

# Proof of Theorem A

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## Theorem (Theorem A)

Let  $N > 7$  be prime. Suppose there exists an abelian variety  $A/\mathbb{Q}$  and a morphism  $f: X_0(N) \rightarrow A$  with the following properties:

- $A$  has good reduction outside  $N$ .
- $f(0) \neq f(\infty)$ .
- $A(\mathbb{Q})$  has rank zero, i.e.  $A(\mathbb{Q})$  is torsion.

Then no elliptic curve over  $\mathbb{Q}$  has a point of order  $N$ , i.e.  $Y_1(N)(\mathbb{Q}) = \emptyset$ .

The proof will be similar to the case of  $X_1(31)$ , but more involved.

# First reduction

Let  $E/\mathbb{Q}$  have a point  $P$  of order  $N$ . Get sequence of  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ -modules:

$$0 \rightarrow \mathbb{Z}/N\mathbb{Z} \rightarrow E[N] \rightarrow \mu_N \rightarrow 0.$$

It will suffice to prove:

## Theorem (1)

*The above sequence splits:  $E[N] \simeq \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$ .*

Suppose Theorem (1) holds.

- Set  $E_1 = E$ ,  $P_1 = P$ .
- Define  $E_2 = E_1/\mu_N$ ,  $P_2 = \text{image of } P_1 \text{ in } E_1 \rightarrow E_2$ . Then  $P_2 \in E_2[N](\mathbb{Q})$ .

Continuing this, we get a chain of degree  $N$  isogenies

$$E_1 \rightarrow E_2 \rightarrow E_3 \rightarrow \dots$$

with rational  $N$ -torsion points  $P_i \in E_i(\mathbb{Q})$ .

# First reduction

Such a chain

$$E_1 \rightarrow E_2 \rightarrow E_3 \rightarrow \dots$$

cannot exist! Suppose it does.

$X_1(N)(\mathbb{Q})$  is finite

Reason:  $X_1(N) \rightarrow X_0(N) \rightarrow A$  finite fibres and  $A(\mathbb{Q})$  finite.

Then  $E_i \simeq E_j$  for some  $i < j$ . Let  $\phi: E_i \rightarrow E_i$  be the composite

$$E_i \rightarrow E_{i+1} \rightarrow \dots \rightarrow E_j \simeq E_i.$$

$\phi$  is multiplication by a power of  $N$ .

Reason:  $\deg \phi$  is a power of  $N$  and  $\text{End } E = \mathbb{Z}$ .

But then  $\phi(P_i) = 0$  is of order  $N$ , contradiction!

## Strategy for proving Theorem (1)

- Carefully analyze local behaviour of  $E$ .
- Prove that  $E[N] = \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$  over  $\mathbb{Q}_p$  for all primes of bad reduction of  $E$ .
- Use non-trivial input from class groups of cyclotomic fields.

### Notation

Let  $\mathcal{E}/\mathbb{Z}$  be the Néron model of  $E$ ,  $\mathcal{P} \in \mathcal{E}(\mathbb{Z})$  the point extending  $P$ .

### Important fact

For any  $K/\mathbb{Q}_p$  with  $e(K/\mathbb{Q}_p) < p - 1$  and abelian variety  $A/K$  with Néron model  $\mathcal{A}/\mathcal{O}_K$ , the reduction

$$\mathcal{A}(\mathcal{O}_K)_{tors} \rightarrow \mathcal{A}(k) \quad (\mathcal{O}_K/\pi = k)$$

is injective. It's injective on prime-to- $p$  torsion, even if  $e(K/\mathbb{Q}_p) \geq p - 1$ .

## Step 1: semistability

### Step 1

$E$  is semistable.

#### Proof.

Let  $p$  be a prime of additive reduction. By important fact,  $\mathcal{P}_{\mathbb{F}_p} \in \mathcal{E}(\mathbb{F}_p)$  has order  $N$ . Since  $\#\pi_0(\mathcal{E}_{\mathbb{F}_p}) \leq 4$ ,  $\mathcal{P}_{\mathbb{F}_p}$  lies in fact in  $\mathcal{E}_{\mathbb{F}_p}^\circ$ . Since  $\mathcal{E}_{\mathbb{F}_p}^\circ \simeq \mathbb{G}_a$ , we must have  $p = N$ .

Suppose  $E$  acquires semistable reduction after  $K/\mathbb{Q}_N$ . We may assume that  $e(K/\mathbb{Q}_N) \leq 6$ . Let  $\mathcal{E}'/\mathcal{O}_K$  be the Néron model of  $E_K$ . We have a morphism  $\phi: \mathcal{E} \times_{\mathbb{Z}_N} \mathcal{O}_K \rightarrow \mathcal{E}'$ . But  $\phi(\mathcal{E}_k^\circ) = \{0\}$ , so  $\phi(\mathcal{P}_k) = 0$ . By important fact and assumption  $N > 7$ ,  $\mathcal{P}_k$  has order  $N$  in  $\mathcal{E}'(k)$ , contradiction.



## Step 2: the primes 2,3

### Step 2

Let  $p \in \{2, 3\}$ . Then  $E$  has bad reduction at  $p$  and  $\mathcal{P}_{\mathbb{F}_p} \notin \mathcal{E}_{\mathbb{F}_p}^\circ$ .

### Proof.

By important fact,  $\mathcal{P}_{\mathbb{F}_p} \in \mathcal{E}(\mathbb{F}_p)$  has order  $N$ . If  $E$  has good reduction at  $p$ , then  $\#\mathcal{E}(\mathbb{F}_p)$  violates the Hasse bound. By Step 1,  $E$  has multiplicative reduction at  $p$ . So  $\mathcal{E}_{\mathbb{F}_p}^\circ \simeq \mathbb{G}_m$  or a norm 1 torus  $N_{\mathbb{F}_{p^2}/\mathbb{F}_p} \mathbb{G}_m$ . They have  $p-1$  and  $p+1$  points respectively, so  $\mathcal{P}_{\mathbb{F}_p} \notin \mathcal{E}_{\mathbb{F}_p}^\circ$ . □

## Step 3: the remaining bad primes

### Step 3

Let  $p \notin \{2, 3\}$  be a prime of bad reduction for  $E$ . Then  $\mathcal{P}_{\mathbb{F}_p} \notin \mathcal{E}_{\mathbb{F}_p}^\circ$ .

### Proof.

Suppose  $p = N$ . Then  $\mathcal{E}_{\mathbb{F}_N}^\circ$  has  $N \pm 1$  points, so cannot contain a point of order  $N$ .

Suppose  $p \neq N$ . Consider the modular curve  $X_0(N) \rightarrow \text{Spec } \mathbb{Z}[1/N]$ . It has three relevant  $\mathbb{Z}[1/N]$ -points:

- The cusp  $0 = (\mu_N \subset \mathbb{G}_m)$ .
- The cusp  $\infty = (\mathbb{Z}/N\mathbb{Z} \subset \mathbb{G}_m \times \mathbb{Z}/N\mathbb{Z})$ .
- $x = (E, \langle P \rangle) \in X_0(N)(\mathbb{Q}) = X_0(N)(\mathbb{Z}[1/N])$ .

The point  $x$  reduces to  $0 \bmod p$  if  $\mathcal{P}_{\mathbb{F}_p} \in \mathcal{E}_{\mathbb{F}_p}^\circ$ , and to  $\infty$  otherwise.



## Step 3: the remaining bad primes

Let  $q \neq N$  be an odd prime. Consider

$$\begin{array}{ccc} X_0(N)(\mathbb{Q}) & \xrightarrow{f} & A(\mathbb{Q}) \\ \downarrow & & \downarrow \\ X_0(N)(\mathbb{F}_q) & \xrightarrow{f} & \mathcal{A}(\mathbb{F}_q) \end{array}$$

## Step 4

### Step 4

Let  $p$  be a prime of bad reduction for  $E$  or  $p = N$ . Then  $E[N] \simeq \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$  over  $\mathbb{Q}_p$ .

### Proof.

It suffices to prove that  $\mathbb{Z}/N\mathbb{Z} \subset E[N]$  has a complement over  $\mathbb{Q}_p$ . Suppose that  $p$  is a bad prime. From Steps 2,3, have  $\mathcal{P}_{\mathbb{F}_p} \notin \mathcal{E}_{\mathbb{F}_p}^\circ$ . If  $G \subset E[N]$  is the subgroup of points reducing to an element of  $\mathcal{E}_{\mathbb{F}_p}^\circ$ , then  $G$  is such a complement.

Suppose  $p = N$  and good. Then  $\mathcal{E}[N]/\mathbb{Z}_N$  finite flat has a complement by the connected-étale sequence.



## The endgame

The action of  $\Gamma_{\mathbb{Q}} = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  on  $E[N]$  defines a representation

$$\rho: \Gamma_{\mathbb{Q}} \rightarrow \text{GL}_2(\mathbb{F}_N).$$

Let  $K = \mathbb{Q}(\zeta_N)$ . We will prove that  $\rho$  factors through  $\text{Gal}(K/\mathbb{Q})$ . This implies Theorem (1) since  $\rho$  must then be semisimple.

$\rho|_{\Gamma_K}$  is everywhere unramified

Reason: suppose  $\mathfrak{p}$  lies above  $p$ . If  $p$  is a bad prime for  $E$  or  $p = N$ , Step 4 shows that  $E[N] \simeq \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$  over  $\mathbb{Q}_p$ , hence trivial over  $K_{\mathfrak{p}}$ . Otherwise  $p \neq N$  is good hence follows from Neron-Ogg-Shafarevich.

We have  $\rho \sim \begin{pmatrix} 1 & f \\ 0 & \chi \end{pmatrix}$  where  $\chi: \Gamma_{\mathbb{Q}} \rightarrow \mathbb{F}_N^{\times}$  is the cyclotomic character and  $f: \Gamma_{\mathbb{Q}} \rightarrow \mathbb{F}_N$  is a 1-cocycle for  $\chi$ .

# The endgame

Let  $f' = f|_{\Gamma_K}$ . Then  $f$  is a homomorphism  $\Gamma_K \rightarrow \mathbb{F}_N$  which is everywhere unramified, so factors through  $\text{Cl}(K) \rightarrow \mathbb{F}_N$ .

The group  $\text{Gal}(K/\mathbb{Q}) \simeq \mathbb{F}_N^\times$  acts on  $H = \text{Cl}(K) \otimes \mathbb{F}_N$  and we have a decomposition

$$H = \bigoplus_{0 \leq j \leq N-2} H(\chi^j).$$

This action corresponds to conjugation of  $\text{Gal}(K/\mathbb{Q})$  on  $\Gamma_K^{ab}$ . Since  $\sigma(f') = \chi(\sigma)f'$ ,  $f'$  is zero on  $H(\chi^j)$  if  $j \neq -1$ .

Punchline:

## Herbrand-Ribet Theorem

Let  $j > 1$  be odd. Then  $H(\chi^j) \neq 0$  if and only if  $N$  divides  $B_{N-j}$  (Bernoulli number.)

Since  $B_2 = 1/6$ ,  $H(\chi^{-1}) = H(\chi^{N-2}) = 0$ . Therefore  $f' = 0$ , hence  $\rho|_{\Gamma_K}$  is trivial. Since  $\#\text{Gal}(K/\mathbb{Q}) = N - 1$  prime to  $N$ ,  $\rho$  must be semisimple.

## Summary of the argument

- Suffices to show that  $E[N] \simeq \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$  over  $\mathbb{Q}$ .
- Show that  $E$  is semistable.
- Show that  $P \notin \mathcal{E}_{\mathbb{F}_p}^\circ$  if  $p$  is a bad prime of  $E$ .
- This implies that  $E[N] = \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$  over  $\mathbb{Q}_p$  for  $p$  a prime of bad reduction or  $p = N$ .
- Therefore  $\rho: \Gamma_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\mathbb{Z}/N\mathbb{Z})$  everywhere unramified over  $K = \mathbb{Q}(\zeta_N)$ .
- Implies by Herbrand's theorem that it is actually trivial over  $K$ .
- Since  $\# \mathrm{Gal}(K/\mathbb{Q})$  prime to  $N$ ,  $\rho$  is semisimple, so  $E[N] \simeq \mathbb{Z}/N\mathbb{Z} \oplus \mu_N$ .