

# The Eisenstein quotient

Reading Group Mazur's Theorem

fall 2020

## Where we left

Fix  $N \geq 11$  prime,  $N \neq 13$  (so  $g = g(X_0(N)) > 0$ ).

Goal: construct a quotient  $A$  of  $J_0(N)$  so that

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As  $A$  has good reduction outside  $N$ , we have seen that this implies there exist no rational elliptic curves with  $N$ -torsion, i.e.  $Y_1(N)(\mathbb{Q}) = \emptyset$ .

## Intermezzo on reduction of $X_0(N)$

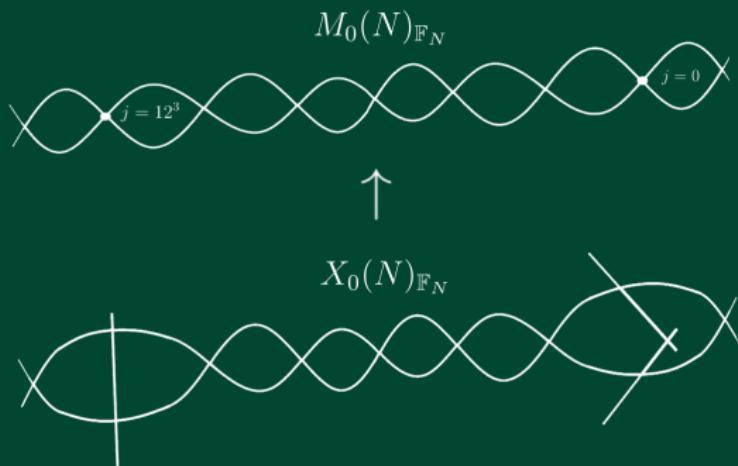
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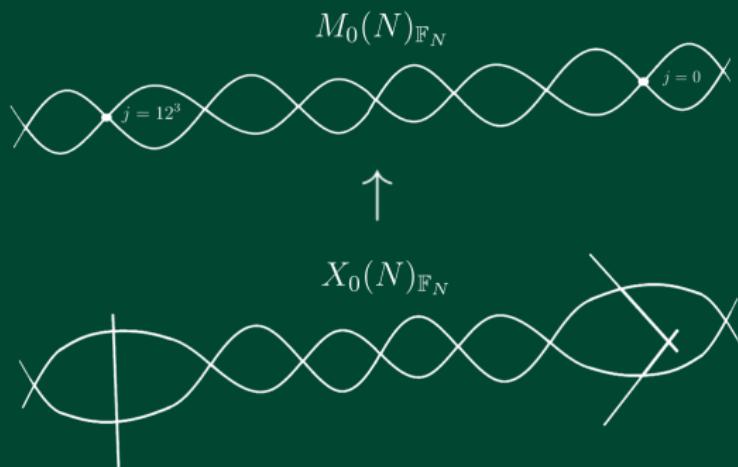
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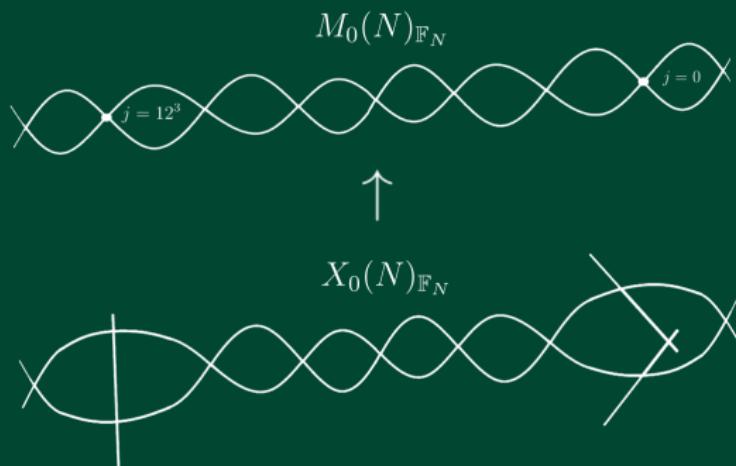
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Néron model of  $J_0(N)/\mathbb{Q}$  is smooth outside  $N$  and totally toric at  $N$ . Any quotient  $A$  inherits these properties.

# Overview

Reminders on  $J_0(N)$  and the Hecke algebra

Construction of the Eisenstein Quotient

Main argument

Computation of  $\alpha$  (the number of  $\mathbb{Z}/p\mathbb{Z}$ 's)

Computation of  $\delta$  (the defect at  $N$ )

Recall:

- $S_2(\Gamma_0(N)) \cong H^0(X_0(N)_{\mathbb{C}}, \Omega^1)$

- Hodge decomposition

$$H^1(X_0(N)_{\mathbb{C}}, \mathbb{Z}) \otimes \mathbb{C} = S_2(\Gamma_0(N)) \oplus \overline{S_2(\Gamma_0(N))}$$

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- ▶ Dualizing and tensoring with  $\mathbb{Q}_l$  where ( $l \neq N$ ), we see  $V_l(J_0(N))$  is a rank 2  $\mathbb{T}_{\mathbb{Q}_l}$ -module

have bijections:

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### Notation.

for  $f \in S_2(\Gamma_0(N))$  normalised eigenform, denote  $\mathfrak{p}_f$  for kernel of eigenvalue homomorphism  $\mathbb{T} \rightarrow \mathbb{C}$ , generated by  $T_l - a_l(f)$  for  $l \neq N$ .

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The abelian variety  $A_f := J_0(N)/\mathfrak{p}_f J_0(N)$  has dimension  $[K_f : \mathbb{Q}]$ .

It is in fact simple (we won't need this).

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Denote  $e_f \in \mathbb{T}_{\mathbb{Q}} = \prod_f K_f$  for the idempotent of  $K_f$ , then for some  $n > 0$ , each  $ne_f$  lies in  $\mathbb{T}$ , so  $n = \sum_f ne_f$ . One checks  $ne_f J_0(N) = A_f$ , and so we get an isogeny  $\prod_f A_f \rightarrow J_0(N)$  ■

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## Corollary.

$V_l J_0(N)$  decomposes as a product

$$V_l J_0(N) = \prod_f \prod_{\lambda \text{ in } K_f \text{ lying over } l} V_{f,\lambda},$$

where  $V_{f,\lambda}$  are 2-dimensional  $\lambda$ -adic representations

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- The ideal  $I$  is the intersection of minimal primes in  $\mathfrak{a}$ :

$$I = \bigcap_{\mathfrak{p}_f \subset \mathfrak{a}} \mathfrak{p}_f = \bigcap_{n \geq 1} \mathfrak{a}^n.$$

The  $(p)$ -Eisenstein quotient is the abelian variety  
 $A = J_0(N)/IJ_0(N)$

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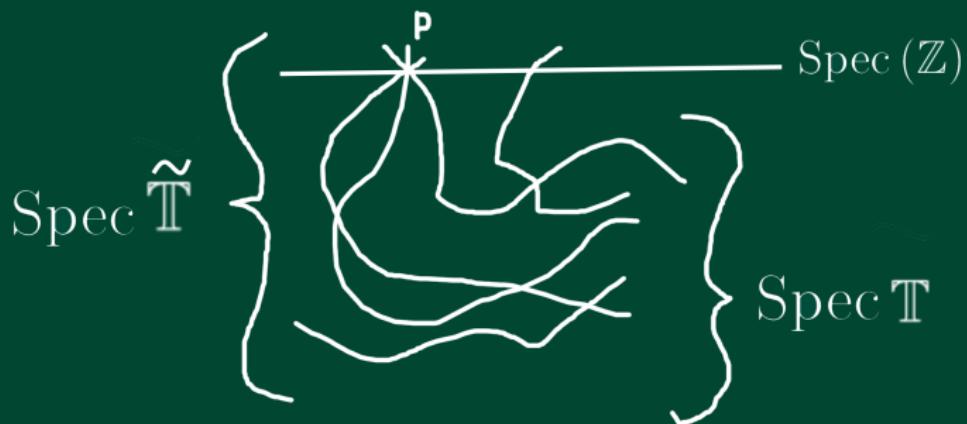
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*This observation will allow us to apply machinery of admissible group schemes*

# Eisenstein ideal, geometrically

$$\widetilde{\mathbb{T}} \subset \text{End}(M_2(\Gamma_0(N))) \text{ and } \mathbb{T} \subset \text{End}(S_2(\Gamma_0(N)))$$



## Proposition.

$\mathfrak{a}$  is a proper maximal ideal of  $\mathbb{T}$

## Proof.

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for  $l \neq p, N$ . Therefore, the image of  $\mathfrak{a}$  in  $\mathcal{O}_f$  is contained in  $\lambda$ , and  $\mathfrak{a}$  is a proper ideal. Finally,  $0 \neq \mathbb{T}/\mathfrak{a} \subset \mathcal{O}_f/\mathfrak{p}_f$ , so  $\mathfrak{a}$  is maximal. ■

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$$0 \rightarrow IJ_0(N)[p^\infty] \rightarrow J_0(N)[p^\infty] \rightarrow A[p^\infty] \rightarrow 0$$

as  $\hat{\mathbb{T}}_p$ -modules.

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finally, by choice of  $p$ , a multiple of  $[0] - [\infty]$  is nontrivial  $\mathfrak{a}$ -torsion in  $J_0(N)$  and so the same is true in  $A$ . ■

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## Reminders on admissibility

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## $\mathcal{A}[\mathfrak{a}]$ is admissible

$$\mathcal{A}[\mathfrak{a}]/\mathbb{Q} = \bigcap_{\alpha \in \mathfrak{a}} \text{kernel of } \alpha \text{ in } \mathcal{A}[p]/\mathbb{Q}$$

is a finite subgroup scheme of  $\mathcal{A}[p]/\mathbb{Q}$ . Define  $\mathcal{A}[\mathfrak{a}]$  to be Zariski closure in  $\mathcal{A}[p]$ . Still quasifinite flat over  $\mathbb{Z}$  and finite over  $\mathbb{Z}[1/N]$ , hence preadmissible.

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## Lemma

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For  $l \neq p, N$ ,  $\text{Frob}_l$  satisfies  $(X - l)(X - 1)$  in  $\text{Aut}(\mathcal{A}[\mathfrak{a}])$ .

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Actually, we can pass to  $\text{Gal}(K/\mathbb{Q})$ -modules for some  $K/\mathbb{Q}$  abelian, hence by Cebotarev all  $g \in \text{Gal}(K/\mathbb{Q})$  have the same characteristic polynomial on  $W^{ss}$  and  $(\mathbb{Z}/p\mathbb{Z})^d \oplus \mu_p^d$ . By Brauer-Nesbitt these semisimple representations are isomorphic, so  $V^{ss}$  is a sum of  $\mathbb{Z}/p\mathbb{Z}$ 's and  $\mu_p$ 's



# Admissability of the $\mathfrak{a}$ -component

Let us denote

$$\mathcal{G}_n := \mathcal{A}[p^n][\mathfrak{a}^\infty] = \mathcal{A}[p^n]_{\mathfrak{a}} = J_0(N)[p^n]_{\mathfrak{a}}$$

(' $\mathfrak{a}$ ' component), it is the direct summand of  $\mathcal{A}[p^n]$  on which  $\hat{\mathbb{T}}_{\mathfrak{a}}$  acts nontrivially.

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## Proposition

$\mathcal{G}_n$  is admissible

## Proof.

Suppose  $a_1, \dots, a_n$  generate  $\mathfrak{a}^n/\mathfrak{a}^{n+1}$ , then the map  $x \mapsto a_1x \oplus \dots \oplus a_nx$  yields an injection of  $\mathcal{A}[\mathfrak{a}^{(n+1)}]/\mathcal{A}[\mathfrak{a}]$  into  $\mathcal{A}[\mathfrak{a}]^n$  as  $G(\overline{\mathbb{Q}}/\mathbb{Q})$ -modules. So each  $\mathcal{A}[\mathfrak{a}^n]$  satisfies JH( $p$ ).  $\mathcal{G}_n$  actually lies in  $\mathcal{A}[\mathfrak{a}^m]$  for some  $m$ . ■

# Fundamental inequality

Recall that for any admissible group  $G/\mathbb{Z}$ , we defined the invariants  $\alpha$  (the number of  $\mathbb{Z}/p\mathbb{Z}$ 's in an admissible filtration) and  $\delta$  (defect of lengths over  $\overline{\mathbb{Q}}$  and  $\overline{\mathbb{F}}_N$ ), we showed

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$$h^1(G) - h^0(G) \leq \delta(G) - \alpha(G)$$

Later we will show that for  $d = \text{rank}_{\mathbb{Z}_p} \hat{\mathbb{T}}_{\mathfrak{a}}$  we have

## Lemma

$$\alpha(\mathcal{G}_n) = nd + O(1) \quad \text{and} \quad \delta(\mathcal{G}_n) = nd + O(1)$$

# Deduction from computations of $\alpha$ and $\delta$

## Theorem

$A(\mathbb{Q})$  has rank 0.

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Denote  $\mathcal{H}_n = \mathcal{A}^\circ[p^n]_{\mathfrak{a}}$ . Then

$$\begin{aligned} h^1(\mathcal{H}_n) - h^0(\mathcal{H}_n) &\leq \delta(\mathcal{H}_n) - \alpha(\mathcal{H}_n) \\ &= (\delta(\mathcal{G}_n) + O(1)) - \alpha(\mathcal{G}_n) \\ &= O(1) \end{aligned}$$

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note that  $H^0_{fppf}(\mathrm{Spec}(\mathbb{Z}), \mathcal{H}_n) \subset \mathcal{A}(\mathbb{Z})[p^n] = A(\mathbb{Q})[p^n]$  has bounded size by Mordell-Weil.

## Proof (continued).

The Kummer sequence in fppf cohomology yields an injection

$$\mathcal{A}^\circ(\mathbb{Z}) \otimes \mathbb{Z}_p \rightarrow \lim_{\leftarrow} H_{fppf}^1(\mathrm{Spec}(\mathbb{Z}), \mathcal{A}^\circ[p^n])$$

tensoring by  $\mathbb{T}$  and apply the idempotent  $e$ :

$$\mathcal{A}^\circ(\mathbb{Z}) \otimes_{\mathbb{T}} \hat{\mathbb{T}}_{\mathfrak{a}} \rightarrow \lim_{\leftarrow} H_{fppf}^1(\mathrm{Spec}(\mathbb{Z}), \mathcal{H}_n)$$

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$A_f(\mathbb{Q}) = \mathcal{A}(\mathbb{Q})/\mathfrak{p}_f A(\mathbb{Q})$  is a finitely generated module over  $\mathcal{O}_f$ , so if we take completions at  $\mathfrak{a}$  we see that  $A_f(\mathbb{Q})$  is finite. We conclude by the isogeny  $A \rightarrow \prod_{\mathfrak{p}_f \subset \mathfrak{a}} A/\mathfrak{p}_f A$ . ■

# Overview

Reminders on  $J_0(N)$  and the Hecke algebra

Construction of the Eisenstein Quotient

Main argument

Computation of  $\alpha$  (the number of  $\mathbb{Z}/p\mathbb{Z}$ 's)

Computation of  $\delta$  (the defect at  $N$ )

Recall  $\mathcal{G}_n = \mathcal{A}[p^n]_{\mathfrak{a}}$ , and we set  $d = \text{rank}_{\mathbb{Z}_p} \hat{\mathbb{T}}_{\mathfrak{a}}$ .

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## length of $\mathcal{G}_n$

$$\text{len}(\mathcal{G}_n) = 2nd + O(1)$$

## Proof.

Let  $\mathcal{G} = \mathcal{A}[p^\infty]_{\mathfrak{a}}$ , this is a  $p$ -divisible group, with  $p$ -adic Tate module  $V_p(\mathcal{G}) = T_p(\mathcal{G}) \otimes_{\mathbb{Q}} \mathbb{Q}_p$  free of rank 2 over  $\hat{\mathbb{T}}_{\mathfrak{a}}[1/p]$  as  $p$  is ordinary.

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$$\begin{aligned}\text{len}(\mathcal{G}_n) &= \text{len}(\mathcal{G}[p^n]) \\ &= \text{len}(T_p(\mathcal{G})/p^n T_p(\mathcal{G})) \\ &= \text{len}(T'/p^n T') + O(1) \\ &= 2nd + O(1)\end{aligned}$$



idea: descent selfduality  $J_0(N)$  to  $\mathfrak{a}$ -component

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Hence  $\mathcal{G}_n$  is Cartier selfdual, thus

$$\alpha = 1/2 \operatorname{len}(\mathcal{G}_n) = nd + O(1).$$



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First we study how the inertia  $I$  at  $N$  acts on the  $p$ -adic Tate module.

**Lemma.**

for any abelian variety  $A$  with good reduction at  $p$ ,

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In general, for finite étale group schemes over  $\mathbb{Z}_N$  we have

$$G(\overline{\mathbb{Q}_N}) = G(\overline{\mathbb{F}}_N)$$



# How to compute $\delta$ for $\mathcal{A}[p^n]$

As  $A$  has completely toric reduction at  $N$ , so

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$$\begin{aligned}\delta(\mathcal{A}[p^n]) &= \text{len}(\mathcal{A}[p^n]) - \text{len}(\mathcal{A}[p^n]_{\mathbb{F}_N}) \\ &= 2n \dim(A) - n \dim A \\ &= n \dim A\end{aligned}$$

## Passing to $\mathfrak{a}$

applying the idempotent  $e$ , we get

Corollary (of last lemma)

$$\mathcal{G}_n(\overline{\mathbb{F}}_N) = \mathcal{G}_n(\overline{\mathbb{Q}}_N)^I$$

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Proposition.

If  $U \subset V_p A$  is any summand, we have  $\dim(U^I) = 1/2 \dim(U)$ . This applies in particular to the Tate module  $V_p \mathcal{G}$  of the  $p$ -divisible group  $\mathcal{G} = \mathcal{A}[p^\infty]_{\mathfrak{a}} = eV_p(A)$

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Say  $U \oplus U' = V$ . Clearly  $U^I \oplus U'^I = V^I$  and  $\dim V_p A^I = 1/2 \dim V_p A$ ,

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Say  $U \oplus U' = V$ . Clearly  $U^I \oplus U'^I = V^I$  and  $\dim V_p A^I = 1/2 \dim V_p A$ , so suffices to show the claim  $\dim U^I \geq 1/2 \dim U$  (and similar for  $U'$ ).

## Proof. (continued)

- ▶ By semistability inertia acts unipotently on  $U$ , and wild inertia  $P$  acts trivially, as pro- $N$ . also  $I/P$  is pro-cyclic, say topologically generated by  $g$ , have  $U^I = U^g$

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- ▶ All  $V_{f,\lambda}$  for  $\lambda$  above  $p$  in  $K_f$ ,  $\mathfrak{p}_f \subset \mathfrak{a}$  are 2-dimensional,  $(g-1)^2$  on  $V_p A$ .

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Therefore

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## Remark

More generally, Grothendieck's orthogonality theorem implies that inertia acts unipotently on the Tate module of any semistable abelian variety in 2 steps, i.e.  $(g-1)^2 = 0$  holds.

# Computing inertia invariants

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## Proof.

Let  $T = T_p \mathcal{G}$  and  $V = V_p \mathcal{G}$ . Galois cohomology gives

$$0 \rightarrow T^I / p^n T^I \rightarrow (T / p^n T)^I \rightarrow H^1(I, T)[p^n] \rightarrow 0$$

and  $H^1(I, T)$  is a finitely generated  $\mathbb{Z}_p$ -module.

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Now using the previous lemma,

$$\text{len}(T^I/p^n T^I) = \dim(V^I) = \frac{1}{2} \dim V = nd$$

For the last step, recall  $V$  is a free rank 2  $\hat{\mathbb{T}}_a[1/p]$ -module. ■

# Computation of $\delta$

Finally,

$$\begin{aligned}\delta(\mathcal{G}_n) &= \text{len}(\mathcal{G}_n) - \text{len}((\mathcal{G}_n)_{\overline{\mathbb{F}}_N}) \\ &= 2nd - \text{len}(\mathcal{G}_n(\overline{\mathbb{Q}}_N)^I) + O(1) \\ &= nd + O(1)\end{aligned}$$