

# Principal Ideal Domains and Factorization

A *principal ideal domain* (PID) is an integral domain  $R$  in which every ideal is principal, i.e. of the form

$$(a) = \{ra \mid r \in R\}$$

for some  $a \in R$ .

An element  $a \neq 0$  in a ring  $R$  is said to be *irreducible* if  $a$  is not a unit and whenever  $a = bc$  then one of  $b, c$  is a unit.

An element  $a \neq 0$  of a ring  $R$  is said to be *prime* if  $a$  is not a unit and whenever  $a \mid bc$  then either  $a \mid b$  or  $a \mid c$ .

**Proposition 1.** *If  $R$  is an integral domain then a prime element is necessarily irreducible.*

*Proof.* Take  $a$  prime in  $R$ , an integral domain. Then  $a$  is not a unit. Also, if  $a = bc$  then  $a \mid bc$  and so wlog we may assume  $a \mid b$  so  $b = ra$  for some  $r \in R$  and so  $a = arc$ ; as  $R$  is an integral domain,  $1 = rc$  and  $c$  is a unit.  $\square$

**Proposition 2.** *An irreducible element in a PID is prime.*

*Proof.* Take  $a$  irreducible in a PID  $R$ . Suppose  $a \mid bc$ . Consider

$$(a, b) = \{\lambda a + \mu b \mid \lambda, \mu \in R\} \triangleleft R.$$

As  $R$  is a PID we have  $(a, b) = d$  for some  $d \in R$ . Now,  $d \mid a$  and so we can write  $a = de$ , say. As  $a$  is irreducible, EITHER  $d$  is a unit and so  $(a, b) = (1) = R$  and we can write  $1 = \lambda a + \mu b$  for some  $\lambda, \mu \in R$  — but then  $c = \lambda ca + \mu bc$ , and as  $a \mid \lambda ca$  and  $a \mid \mu bc$  we have  $a \mid c$ ; OR  $e$  is a unit, in which case  $d = ae^{-1}$  and  $a \mid d$  but then  $d \mid b$  (because  $b \in (d)$ ) and so  $a \mid b$ . This shows that  $a$  is prime.  $\square$

**Proposition 3.** *Every PID is Noetherian.*

*Proof.* Let  $R$  be a PID and suppose we have an ascending chain

$$(a_1) \subset (a_2) \subset (a_3) \subset \dots$$

of ideals in  $R$ . Then

$$\bigcup_{i=1}^{\infty} (a_i) \triangleleft R.$$

So

$$\bigcup_{i=1}^{\infty} (a_i) = (b)$$

for some  $b \in R$ . So  $b \in (a_k)$  for some  $k$ , and then

$$(b) \subset (a_k) \subset (a_{k+1}) \subset \dots \subset (b)$$

and so

$$(a_k) = (a_{k+1}) = (a_{k+2}) = \dots$$

□

**Proposition 4.** *In a PID, every non-zero element factorizes as a product of irreducible elements (“units are empty products”).*

*Proof.* Suppose not. Then there is a non-factorizable element  $a$ , say.  $a$  is not irreducible and so we can write  $a = a_1 b_1$  a proper factorization and where we have  $a_1$ , say, non-factorizable. Continuing this argument we get  $a_1 = a_2 b_2$ ,  $a_2 = a_3 b_3$ , and so on, proper factorizations with each  $a_i$  a non-factorizable element, i.e. we have a sequence  $a, a_1, a_2, a_3, \dots$  with

$$(a) \subsetneq (a_1) \subsetneq (a_2) \subsetneq (a_3) \subsetneq \dots$$

contradicting Proposition 3. □

**Theorem 5.** *In a PID, any non-zero element can be factorized as  $a = up_1 \dots p_k$  where  $u$  is a unit,  $p_1, p_2, \dots, p_k$  are prime, and this factorization is essentially unique in the sense that if  $a = up_1 \dots p_k = vq_1 \dots q_l$  are two prime factorizations then  $k = l$  and, after renumbering the  $q_i$ , we have  $p_i \sim q_i$ , i.e. there exists a unit  $w_i$  such that  $p_i = w_i q_i$ .*

*Proof.* In a PID we have factorization into irreducibles; but the irreducibles are prime; so any  $a \neq 0$  (whether  $a$  is a unit or not) can be written in the form  $a = up_1 \dots p_k$ .

Suppose  $a = up_1 \dots p_k = vq_1 \dots q_l$ . Then  $p_k | vq_1 \dots q_l$  so, as  $p_k$  prime,  $p_k$  must divide some  $q_j$  so by renumbering we can assume  $p_k | q_l$ . Then  $p_k \sim q_l$ , say  $p_k = wq_l$  where  $w$  is a unit. Then  $up_1 \dots p_{k-1}wq_l = vq_1 \dots q_{l-1}q_l$  and cancelling  $q_l$ , we get  $wp_1 \dots p_{k-1} = vq_1 \dots q_{l-1}$  and so we complete by induction. □