOF OF THEOREM A(ii)

In this section we finish the proof of Theorem A using the candidate $W : L \xrightarrow{h} M$ of Theorem 4.6. We would hope that $\text{Diff}(L)$ and $\widetilde{\text{Diff}}(L)$ differ as much as $\text{Diff}(M)$ and $\widetilde{\text{Diff}}(M)$ do, so that the difference of block mapping class groups established in Theorem 4.6 carries over to the Diff -level. This is the case in some range and *up to extensions* [WW88, Thm. A].

Theorem 5.1 (Weiss–Williams). *Let M^d be compact a smooth d -manifold. There exists a map*

$$\Phi^s : \widetilde{\text{Diff}}/\text{Diff}(M) \longrightarrow \Omega^\infty(\Sigma^{-1} \mathbf{Wh}_s^{\text{Diff}}(M)_{hC_2})$$

which is $(\phi_M + 1)$ -connected, where ϕ_M denotes the concordance stable range of M (which by Igusa's theorem [Igu88] is at least $\min(\frac{d-4}{3}, \frac{d-7}{2})$).

Remark 5.2. We denote by $\mathbf{Wh}_s^{\text{Diff}}(M)$ the (smooth) *simple Whitehead spectrum* of M , that is, the 1-connective cover of the *smooth Whitehead spectrum* $\mathbf{Wh}^{\text{Diff}}(M)$ (see Appendix B.2). It inherits the algebraic involution from this latter spectrum, but this is not exactly the C_2 action involved in the statement of Theorem 5.1. To be precise, the desuspension Σ^{-1} stands for smashing with the representation sphere $\mathbb{S}^{-\sigma} \wedge \mathbb{S}^{d \cdot (\sigma-1)}$, where $\sigma : C_2 \rightarrow \{\pm 1\} \subset \mathbb{R}$ is the sign representation and 1 stands for the trivial one-dimensional representation. So, for instance, the involution on $\pi_0(\Sigma^{-1} \mathbf{Wh}^{\text{Diff}}(M)) \cong \text{Wh}(M)$ is the rule $\tau \mapsto (-1)^{d-1} \bar{\tau}$, as in Theorem B. We expand on the relation between Theorems B and 5.1 in Appendix B.2.

The Whitehead spectrum is a homotopy invariant (see (B.1)), and since $d = 12k - 1 \geq 11$ (so $\phi_M + 1 \geq 2$), the fibration sequence $\text{Diff}(-) \rightarrow \widetilde{\text{Diff}}(-) \rightarrow \widetilde{\text{Diff}}/\text{Diff}(-)$ for $(-) = L$ and M

gives extensions

$$(5.1) \quad \begin{array}{c} \pi_1(\widetilde{\text{Diff}}/\text{Diff}(L)) \xrightarrow{\partial} \pi_0(\text{Diff}(L)) \longrightarrow \pi_0(\widetilde{\text{Diff}}(L)) \longrightarrow 0 \\ \text{Thm. 5.1 +} \\ \text{htpy. invariance} \Big| \cong \\ \text{of } \underline{\mathbf{Wh}}_s^{\text{Diff}}(-) \Big| \downarrow \\ \pi_1(\widetilde{\text{Diff}}/\text{Diff}(M)) \longrightarrow \pi_0(\text{Diff}(M)) \longrightarrow \pi_0(\widetilde{\text{Diff}}(M)) \longrightarrow 0. \end{array}$$

We know from Theorem 4.6 that $|\pi_0(\widetilde{\text{Diff}}(L))| = 3 \cdot |\pi_0(\widetilde{\text{Diff}}(M))|$, so in order to prove Theorem A(ii) it suffices to establish the next result.

Proposition 5.3. *The group $\pi_1(\widetilde{\text{Diff}}/\text{Diff}(L))$ is finite and its cardinality is not divisible by 3. Together with Theorem 4.6, it follows that the 3-adic valuations of $|\Gamma(L)|$ and $|\Gamma(M)|$ differ. This proves Theorem A(ii).*

Proof. By Theorem 5.1, $\pi_1(\widetilde{\text{Diff}}/\text{Diff}(L)) \cong \pi_1^s(\Sigma^{-1} \underline{\mathbf{Wh}}_s^{\text{Diff}}(L)_{hC_2})$, and hence by the Bousfield–Kan spectral sequence $E_{p,q}^2 = H_p(C_2; \pi_{q+1}^s(\underline{\mathbf{Wh}}_s^{\text{Diff}}(L))) \Rightarrow \pi_{p+q}^s(\Sigma^{-1} \underline{\mathbf{Wh}}_s^{\text{Diff}}(L)_{hC_2})$ (cf. [BK72]),

$$\pi_1(\widetilde{\text{Diff}}(L)/\text{Diff}(L)) \cong H_0(C_2; \pi_2^s(\underline{\mathbf{Wh}}^{\text{Diff}}(L))).$$

The (once-looped) *stable parametrised h -cobordism theorem* (see e.g. [WJR13, Thm. 0.1]) due to Hatcher, Waldhausen, et al., establishes an equivalence $\Omega^{\infty+2} \underline{\mathbf{Wh}}^{\text{Diff}}(L) \simeq \mathcal{C}^{\text{Diff}}(L)$, where

$$\mathcal{C}^{\text{Diff}}(L) := \text{hocolim}_{k \rightarrow \infty} C(L \times I^k)$$

denotes the space of (smooth) *stable concordances* of L . This gives $\pi_2^s(\underline{\mathbf{Wh}}^{\text{Diff}}(L)) \cong \pi_0(\mathcal{C}^{\text{Diff}}(L))$ which, by the computation of Hatcher–Wagoner [HW73], is given by

$$\Sigma \oplus \theta : \pi_0(\mathcal{C}^{\text{Diff}}(L)) \xrightarrow{\cong} \text{Wh}_2(C_7) \oplus \text{Wh}_1^+(C_7; \mathbb{F}_2).$$

This holds if $\dim L = d \geq 7$, which is the case. All in all, we get

$$\pi_1(\widetilde{\text{Diff}}/\text{Diff}(L)) \cong H_0(C_2; \text{Wh}_2(C_7) \oplus \text{Wh}_1^+(C_7; \mathbb{F}_2)).$$

We have already argued that $\text{Wh}_2(C_7) \oplus \text{Wh}_1^+(C_7; \mathbb{F}_2)$ is a finite group in the proof of Proposition 4.10, and both of the summands are 3-locally trivial ($\text{Wh}_1^+(C_7; \mathbb{F}_2)$ is 2-torsion, and $\text{Wh}_2(C_7)$ is a quotient of $K_2(\mathbb{Z}[C_7])$, which has no 3-torsion by Proposition A.1). The result then follows, and finishes the proof of Theorem A. \square

Remark 5.4. For $CAT = \text{Top}$ or PL , the Whitehead spectrum $\underline{\mathbf{Wh}}^{\text{Diff}}(L)$ should be replaced by its topological version $\underline{\mathbf{Wh}}^{\text{Top}}(L)$ (see (B.2)). To argue that $\pi_2^s(\underline{\mathbf{Wh}}^{\text{Top}}(L))$ is finite and 3-local as in the previous proof, we consider the diagram of cofibre sequences of spectra

$$\begin{array}{ccccc} \mathbb{S}^0 \wedge L_+ & \xrightarrow{\iota} & \mathbf{A}(L) & \longrightarrow & \underline{\mathbf{Wh}}^{\text{Diff}}(L) \\ \downarrow & & \parallel & & \downarrow \\ \mathbf{A}(\ast) \wedge L_+ & \xrightarrow{\alpha} & \mathbf{A}(L) & \longrightarrow & \underline{\mathbf{Wh}}^{\text{Top}}(L) \\ \downarrow & & \downarrow & & \downarrow \\ \underline{\mathbf{Wh}}^{\text{Diff}}(\ast) \wedge L_+ & \longrightarrow & \ast & \longrightarrow & \Sigma \underline{\mathbf{Wh}}^{\text{Diff}}(\ast) \wedge L_+. \end{array}$$

Then $\pi_2^s(\underline{\mathbf{Wh}}^{\text{Top}}(L))$ will be finite and 3-locally trivial if $\pi_2^s(\Sigma \underline{\mathbf{Wh}}^{\text{Diff}}(\ast) \wedge L_+) \cong \pi_1^s(\underline{\mathbf{Wh}}^{\text{Diff}}(\ast) \wedge L_+)$ is. This in turn follows from the Atiyah–Hirzebruch spectral sequence, as $\underline{\mathbf{Wh}}^{\text{Diff}}(\ast) \simeq \underline{\mathbf{Wh}}^{\text{Diff}}(D^5)$ by the homotopy invariance of the Whitehead spectrum, and because the latter is 1-connective by the s -cobordism theorem (in fact it is 2-connective by Cerf’s pseudoisotopy theorem).

Remark 5.5 (Another example). Theorem A also holds for the lens space $L = L_5^{8k-1}(r_1 : \dots : r_{4k})$, where

$$r_1 = \dots = r_k = 1, \quad r_{k+1} = \dots = r_{2k} = 2, \quad \dots \quad r_{3k+1} = \dots = r_{4k} = 4 \pmod{5},$$

and the h -cobordism $W : L \xrightarrow{h} M$ with $\tau(W, L) = [1 - t - t^4] \in \text{Wh}(C_5)$. The argument for part (i) is exactly analogous to that of Subsection 4.2, but part (ii) is trickier. The inertia set $I(M)$ will have size two (instead of three), and the group $\text{Wh}_1^+(C_5; \mathbb{F}_2)$ does have 2-torsion. The alternative then is to show directly that the map ∂ in (5.1) is injective by identifying $\pi_1(\widetilde{\text{Diff}}/\text{Diff}(L))$ with the cobordism group $\pi_0(\mathcal{B}(L))$ of [HJ83, p.1]. However, this argument does rely on the claim made in the proof of [HJ83, Sublemma 4.2] that certain map $H_0(C_2; \text{Wh}_2(C_5)) \rightarrow L_{8k-1}^{\text{St}}(C_5)$ is injective when inverting the prime 2. We do not know how to prove this, nor have we found a reference that does.

APPENDIX A. AN ALGEBRAIC K -THEORY COMPUTATION

The aim of this section is to prove the following.

Proposition A.1. *For p a prime, $K_2(\mathbb{Z}[C_p])$ is finite. Moreover when $p = 7$, its 3-torsion part vanishes:*

$$K_2(\mathbb{Z}[C_7])_{(3)} = 0.$$

The main ingredient of this computation is the main theorem of Land–Tamme [LT19]: Given a Milnor square of ring (spectra)

$$\begin{array}{ccc} A & \longrightarrow & B \\ \downarrow & & \downarrow \\ A' & \longrightarrow & B', \end{array}$$

i.e., a pullback square of ring spectra with $\pi_0(B) \rightarrow \pi_0(B')$ surjective, they functorially associate a connective ring spectrum \mathcal{R} for which there is a Mayer–Vietoris sequence for algebraic K -theory

$$(A.1) \quad \dots \longrightarrow K_{i+1}(\mathcal{R}) \longrightarrow K_i(A) \longrightarrow K_i(A') \oplus K_i(B) \longrightarrow K_i(\mathcal{R}) \longrightarrow \dots$$

for every $i \in \mathbb{Z}$. Moreover, there is an equivalence of spectra $\mathcal{R} \rightarrow A' \otimes_A B$ (but *not* of \mathbb{E}_1 -rings in general) and a map of \mathbb{E}_1 -rings $\mathcal{R} \rightarrow B'$. For p a prime, the pullback square we will consider is

$$(A.2) \quad \begin{array}{ccc} \mathbb{Z}[C_p] \cong \mathbb{Z}[t]/(1-t^p) & \longrightarrow & \mathbb{Z}(\zeta_p) \cong \mathbb{Z}[t]/(1+t+\dots+t^{p-1}) \\ \downarrow_{t=1} & \xrightarrow{\text{mod } p} & \downarrow_{t=1} \\ \mathbb{Z} & \longrightarrow & \mathbb{Z}/p, \end{array}$$

or rather that induced by applying the Eilenberg–MacLane functor $H(-)$ to (A.2). A straightforward computation of $\text{Tor}_i^{\mathbb{Z}[C_p]}(\mathbb{Z}, \mathbb{Z}(\zeta_p))$ shows that

$$\pi_i^s(\mathcal{R}) \cong \begin{cases} \mathbb{Z}/p, & i = 2k \geq 0, \\ 0, & \text{otherwise.} \end{cases}$$

Hence, the natural map $\mathcal{R} \rightarrow H\mathbb{Z}/p$ is an isomorphism on π_0 and a $\mathbb{Z}[1/p]$ -equivalence of connective \mathbb{E}_1 -rings. Therefore by [LT19, Lem. 2.4], it induces an isomorphism of localised K -theory $K_*(\mathcal{R}) \otimes \mathbb{Z}[1/p] \cong K_*(\mathbb{Z}/p) \otimes \mathbb{Z}[1/p]$. A portion of the exact sequence (A.1) localised away from p thus reads

$$(A.3) \quad \left(K_3(\mathbb{Z}) \oplus K_3(\mathbb{Z}(\zeta_p)) \rightarrow K_3(\mathbb{Z}/p) \rightarrow K_2(\mathbb{Z}[C_p]) \rightarrow K_2(\mathbb{Z}) \oplus K_2(\mathbb{Z}(\zeta_p)) \right) \otimes \mathbb{Z}\left[\frac{1}{p}\right].$$

We first analyse the (3-adic part of the) map $K_3(\mathbb{Z}) \rightarrow K_3(\mathbb{Z}/p)$ for $p \neq 3$.

Lemma A.2. *The map $K_3(\mathbb{Z})_{(3)} \rightarrow K_3(\mathbb{Z}/p)_{(3)}$ is injective for $p \neq 3$.*

Proof. According to [Qui76, p.186], for every integer $k \geq 1$ and odd prime ℓ , the composition $\pi_{4k-1}^s \rightarrow K_{4k-1}(\mathbb{Z}) \rightarrow K_{4k-1}(\mathbb{Z}/p)$ induces an isomorphism

$$\mathrm{Im}(J : \pi_{4k-1}(O) \rightarrow \pi_{4k-1}^s(\ell) \cong K_{4k-1}(\mathbb{Z}/p)_{(\ell)}).$$

For $k = 1$, the image of the J -homomorphism is the whole of $\pi_3^s \cong \mathbb{Z}/24$, $K_3(\mathbb{Z}/p) \cong \mathbb{Z}/(p^2 - 1)$ by [Qui72, Thm. 8(i)], and $K_3(\mathbb{Z}) \cong \mathbb{Z}/48$ by [LS76]. Noting that $3 \mid p^2 - 1$ if $p \neq 3$ is prime, the result readily follows setting $\ell = 3$ above. \square

Proof of Proposition A.1. It is well known that $K_2(\mathbb{Z}) \cong \mathbb{Z}/2$ [Mil71, Cor. 10.2], $K_3(\mathbb{Z}/p) \cong \mathbb{Z}/(p^2 - 1)$ and K_2 of the ring of integers of a number field is finite [Qui73, Thm. 1], [Bor74, Prop. 12.2] (in particular $K_2(\mathbb{Z}(\zeta_p))$ is). A very similar argument to [LT19, Lem. 2.4] replacing the Serre class of Λ -local abelian groups with the Serre class of finitely generated abelian groups shows that the map $K_3(\mathcal{R}) \rightarrow K_3(\mathbb{Z}/p)$ is an equivalence mod this Serre class, so as $K_3(\mathbb{Z}/p) \cong \mathbb{Z}/(p^2 - 1)$ is finitely generated, so is $K_3(\mathcal{R})$. In fact since $K_3(\mathcal{R})$ is finitely generated and $K_3(\mathcal{R}) \otimes \mathbb{Z}[1/p] \cong K_3(\mathbb{Z}/p) \otimes \mathbb{Z}[1/p] \cong \mathbb{Z}/(p^2 - 1)$ is finite, $K_3(\mathcal{R})$ is finite too. It follows from (A.3) that $K_2(\mathbb{Z}[C_p])$ is finite for every p .

Let now $p = 7$ so that $K_3(\mathbb{Z}/7) \cong \mathbb{Z}/48$, and hence by Lemma A.2, the map $\mathbb{Z}/3 \cong K_3(\mathbb{Z})_{(3)} \rightarrow K_3(\mathbb{Z}/7)_{(3)} \cong \mathbb{Z}/3$ is an isomorphism. Now $K_2(\mathbb{Z}(\zeta_7)) = \mathbb{Z}/2$ [ZXDS21, Thm. 1.1], and localising (A.3) at the prime $3(\neq p = 7)$ we get that $K_2(\mathbb{Z}[C_7])_{(3)} = 0$. \square

APPENDIX B. CONNECTIONS TO WEISS–WILLIAMS I

B.1. The group of h -block diffeomorphisms $\widetilde{\mathrm{Diff}}^h(M)$. Recall that $\widetilde{\mathrm{Diff}}^b(M \times \mathbb{R})_\bullet$ denotes the semi-simplicial group of block diffeomorphisms of $M \times \mathbb{R}$ *bounded in the \mathbb{R} -direction*—a p -simplex consists of a face-preserving diffeomorphism $\phi : M \times \mathbb{R} \times \Delta^p \xrightarrow{\cong} M \times \mathbb{R} \times \Delta^p$ such that there exists some positive constant $K > 0$ with $|\mathrm{pr}_{\mathbb{R}} \phi(x, t, v) - t| < K$ for all $(x, t, v) \in M \times \mathbb{R} \times \Delta^p$. In this section we prove

Proposition B.1. *For $d = \dim M \geq 5$, there is a zig-zag of weak equivalences of Kan semi-simplicial sets*

$$\Omega B \widetilde{\mathrm{Diff}}^h(M)_\bullet \xleftarrow[\simeq]{\mathcal{R}_\bullet} \widetilde{\mathrm{Diff}}_{>1/2}^b(M \times \mathbb{R})_\bullet \xrightarrow[\simeq]{} \widetilde{\mathrm{Diff}}^b(M \times \mathbb{R})_\bullet.$$

In particular, there are homotopy equivalences

$$\widetilde{\mathrm{Diff}}^h(M) := |\Omega B \widetilde{\mathrm{Diff}}^h(M)_\bullet| \simeq |\widetilde{\mathrm{Diff}}_{>1/2}^b(M \times \mathbb{R})_\bullet| \simeq |\widetilde{\mathrm{Diff}}^b(M \times \mathbb{R})_\bullet|.$$

Let us explain the new notation. Recall that the simplicial loop space $\Omega B \widetilde{\mathrm{Diff}}^h(M)_\bullet$ has as p -simplices those $(p+1)$ -simplices $W \Rightarrow \Delta^{p+1}$ of $B \widetilde{\mathrm{Diff}}^h(M)_\bullet$ with $W_0 = M$ and $\partial_0 W = M \times \Delta^p$. The sub-semi-simplicial set $\widetilde{\mathrm{Diff}}_{>1/2}^b(M \times \mathbb{R})_\bullet \subset \widetilde{\mathrm{Diff}}^b(M \times \mathbb{R})_\bullet$ has as p -simplices those bounded diffeomorphisms $\phi : M \times \mathbb{R} \times \Delta^p \xrightarrow{\cong} M \times \mathbb{R} \times \Delta^p$ with

$$\phi(M \times (1/2, \infty) \times \Delta^p) \subset M \times (1/2, \infty) \times \Delta^p.$$

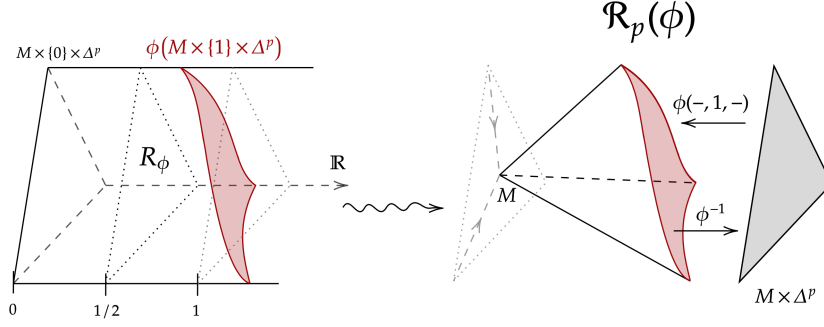
The map \mathcal{R}_\bullet sends a diffeomorphism $\phi \in \widetilde{\mathrm{Diff}}_{>1/2}^b(M \times \mathbb{R})_p$ to the region in $M \times \mathbb{R} \times \Delta^p$ enclosed by $M \times \{0\} \times \Delta^p$ and $\phi(M \times \{1\} \times \Delta^p)$, seen as a $(p+1)$ -simplex in $B \widetilde{\mathrm{Diff}}^h(M)_\bullet$. More precisely, if we denote this region by R_ϕ , then

$$\mathcal{R}_p(\phi) := (R_\phi \cup_{\phi^{-1}} M \times \Delta^p) / \sim, \quad (x, 0, v) \sim (x, 0, w), \quad \forall v, w \in \Delta^p, x \in M,$$

where $\phi^{-1} : \phi(M \times \{1\} \times \Delta^p) \xrightarrow{\cong} M \times \Delta^p$ (see Figure 3). The manifold $\mathcal{R}_p(\phi)^{d+p+1}$ is stratified over Δ^{p+1} with $\mathcal{R}_p(\phi)_0 = [M \times \{0\} \times \Delta^p] \cong M$ and $\partial_0 \mathcal{R}_p(\phi) = [\phi(M \times \{1\} \times \Delta^p)] = M \times \Delta^p$, so it constitutes a p -simplex in $\Omega B \widetilde{\mathrm{Diff}}^h(M)_\bullet$. Clearly \mathcal{R}_\bullet is a semi-simplicial map.

We have to argue that both of the maps in the zig-zag of Proposition B.1 are equivalences. We begin with the inclusion.

Lemma B.2. *The inclusion $\widetilde{\mathrm{Diff}}_{>1/2}^b(M \times \mathbb{R})_\bullet \xrightarrow[\simeq]{} \widetilde{\mathrm{Diff}}^b(M \times \mathbb{R})_\bullet$ is a weak equivalence.*


 FIGURE 3. The map \mathcal{R}_\bullet with $p = 2$ and $\dim M = 0$.

Proof. For a smooth function $\rho : \Delta^p \rightarrow \mathbb{R}$, let T_ρ denote the bounded diffeomorphism

$$T_\rho : M \times \mathbb{R} \times \Delta^p \xrightarrow{\cong} M \times \mathbb{R} \times \Delta^p, \quad (x, t, v) \mapsto (x, t + \rho(v), v).$$

We first show that if $\phi \in \widetilde{\text{Diff}}^b(M \times \mathbb{R})_p$ with $\partial_i \phi \in \widetilde{\text{Diff}}^b_{>1/2}(M \times \mathbb{R})_{p-1}$ for all $i = 0, \dots, p$, then there exists some $\psi \in \widetilde{\text{Diff}}^b_{>1/2}(M \times \mathbb{R})_p$ with $\partial_i \psi = \partial_i \phi$ for $i = 0, \dots, p$ (simplicially) homotopic to ϕ in $(\widetilde{\text{Diff}}^b(M \times \mathbb{R}), \bullet, \widetilde{\text{Diff}}^b_{>1/2}(M \times \mathbb{R}), \bullet)$. So let ϕ be such a diffeomorphism and set

$$t_- := 1/2 - \min \{ \text{pr}_{\mathbb{R}}(\phi(x, 1/2, v)) : x \in M, v \in \Delta^p \}.$$

As ϕ is continuous, there exists some $\delta > 0$ such that for a δ -neighbourhood $B_\delta(\partial \Delta^p)$ of $\partial \Delta^p \subset \Delta^p$,

$$\phi(M \times (1/2, \infty) \times B_\delta(\partial \Delta^p)) \subset M \times (1/2, \infty) \times \Delta^p.$$

Let $\rho : \Delta^p \rightarrow \mathbb{R}_{\geq 0}$ be a smooth cut-off function such that

$$\rho|_{B_{\delta/2}(\partial \Delta^p)} \equiv 0, \quad \rho|_{\Delta^p \setminus B_\delta(\partial \Delta^p)} \equiv t_-.$$

Then $\psi := T_\rho \circ \phi \in \widetilde{\text{Diff}}^b_{>1/2}(M \times \mathbb{R})_p$ is as required. Moreover, the diffeomorphism

$$T_{(-, \rho)} \circ \phi : (M \times \mathbb{R} \times \Delta^p) \times I \xrightarrow{\cong} (M \times \mathbb{R} \times \Delta^p) \times I, \quad (x, t, v, s) \mapsto T_{s, \rho}(\phi(x, t, v))$$

provides the required simplicial homotopy between ϕ and ψ .

It follows easily from the previous claim that $\pi_p(\widetilde{\text{Diff}}^b_{>1/2}(M \times \mathbb{R}), \bullet) \rightarrow \pi_p(\widetilde{\text{Diff}}^b(M \times \mathbb{R}), \bullet)$ is an isomorphism for all $p \geq 0$. \square

Lemma B.3. *The map \mathcal{R}_\bullet is a weak equivalence.*

Proof. There is a map of fibration sequences

$$\begin{array}{ccccc} \Omega B \widetilde{\text{Diff}}(M)_\bullet & \xleftarrow[M_{(-)}]{\cong} & \widetilde{\text{Diff}}(M)_\bullet & & \\ \downarrow & & \downarrow (-, \text{Id}_{\mathbb{R}}) & & \\ \Omega B \widetilde{\text{Diff}}^h(M)_\bullet & \xleftarrow{\mathcal{R}_\bullet} & \widetilde{\text{Diff}}^b_{>1/2}(M)_\bullet & & \\ \downarrow & & \downarrow & & \\ \widetilde{\text{Diff}}^h / \widetilde{\text{Diff}}(M)_\bullet & \xleftarrow{[\mathcal{R}_\bullet]} & \widetilde{\text{Diff}}^b_{>1/2}(M \times \mathbb{R}) / \widetilde{\text{Diff}}(M) & \xrightarrow{\cong} & \widetilde{\text{Diff}}^b(M \times \mathbb{R}) / \widetilde{\text{Diff}}(M) \end{array}$$

The map $M_{(-)}$ is the mapping cylinder construction, so it is an equivalence. In [WW88, Cor. 5.5] it is shown that the map

$$\pi_*([\mathcal{R}_\bullet]) : \pi_*(\widetilde{\text{Diff}}^b(M \times \mathbb{R}) / \widetilde{\text{Diff}}(M)) \longrightarrow H_*(C_2; \text{Wh}(M))$$

is injective if $*$ = 0 and an isomorphism if $*$ \geq 1. Clearly the image of $\pi_0([\mathcal{R}_\bullet])$ lies inside $\frac{I(M)}{\mathcal{D}(M)} \cong \pi_0(\widetilde{\text{Diff}}^h / \widetilde{\text{Diff}}(M))$, as $\mathcal{R}_0(\phi)$ is an inertial h -cobordism for any $\phi \in \text{Diff}^b(M \times \mathbb{R})$. By

the five lemma, $\pi_*(\mathcal{R}_\bullet)$ is an isomorphism for $* \geq 1$, and $\pi_0(\mathcal{R}_\bullet)$ is injective (note that $\frac{I(M)}{\mathcal{D}(M)}$ is just a set, but this does not cause any difficulties in the argument).

It remains to show that $\pi_0(\mathcal{R}_\bullet)$ is surjective. We do this by an *Eilenberg swindle*-like argument as in [WW88, Cor. 5.5]: namely given an inertial h -cobordism $W \in \Omega B\widetilde{\text{Diff}}^h(M)_0$, fix two trivialisations (rel the left ends) $W \cup -W \cong M \times [0, 1]$ and $-W \cup W \cong M \times [0, 1]$. Then there are two different ways of identifying the Eilenberg swindle

$$S(W) := \dots \cup W \cup -W \cup W \cup \dots = \dots \cup -W \cup W \cup -W \cup \dots$$

with $M \times \mathbb{R} = \bigcup_{i \in \mathbb{Z}} M \times [i, i+1]$ (in a bounded way). After shifting by an integer, these two identifications give rise to a bounded diffeomorphism $\phi \in \widetilde{\text{Diff}}_{>1/2}^b(M \times \mathbb{R})_0$ such that $\mathcal{R}_0(\phi)$ is diffeomorphic to $M \times [0, 2] \cup_{M \times \{2\}} W$ (see Figure 4). The homotopy

$$t \in [0, 1] \longmapsto M \times [0, 1 - 2t] \cup_{M \times \{1-2t\}} W$$

provides a 1-simplex in $\Omega B\widetilde{\text{Diff}}^h(M)_\bullet$ between $\mathcal{R}_0(\phi)$ and W , so $\pi_0(\mathcal{R}_\bullet)([\phi]) = [W]$ as required. We also obtain that $\pi_0(\widetilde{\text{Diff}}^b(M \times \mathbb{R})/\widetilde{\text{Diff}}(M)) \cong \frac{I(M)}{\mathcal{D}(M)} \subset H_0(C_2; \text{Wh}(M))$, which very slightly improves [WW88, Cor. 5.5]. \square

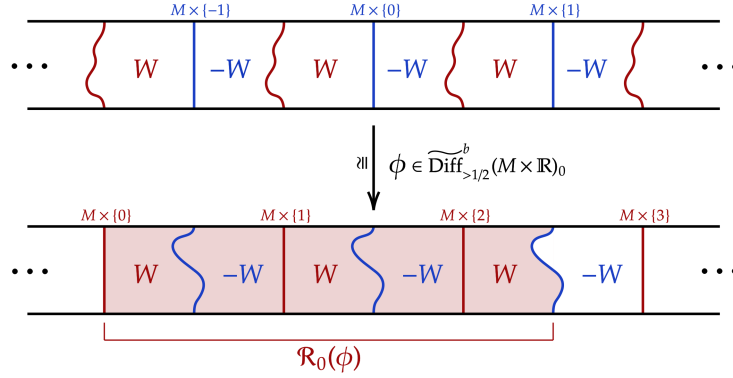


FIGURE 4. Geometric Eilenberg swindle.

Proof of Proposition B.1. Every term in the zig-zag is a Kan complex; the (simplicial) loop space of a Kan complex is Kan, every (semi-)simplicial group is Kan [Moo54, Thm. 3], and $\widetilde{\text{Diff}}_{>1/2}^b(M \times \mathbb{R})_\bullet$ is visibly Kan (as $\widetilde{\text{Diff}}^b(M \times \mathbb{R}^\infty)_\bullet$ is). Moreover, the simplicial loop space and the Kan loop group are weakly equivalent functors. Since geometric realisations of weak equivalences between Kan complexes are homotopy equivalences, the homotopy equivalence in the second line of the statement follows. \square

B.2. The Whitehead spectrum and Theorem B in the context of Weiss–Williams I. For each smooth manifold M^d there exists a (non-connective) spectrum $\mathbf{Wh}^{\text{Diff}}(M)$ called the *smooth Whitehead spectrum* of M which recovers the Whitehead group of M ,

$$\pi_1^s(\mathbf{Wh}^{\text{Diff}}(M)) = \text{Wh}(M).$$

It is defined to fit in a (co)fibre sequence of spectra

$$(B.1) \quad \Sigma_+^\infty M \xrightarrow{\iota} \mathbf{A}(M) \twoheadrightarrow \mathbf{Wh}^{\text{Diff}}(M),$$

where $\mathbf{A}(-)$ denotes Walhausen’s A -theory spectrum [Wal85, WJR13]. The map ι is the composition of the unit map of A -theory $\Sigma_+^\infty M = \mathbb{S}^0 \wedge M_+ \rightarrow \mathbf{A}(*) \wedge M_+$ and the *assembly map* $\alpha : \mathbf{A}(*) \wedge M_+ \rightarrow \mathbf{A}(M)$. The topological and piecewise linear versions of the Whitehead

spectrum of a CAT -manifold M coincide, and are denoted, slightly abusively, by $\underline{\mathbf{Wh}}^{\text{Top}}(M)$. Explicitly, it fits in a similar cofibre sequence of spectra

$$(B.2) \quad \mathbf{A}(\ast) \wedge M_+ \xrightarrow{\alpha} \mathbf{A}(M) \longrightarrow \underline{\mathbf{Wh}}^{\text{Top}}(M).$$

The Whitehead spectrum is an invariant of the homotopy type of M , for all ι , $\Sigma_+^\infty M$ and $\mathbf{A}(M)$ are. Vogell describes in [Vog85] an involution for Waldhausen's \mathbf{A} -theory. The Whitehead spectrum $\underline{\mathbf{Wh}}^{\text{Diff}}(M)$ inherits this involution which, on the level of $\pi_1^s(\underline{\mathbf{Wh}}^{\text{Diff}}(M)) = \mathbf{Wh}(M)$, coincides with the algebraic involution $\tau \mapsto \bar{\tau}$.

With this in mind, let us explain the relation of Theorem B to the work of [WW88]. Following the trend of the paper, define the (smooth) h -Whitehead spectrum to be

$$\underline{\mathbf{Wh}}_h^{\text{Diff}}(M) := \tau_{\geq 1} \underline{\mathbf{Wh}}^{\text{Diff}}(M),$$

the 0-connective cover of the Whitehead spectrum. It fits in a (C_2 -equivariant) fibration sequence of spectra

$$(B.3) \quad \Sigma^{-1} \underline{\mathbf{Wh}}_s^{\text{Diff}}(M) \longrightarrow \Sigma^{-1} \underline{\mathbf{Wh}}_h^{\text{Diff}}(M) \longrightarrow H\mathbf{Wh}(M),$$

where the desuspension Σ^{-1} is as in Remark 5.2, and C_2 acts on $\mathbf{Wh}(M)$ as in Theorem B. In [WW88, Thm. B & C] there is established the outer solid square of the homotopy commutative diagram

$$\begin{array}{ccc} \widetilde{\text{Diff}}/\text{Diff}(M) & \xrightarrow[\approx]{\Phi^s} & \Omega^\infty(\Sigma^{-1} \underline{\mathbf{Wh}}_s^{\text{Diff}}(M)_{hC_2}) \\ \downarrow & & \downarrow \\ \widetilde{\text{Diff}}^b(M \times \mathbb{R})/\text{Diff}(M) & \xrightarrow[\approx_0]{\text{Prop. B.1}} \widetilde{\text{Diff}}^h/\text{Diff}(M) \xrightarrow[\approx_0]{\Phi^h} & \Omega^\infty(\Sigma^{-1} \underline{\mathbf{Wh}}_h^{\text{Diff}}(M)_{hC_2}) \\ \downarrow & & \downarrow \\ \widetilde{\text{Diff}}^b(M \times \mathbb{R}^\infty)/\text{Diff}(M) \simeq \text{Diff}^b(M \times \mathbb{R}^\infty)/\text{Diff}(M) & \xrightarrow[\approx_0]{\Phi} & \Omega^\infty(\Sigma^{-1} \underline{\mathbf{Wh}}^{\text{Diff}}(M)_{hC_2}) \end{array}$$

and proved to be homotopy cartesian. The decoration \approx stands for $(\phi_M + 1)$ -connected, and \approx_0 for $(\phi_M + 1)$ -connected onto the components that are hit, where we recall that ϕ_M is the concordance stable range for M (see Theorem 5.1). The existence of the dashed arrow Φ^h is analogous to that of Φ^s (in a similar notation as in [WW88, §4], replace the filtration of $X := \text{Diff}^b(M \times \mathbb{R}^\infty)$ by $\Sigma \text{Filt}_i(X) := \text{Diff}^b(M \times \mathbb{R}^{i+1})$). The connectivity of Φ^h can be deduced from that of Φ^s and Φ .

Applying the functor $\Omega^\infty((-)_{hC_2})$ to (B.3), we obtain a map of fibration sequences

$$\begin{array}{ccc} \widetilde{\text{Diff}}/\text{Diff}(M) & \xrightarrow[\approx]{\Phi^s} & \Omega^\infty(\Sigma^{-1} \underline{\mathbf{Wh}}_s^{\text{Diff}}(M)_{hC_2}) \\ \downarrow & & \downarrow \\ \widetilde{\text{Diff}}^h/\text{Diff}(M) & \xrightarrow[\approx_0]{\Phi^h} & \Omega^\infty(\Sigma^{-1} \underline{\mathbf{Wh}}_h^{\text{Diff}}(M)_{hC_2}) \\ \downarrow & & \downarrow \\ \widetilde{\text{Diff}}^h/\widetilde{\text{Diff}}(M) & \xrightarrow[\approx_0]{\Phi^{[1]}} & \Omega^\infty(H\mathbf{Wh}(M)_{hC_2}), \end{array}$$

where the map $\Phi^{[1]}$ coincides (up to homotopy) with the composition

$$\widetilde{\text{Diff}}^h/\widetilde{\text{Diff}}(M) \xrightarrow[\approx_0]{} |F_\bullet(M)| \xrightarrow[\simeq]{\text{Thm. B}} \Omega^\infty(H\mathbf{Wh}(M)_{hC_2}).$$

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