

① Extensionality of Morris's + Wadsworth's relations

Morris's relation

$M \leq N$ iff whenever $C[M] \rightarrow \text{nf}$
then $C[N] \rightarrow \text{nf}$

(I do not know the reference for this, but recall it was discussed in Wadsworth's thesis)

Wadsworth's relation

$M \leq N$ iff whenever $C[M] \rightarrow \text{hnf}$
then $C[N] \rightarrow \text{hnf}$

(I am not sure that this was in Wadsworth's thesis, but think that he was the first to consider it.)

[Of course this is the preorder induced by the standard D₀ model.]

The extensionality principle is of the form

$$\forall L \quad \underline{ML \leq NL}$$

$$M \leq N$$

Concentrate on Wadsworth's relation to start off with as it involves less computation (i.e. just to hnf).

Deal first with the case of closed terms M and N.

We show the stronger fact

$$\forall L_1, \dots, L_n. \quad M L_1 \dots L_n \rightarrow hnf \Rightarrow N L_1 \dots L_n \rightarrow hnf$$

($n \geq 1$)

$$M \leq N.$$

[Is the hypothesis 'applicative bisimulation' in this case?]

The intuition is as follows:

Assume the hypothesis.

Suppose $C[M] \rightarrow hnf$. We may as well consider head reduction. There will be a last time in the reduction sequence that a descendent of one of the occurrences of M in $C[M]$ appears 'at the head': at that moment the term looks like

$$\lambda (\text{some variables}). M X_1 \dots X_n.$$

Of course there may be occurrences of M in X_1, \dots, X_n , but these remain dormant

denoting the rest of the reduction to hnf and so could be replaced (by constants or else) by occurrences of N ; let $X_1' \dots X_n'$ be $X_1 \dots X_n$ with occurrences of descendants of M replaced by occurrences of N .

Clearly

$$\lambda(\text{some variables}). M X_1' \dots X_n' \rightarrow \text{hnf}$$

Hence by hypothesis,

$$\lambda(\text{some variables}). N X_1' \dots X_n' \rightarrow \text{hnf}$$

Now go back to the next to last appearance of M at the head; at that moment the term looks

$$\lambda(\text{perhaps variables}). M Y_1 \dots Y_m$$

Consider the head reduction

$$M Y_1 \dots Y_m \rightarrow \lambda(\text{a few more variables}) M X_1 \dots X_n$$

All the occurrences of descendants of M in $Y_1 \dots Y_m$ remain dormant & one of them eventually appears as the M at the head of $M X_1 \dots X_n$. Hence they could be replaced (by constants or else) by N ; let $Y_1' \dots Y_m'$

be $Y_1 \dots Y_m$ with occurrences of descendant
of M replaced by occurrences of N .

Clearly then

λ (fewer perhaps variables). $M Y_1' \dots Y_m'$

$\longrightarrow \lambda$ (some variables). $N X_1' \dots X_n'$

$\longrightarrow \text{luf}$

Hence by hypothesis

λ (fewer perhaps variables). $N Y_1' \dots Y_m' \longrightarrow \text{luf}$.

Continuing inductively in this way we
see in the end that $C[N] \longrightarrow \text{luf}$
as required.

[Remark: When we use the hypothesis
in the proof the L_i may be open but
it doesn't matter one way or the other.]

In case M and N are not closed I guess we aim to show that

$$\left(\begin{array}{l} \forall \text{ substitutions } (\cdot)' \text{ and } \forall L_1 \dots L_n \\ (M)' L_1 \dots L_n \rightarrow \text{hnf} \Rightarrow (N)' L_1 \dots L_n \rightarrow \text{hnf} \end{array} \right)$$

$$M \leq N$$

The proof goes through as before except we have to deal with the appearance at the head of descendants of occurrences of M which will have the form $(M)'$; and we have to keep track of these substitutions as we work back.

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Now consider Morris's relation. We replace but by if throughout the above. Instead of head reduction we consider normal order (?) reduction or indeed any kind of iterated head reduction (which doesn't interleave where it's working).

The same strategy of working back from the last ~~time~~ time a descendent of M appears at the head position where reduction is taking place will. I guess the details look a bit more complicated?