

# On $\ell$ -adic representations attached to non-congruence subgroups II

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## 0. Introduction

In this paper we extend the results of [9] to two other subgroups of  $SL_2(\mathbb{Z})$ . Let  $\Gamma \subset SL_2(\mathbb{Z})$  be a subgroup of finite index. In [8] and [9] it is shown how to attach to the space of cusp forms of weight  $w$  on  $\Gamma$  (whose dimension we denote by  $d$ ) a strictly compatible family  $\{\rho_\ell\}$  of  $2d$ -dimensional  $\ell$ -adic representations of  $\text{Gal}(\overline{\mathbb{Q}}/K)$ , for a certain number field, mildly generalising the representations constructed many years ago by Deligne [4] for congruence subgroups.

If it happens that  $d = 1$  and  $K = \mathbb{Q}$ , then the representations  $\rho_\ell$  are 2-dimensional representations of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . By the Langlands philosophy,  $\rho_\ell$  should then be the  $\ell$ -adic representation associated to a cusp form of weight  $w$  on a congruence subgroup, which is a newform of some level. In [9] we verified this for a certain subgroup  $\Gamma_{7,1,1}$  and  $w = 4$ , using Serre's effective version of Faltings' trick (see [10] and [6]).

In this paper we consider two further subgroups,  $\Gamma_{4,3}$  and  $\Gamma_{5,2}$  (see §§4–5 below) and prove analogous results for weight 4 (here also  $d = 1$  and  $K = \mathbb{Q}$ ). In theory the verification is no different from that of [9]. However the case of  $\Gamma_{4,3}$  is complicated by the possibility of ramification at the prime 3.

The machine computations in §§2, 4–5 were done over a long period of time, using a variety of computer systems. They were completed using the invaluable package PARI-GP by C. Batut, D. Bernardi, H. Cohen and M. Olivier.

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## 1. The $\ell$ -adic representations

**1.1.** Let  $\Gamma \subset SL_2(\mathbb{Z})$  be a subgroup of finite index. Let  $\mathcal{H}^* = \mathcal{H} \cup \mathbb{P}^1(\mathbb{Q})$  be the extended upper half-plane, on which  $\Gamma$  acts by linear fractional transformations. We assume that  $\Gamma$  is defined over  $\mathbb{Q}$  in the following sense: there is a projective curve  $X_\Gamma$  over  $\mathbb{Q}$ , together with a finite morphism  $\phi: X_\Gamma \rightarrow \mathbb{P}^1_{\mathbb{Q}}$  and an isomorphism  $\Xi: \Gamma \backslash \mathcal{H}^* \xrightarrow{\sim} X_\Gamma(\mathbb{C})$  such that the following diagram commutes ( $j$  being the usual modular function):

$$\begin{array}{ccc} \Gamma \backslash \mathcal{H}^* & \xrightarrow{\Xi} & X_\Gamma(\mathbb{C}) \\ \downarrow & & \downarrow \phi_{\mathbb{C}} \\ SL_2(\mathbb{Z}) \backslash \mathcal{H}^* & \xrightarrow{j} & \mathbb{P}^1(\mathbb{C}) \end{array}$$

By abuse of notation we will use  $j$  to denote the rational function on  $X_\Gamma$  determined by  $\phi$ .

**1.2.** Let  $U_\Gamma \subset X_\Gamma$  be the complement of the points  $j = 0, 1728, \infty$ . Write  $g: U_\Gamma \hookrightarrow X_\Gamma$  for the inclusion. Let

$$\pi: \mathcal{E} \rightarrow U_\Gamma$$

be the elliptic curve with affine equation

$$y^2 + xy = x^3 - (36x + 1)/(j - 1728)$$

and let  $\mathcal{F}$  be the  $\mathbb{Q}_\ell$ -sheaf  $R^1\pi_*\mathbb{Q}_\ell$  on  $U_\Gamma$ . The parabolic cohomology groups attached to  $\Gamma$  are the  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ -modules

$${}_\Gamma \mathcal{W}_\ell^k \stackrel{\text{def}}{=} H^1(X_\Gamma \otimes \overline{\mathbb{Q}}, g_* \text{Sym}^k \mathcal{F})$$

for  $k \geq 0$ . The Poincaré duality pairing  $\mathcal{F} \otimes \mathcal{F} \rightarrow \mathbb{Q}_\ell(-1)$  induces a nondegenerate pairing

$${}_\Gamma \mathcal{W}_\ell^k \otimes {}_\Gamma \mathcal{W}_\ell^k \rightarrow \mathbb{Q}_\ell(-k-1)$$

which is alternating (resp. symmetric) if  $k$  is even (odd).

If  $k$  is even then  $\dim_{\mathbb{Q}_\ell} {}_\Gamma \mathcal{W}_\ell^k$  is twice the dimension of  $S_{k+2}(\Gamma)$ , the complex space of cusp forms on  $\Gamma$  of weight  $(k+2)$ .

**1.3.** Assume that  $X_\Gamma \simeq \mathbb{P}^1_{\mathbb{Q}}$ . Choose a generator  $t$  of the function field of  $X_\Gamma$ , in such a way that  $P(t) + jQ(t) = 0$  for polynomials  $P, Q \in \mathbb{Z}[t]$  where  $P$  is monic and  $\deg P > \deg Q$ .

**Proposition 1.4.** *Let  $p$  be prime, and assume the following conditions are satisfied:*

(i)  $P(t), Q(t)$  are  $p$ -integral, and their reductions  $\tilde{P}(t), \tilde{Q}(t)$  modulo  $p$  are relatively prime.

(ii) At least one of  $\tilde{P}'(t), \tilde{Q}'(t)$  is non-zero.

Then  ${}_\Gamma \mathcal{W}_\ell^k$  is unramified at  $p$  for every  $k \geq 0$  and every  $\ell \neq p$ .

This is Proposition 2.7 of [9].

## 2. The examples

**2.1.** Let  $\Gamma \subset SL_2(\mathbb{Z})$  be one of the following subgroups:

(i) The subgroup  $\Gamma_{4,3}$  of index 7, generated by

$$\begin{pmatrix} 1 & 4 \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & -1 \\ 2 & -1 \end{pmatrix}.$$

(ii) The subgroup  $\Gamma_{5,2}$ , also of index 7, generated by

$$\begin{pmatrix} 1 & 5 \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 2 & 3 \\ 1 & 2 \end{pmatrix}.$$

**2.2.** In both cases  $X_\Gamma$  has genus zero, and can therefore be uniformised by an algebraic function  $t$  of the modular function  $j$ . Methods going back to Klein and Fricke, and systemised by Atkin and Swinnerton-Dyer [1], give a procedure to determine a defining relation of the form

$$j = \frac{E_3(t)F_3(t)^3}{Q(t)} = 1728 + \frac{E_2(t)F_2(t)^2}{Q(t)}$$

for polynomials  $E_\alpha(t)$ ,  $F_\alpha(t)$ ,  $Q(t)$  with algebraic coefficients, which may (in theory) be computed by the method of undetermined coefficients.

**2.3.** Here these polynomials have rational coefficients, and are given as follows:

(i) For  $\Gamma_{4,3}$ :

$$\begin{aligned} j &= -7^{-7} \frac{(t + 432)(t^2 + 80t - 3888)^3}{t^3} \\ &= -7^{-7} \frac{(t - 16)(t^3 + 344t^2 + 1944t + 108^3)^2}{t^3} + 1728. \end{aligned}$$

(ii) For  $\Gamma_{5,2}$ :

$$\begin{aligned} j &= 7^{-7} \frac{(t + 125)(t^2 + 5t - 1280)^3}{t^2} \\ &= 7^{-7} \frac{(t - 64)(t^3 + 102t^2 + 381t + 64000)^2}{t^2} + 1728. \end{aligned}$$

Applying 1.4 to the above equations gives:

**Corollary 2.4.** (i) The representations  $_{\Gamma_{5,2}}\mathcal{W}_\ell^k$  are unramified away from  $\{2, 5, 7, \ell\}$ .

(ii) The representations  $_{\Gamma_{4,3}}\mathcal{W}_\ell^k$  are unramified away from  $\{2, 3, 7, \ell\}$ .

**2.5.** In §3 of [9] we gave a closed formula for  $\text{tr } \rho_\ell(\text{Fr}_p)$  for an unramified prime  $p > 3$ , using the Lefschetz fixed point formula in  $\ell$ -adic cohomology. Table 1 gives the values of  $\text{tr } \rho_\ell(\text{Fr}_p)$  for  $k = 2$  in the two cases under consideration.

$p$	5	11	13	17	19	23	29	31	37	41	43	47	53	59
$\Gamma_{5,2}$	–	12	–78	–94	40	32	–50	–248	–434	402	–68	536	22	–560
$\Gamma_{4,3}$	6	–12	–82	–30	68	216	246	–112	110	–246	–172	192	558	540
	61	67	71	73	79	83	89	97	101	103	107	109	113	
	–278	–164	672	82	–1000	–448	–870	1026	482	272	–444	–1170	–798	
	110	140	–840	–550	–208	516	–1398	1586	–1242	680	996	1382	–750	

**Table 1**

### 3. The method of Faltings and Serre

**3.1.** The following theorem is due to Serre (see [6], Theorem 4.3 and [10]). It is an effective version of Faltings' trick ([5], proof of Satz 5).

**Theorem 3.2.** *Let  $N$  be a positive integer, and let  $\rho, \rho': G = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow GL_2(\mathbb{Q}_2)$  be continuous homomorphisms, unramified at all primes not dividing  $N$ . Let  $\Sigma_N \subset G$  be a subset with the property that if  $\chi_1, \dots, \chi_r$  form a basis for the set of quadratic Dirichlet characters of conductor dividing  $8N$ , then*

$$(\chi_1, \dots, \chi_r): \Sigma_N \longrightarrow \{\pm 1\}^r$$

*is surjective.*

*Assume the following two conditions are satisfied.*

- (i)  *$\text{Im } \rho$  and  $\text{Im } \rho'$  are pro-2-groups.*
- (ii) *The characteristic polynomials of  $\rho(\sigma), \rho'(\sigma)$  are equal for all  $\sigma \in \Sigma_N$ .*

*Then  $\rho$  and  $\rho'$  are isomorphic.*

**3.3.** To apply the theorem we need a method to check that  $\text{Im } \rho$  is a pro-2-group. Let  $\tilde{\rho}: G \rightarrow GL_2(\mathbb{F}_2)$  be any reduction of  $\rho$  modulo 2. Recall:

- (i) If  $x \in GL_2(\mathbb{F}_2)$  then  $x$  has order 3 if and only if  $\text{tr } x = 1$ ;
- (ii) if  $x \in GL_2(\mathbb{Z}_2)$  is congruent to the identity mod 2 then  $\text{tr } x \equiv 1 + \det x \pmod{4}$ .

So if there exists  $\sigma \in G$  with  $\text{tr } \rho(\sigma)$  odd, then  $\text{Im } \tilde{\rho} \simeq A_3$  or  $S_3$ . If there exists  $\sigma \in G$  with  $\text{tr } \rho(\sigma) \equiv -1 + \det \rho(\sigma) \pmod{4}$  then  $\text{Im } \tilde{\rho} \simeq \mathbb{Z}/2$  or  $S_3$ . Conversely, by the Tchebotarev density theorem, if  $\text{Im } \tilde{\rho} \simeq A_3$  or  $S_3$  there exist infinitely many primes  $p$  such that  $\text{tr } \rho(\text{Fr}_p)$  is odd.

**3.4.** We now assume given a 2-adic representation  $\rho$ , unramified away from primes dividing  $N$ . We suppose that the characteristic polynomial of  $\rho(\text{Fr}_p)$  is explicitly given for a large finite set of primes  $p$ , and that for each such  $p$ ,  $\text{tr } \rho(\text{Fr}_p)$  is even. We wish to deduce that  $\text{Im } \tilde{\rho}$  is of even order. The example in [9] was sufficiently straightforward for the calculations in class field theory to be left as a pleasant exercise. In the present cases the calculations are considerably longer and a more detailed treatment is appropriate.

**3.5.** If there exists  $\sigma \in G$  with  $\text{tr } \rho(\sigma) \equiv -1 + \det \rho(\sigma) \pmod{4}$ ,  $\text{Im } \tilde{\rho}$  cannot be  $A_3$  by the remarks above. Otherwise, we can eliminate the possibility that  $\text{Im } \tilde{\rho} \simeq A_3$  in the following way: such a  $\tilde{\rho}$  cuts out a cyclic cubic extension  $F/\mathbb{Q}$ , unramified outside primes dividing  $N$ . It suffices for each possible  $F$  to find an inert prime  $p$  for which  $\text{tr } \rho(\text{Fr}_p)$  is even. (The Tchebotarev density theorem assures that infinitely many such  $p$  must exist.) As it is easy to write down all possibilities for  $F$  for any given  $N$ , the exclusion of  $A_3$  is straightforward.

**3.6.** It is somewhat harder to eliminate the possibility that  $\text{Im } \tilde{\rho}$  is isomorphic to  $S_3$ . Assume that this is the case; then the kernel of  $\tilde{\rho}$  cuts out an  $S_3$ -extension  $M/\mathbb{Q}$ , which is unramified away from  $N$ . Let  $E$  be its quadratic subfield. Since  $E/\mathbb{Q}$  is unramified at all  $p \nmid N$ , there is only a finite, and easily computable, set of possibilities for  $E$ . The extension  $M/E$  determines a cubic idèle class character

$$\psi: J_E/E^* \longrightarrow \mu_3$$

satisfying the two conditions:

- (i)  $\psi^\tau = \psi^{-1}$  for the non-trivial automorphism  $\tau$  of  $E$ ;
- (ii)  $\psi_{\mathfrak{p}} = 1$  for  $\mathfrak{p}|p$  whenever  $\text{tr } \rho(\text{Fr}_p)$  is even.

If  $\psi$  is not everywhere unramified, then its restriction to the unit idèles is non-trivial, and therefore gives a homomorphism

$$\theta = \prod_{\mathfrak{p}|N} \theta_{\mathfrak{p}} : \prod_{\mathfrak{p}|N} \mathfrak{o}_{E_{\mathfrak{p}}}^* \longrightarrow \mu_3$$

satisfying  $\theta^\tau = \theta^{-1}$ .

**3.7.** If  $3 \nmid N$  then  $\theta$  is tamely ramified and each  $\theta_{\mathfrak{p}}$  factors through  $\mathfrak{o}_{E_{\mathfrak{p}}}^*/(1 + \mathfrak{p})$ . Therefore:

- (a) If  $(p) = \mathfrak{p}^2$  is ramified then  $\tau$  acts trivially on  $\mathfrak{o}/\mathfrak{p}$ , so  $\theta_{\mathfrak{p}} = 1$ .
- (b) If  $(p) = \mathfrak{p}\mathfrak{p}'$  is split and  $p \not\equiv 1 \pmod{3}$  then as  $3 \nmid \#(\mathfrak{o}_E/\mathfrak{p})^*$  we have  $\theta_{\mathfrak{p}} = 1$ .
- (c) If  $(p) = \mathfrak{p}$  is inert and  $p \not\equiv -1 \pmod{3}$  then  $\theta_p$  must factor through the norm from  $\mathfrak{o}/\mathfrak{p}$  to  $\mathbb{Z}/p$ , so  $\theta_p^\tau = \theta_p$ . So in this case  $\theta_p = 1$ .

If 3 divides  $N$  we have to consider separately  $\theta_3 = \prod_{\mathfrak{p}|3} \theta_{\mathfrak{p}}$  and determine in each case the maximal quotient of  $\mathfrak{o}_{E_{\mathfrak{p}}}^*$  of exponent 3.

- (a') If  $(3) = \mathfrak{p}\mathfrak{p}'$  is split in  $E$ , then  $\mathfrak{o}_{E_{\mathfrak{p}}}^* = \mathbb{Z}_3^*$ , so that  $\theta_3$  factors through  $(\mathfrak{o}_E/9\mathfrak{o}_E)^*$  (which is isomorphic to  $(\mathbb{Z}/3)^2 \times (\mathbb{Z}/2)^2$ ).
- (b') If  $(3) = \mathfrak{p}$  is inert in  $E$ , then  $\mathfrak{o}_{E_{\mathfrak{p}}} \simeq W(\mathbb{F}_9)$  and again  $\theta_3$  factors through  $(\mathfrak{o}_E/9\mathfrak{o}_E)^*$  (which is isomorphic to  $(\mathbb{Z}/3)^2 \times (\mathbb{Z}/8)$ ).
- (c') If  $(3) = \mathfrak{p}^2$  is ramified in  $E$ , then  $E_{\mathfrak{p}} \simeq \mathbb{Q}_3(\omega)$  for  $\omega = \sqrt{\pm 3}$ , and we distinguish two cases:
  - (c'+)  $\omega^2 = 3$ . Then  $\mathfrak{o}_{E_{\mathfrak{p}}}^* \simeq \mathbb{Z}_3^2$ , generated by  $1 + \omega$  and 4. In other words, if  $E = \mathbb{Q}(\sqrt{3}d)$  with  $d \equiv 1 \pmod{3}$  then  $\theta_{\mathfrak{p}}$  factors through  $(\mathfrak{o}_E/3\mathfrak{p})^*$ .

(c'–)  $\omega^2 = -3$ . Then  $\mu_3 \subset E_{\mathfrak{p}}$  and so  $\mathfrak{o}_{E_{\mathfrak{p}}}^* \simeq \mathbb{Z}_3^2 \times \mu_3$ , generated by  $1 + 3\omega$ ,  $4$  and  $(-1 + \omega)/2$ . Therefore if  $E = \mathbb{Q}(\sqrt{3}d)$  with  $d \equiv -1 \pmod{3}$  then  $\theta_{\mathfrak{p}}$  factors through  $(\mathfrak{o}_E/9\mathfrak{o}_E)^*$ .

**3.8.** We conclude that we can write  $\theta$  in the form

$$\theta = \prod_{p|N} \theta_p: \prod_{p|N} (\mathfrak{o}_E/\mathfrak{f}_p)^* \longrightarrow \mu_3$$

where:

- if  $p \neq 3$  then

$$p \text{ ramified in } E \quad \Rightarrow \quad \mathfrak{f}_p = (1);$$

$$p \text{ split in } E \quad \Rightarrow \quad \mathfrak{f}_p = (p) \text{ if } p \equiv 1 \pmod{3}, \mathfrak{f}_p = (1) \text{ otherwise;}$$

$$p \text{ inert in } E \quad \Rightarrow \quad \mathfrak{f}_p = (p) \text{ if } p \equiv 2 \pmod{3}, \mathfrak{f}_p = (1) \text{ otherwise;}$$

- if  $p = 3$  then

$$(p) = \mathfrak{p}^2 \text{ ramified in } E \text{ and } E_{\mathfrak{p}} = \mathbb{Q}_3(\sqrt{3}) \quad \Rightarrow \quad \mathfrak{f}_p = \mathfrak{p}^3;$$

$$\text{in other cases } \mathfrak{f}_p = (9).$$

Moreover  $\theta^\tau = \theta^{-1}$ ,  $\theta$  is trivial on the images of global units, and if  $(\pi)$  is a principal ideal of  $E$  such that  $N((\pi)) = p^r$  for which  $\text{tr } \rho(\text{Fr}_p)$  is even, then  $\theta(\pi) = 1$ .

Write  $\mathfrak{f} = \prod \mathfrak{f}_p$ , and let  $G_{\mathfrak{f}}$  be the maximal quotient of  $(\mathfrak{o}_E/\mathfrak{f})^*$  of exponent 3 on which  $\tau$  acts as  $-1$ . The character  $\theta$  then factors through  $G_{\mathfrak{f}}$ . To show that  $\theta = 1$  it is enough to find a set of elements  $\pi \in \mathfrak{o}_E$  prime to  $\mathfrak{f}$  whose residue classes generate  $G_{\mathfrak{f}}$ , and which are either global units, or elements with prime power norm  $p^r$  for which  $\text{tr } \rho(\text{Fr}_p)$  is even.

**3.9.** To show that the case of  $S_3$  does not occur a possible algorithm is therefore to consider in turn each candidate field  $E$ , and show that  $\theta = 1$  by the above procedure. This shows that  $M/E$  must be everywhere unramified, so given by a cubic character  $\chi$  of the ideal class group  $H_E$  of  $E$  with  $\chi^\tau = \chi^{-1}$ . To exclude this possibility, let  $H'$  be the maximal quotient of  $H_E$  of exponent 3 on which  $\tau$  acts by  $-1$ . It is enough to find a set of primes  $p = \mathfrak{p}\mathfrak{p}'$  which split in  $E$  for which  $\text{tr}(\text{Fr}_p)$  is even, such that the ideal classes of such  $\mathfrak{p}$  generate  $H'$ . Moreover Tchebotarev's density theorem ensures that if the image of  $\tilde{\rho}$  is not  $S_3$ , then this algorithm is guaranteed to eventually succeed.

## 4. $\Gamma_{5,2}$

4.1. Write as usual

$$P(\tau) = 1 - 24 \sum_{n=1}^{\infty} \sigma_1(n)q^n, \quad \eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)$$

where  $q = \exp 2\pi i\tau$ .

**Proposition 4.2.** *Let*

$$\begin{aligned} h_1(\tau) &= \eta(\tau)^2 \eta(35\tau)^2, & h_2(\tau) &= \eta(5\tau)^2 \eta(7\tau)^2, & h_3(\tau) &= \eta(\tau) \eta(5\tau) \eta(7\tau) \eta(35\tau); \\ g(\tau) &= \frac{1}{24} (35P(35\tau) - 7P(7\tau) - 5P(5\tau) + P(\tau)). \end{aligned}$$

Then the function

$$f_{35}(\tau) = g(\tau)(-h_1(\tau) + h_2(\tau) + 2h_3(\tau)) = \sum_{n=1}^{\infty} a_n q^n$$

is a newform of weight 4 on  $\Gamma_0(35)$ .

*Proof.* From classical formulae it is simple to check that  $f_{35}$  is a cusp form of weight 4 on  $\Gamma_0(35)$ . It suffices to check it is a newform. First observe that  $f_{35}|W_{35} = -f_{35}$  from the explicit description of  $f_{35}$  and the transformation formulae for  $\eta(\tau)$  and  $P(\tau)$ . Therefore  $f_{35}$  vanishes at the 8 fixed points of  $W_{35}$ . The weight 2 modular form  $g(\tau)$  also vanishes at the fixed points of  $W_{35}$  since  $g|W_{35} = g$ . As  $X_0(35)$  has genus 3 and 4 cusps,  $g$  has no other zeroes, hence  $f_{35}/g$  is a cusp form of weight 2 which transforms by -1 under  $W_{35}$ . One can then identify  $f_{35}/g$  from the tables in [2].

**4.3.** We write  $\rho_\ell$  for the representation of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  on  ${}_{\Gamma_{5,2}}\mathcal{W}_\ell^2$  as in §1. By Deligne's original construction [4] there is a strictly compatible system  $\{\rho'_\ell\}$  of 2-dimensional  $\ell$ -adic representations of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , unramified away from 5, 7 and  $\ell$  such that  $\det \rho'_\ell = \chi_{\text{cycl}}^3$  and  $\text{tr} \rho'_\ell(\text{Fr}_p) = a_p$  for all primes  $p \notin \{5, 7, \ell\}$ .

**Theorem 4.4.**  $\rho_\ell$  and  $\rho'_\ell$  are isomorphic for every  $\ell$ .

*Proof.* Since it is easy to show that  $\rho_\ell$  and  $\rho'_\ell$  are irreducible (cf. [7] Theorem 2.3) and both of the systems  $\{\rho_\ell\}$ ,  $\{\rho'_\ell\}$  are compatible, it is enough to prove the theorem for  $\ell = 2$ . We apply the algorithm described in §3. Firstly, by calculation and comparing with Table 1 we find that  $\text{tr} \rho_\ell(\text{Fr}_p) = \text{tr} \rho'_\ell(\text{Fr}_p)$  for  $11 \leq p \leq 113$ .

**4.5.** Since from the values of  $\text{tr} \rho_2(\text{Fr}_p)$  there is no evidence of  $\sigma$  with  $\text{tr} \rho(\sigma) \equiv -1 + \det \rho(\sigma) \pmod{4}$ , we consider the possible cyclic cubic fields  $F/\mathbb{Q}$  occurring in 3.5. The only possible extension is  $\mathbb{Q}(\zeta_7)^+$ . But  $p = 11$  is inert in  $\mathbb{Q}(\zeta_7)^+$ , and  $a_{11} = 12$ . So  $\tilde{\rho}_2$  cannot have image  $A_3$ .

**4.6.** Now we eliminate the possibility that  $\tilde{\rho}_2$  is surjective. There are 15 possible candidate fields  $E$ , namely  $\mathbb{Q}(\sqrt{d})$  where  $d \in \{-1, \pm 2, \pm 5, \pm 7, \pm 10, \pm 14, \pm 35, \pm 70\}$ . None of

these have class number divisible by 3, so it suffices to show that the character  $\theta$  is trivial. For every  $p$  with  $7 < p < 100$  we have  $\text{tr } \tilde{\rho}_2(\text{Fr}_p) = 0$ . From the discussion in 3.8 one obtains Table 2. Here  $f$  is the positive integer such that  $\mathfrak{f} = f\mathfrak{o}_E$  is the maximal conductor of  $\theta$ , and  $\omega = \sqrt{d}$  or  $(1 + \sqrt{d})/2$  as usual. The fourth column gives a list of elements which are either global units or elements of prime power norm, whose classes generate  $G_{\mathfrak{f}}$ . We can therefore conclude that the image of  $\rho_2$  is a pro-2-group, and the same argument applies to  $\rho'_2$ .

Bad primes: 2, 5, 7			
$d$	$f$	$\#G_{\mathfrak{f}}$	generators for $G_{\mathfrak{f}}$
-1	1	1	—
2	35	9	$1 + \omega; 5 + 2\omega$
-2	5	3	$3 + \omega$
5	2	3	$\omega$
-5	7	3	$22 + 3\omega$
10	1	1	—
-10	7	3	$1 + \omega$
7	5	3	$8 + 3\omega$
-7	5	3	$1 + 2\omega$
14	1	1	—
-14	1	1	—
35	1	1	—
-35	2	3	$1 + \omega$
70	1	1	—
-70	1	1	—

**Table 2**

**4.7.** The proof of the theorem is then finished once we exhibit a suitable set  $\Sigma_N$ ; here  $N = 70$ . There are four quadratic characters of conductor dividing  $8 \cdot 5 \cdot 7$ , from which it is easily checked that the Frobenius classes of the primes  $p$  with  $11 \leq p \leq 113$ , together with the identity element, suffice, by examining Table 3.



$p$	11	13	17	19	23	29	31	41	43	47	53	61	71	83	113
$\left(\frac{-1}{p}\right)$	-	+	+	-	-	+	-	+	-	-	+	+	-	-	+
$\left(\frac{2}{p}\right)$	-	-	+	-	+	-	+	+	-	+	-	-	+	-	+
$\left(\frac{5}{p}\right)$	+	-	-	+	-	+	+	+	-	-	-	+	+	-	-
$\left(\frac{7}{p}\right)$	-	-	-	+	-	+	+	-	-	+	+	-	-	+	+

**Table 3**

**5.**  $\Gamma_{4,3}$

**Proposition 5.1.** *Let*

$$g(\tau) = 14P(14\tau) - 7P(7\tau) + 2P(\tau) - P(\tau), \quad h(\tau) = \eta(\tau)\eta(2\tau)\eta(7\tau)\eta(14\tau).$$

*Then the function*

$$f_{28}(\tau) = \frac{1}{8}(g(2\tau)h(\tau) + g(\tau)h(2\tau)) = \sum_{n=1}^{\infty} a_n q^n$$

*is a newform of weight 4 on  $\Gamma_0(28)$ .*

*Proof.* The usual transformation formulae show that it is a cusp form of weight 4 on  $\Gamma_0(28)$ . There seems to be no way of checking that it is a newform without some brutal calculation. The quickest way is to evaluate the first few Fourier coefficients and compare with the tables of [3].

**5.2.** Let  $\sigma_\ell$  be the  $\ell$ -adic representation  ${}_{\Gamma_{4,3}}\mathcal{W}_\ell^2$ , and let  $\{\sigma'_\ell\}$  be the compatible system of 2-dimensional  $\ell$ -adic representations of  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$  attached to  $f_{28}$ .

**Theorem 5.3.**  $\sigma_\ell$  and  $\sigma'_\ell$  are isomorphic.

*Proof.* We proceed as in §3, and only indicate the changes that have to be made to the argument given there. Table 1 and the explicit formula for  $f_{28}$  shows that  $\text{tr } \sigma_2(\text{Fr}_p) = \text{tr } \sigma'_2(\text{Fr}_p)$  for  $p = 5, 11 \leq p \leq 113$ . Again the only candidate for a cyclic cubic extension cut out by  $\tilde{\sigma}_2$  is  $\mathbb{Q}(\zeta_7)^+$ , which is eliminated at once by considering  $p = 11$  as before.

**5.4.** To eliminate the possibility that  $\tilde{\sigma}_2$  has image  $S_3$  we consider again candidate quadratic fields  $E = \mathbb{Q}(\sqrt{d})$ , where now  $d \in \{-1, \pm 2, \pm 3, \pm 6, \pm 7, \pm 14, \pm 21, \pm 42\}$ . Applying

Bad primes: 2, 3, 7			
$d$	$f$	$\#G_{\mathfrak{f}}$	generators for $G_{\mathfrak{f}}$
-1	9	3	$2 + \omega$
2	63	9	$1 + \omega; 5 + \omega$
-2	9	3	$3 + \omega$
3	9	3	$2 + \omega$
-3	126	81	$3 + \omega; 3 + 2\omega; 5 + \omega; 4 + 3\omega$
6	9	9	$5 + 2\omega; 1 + \omega$
-6	63	9	$1 + 2\omega; 5 + 4\omega$
7	9	3	$8 + 3\omega$
-7	9	3	$1 + 2\omega$
14	9	3	$15 + 4\omega$
-14	9	3	$11 + 6\omega$
21	18	9	$2 + \omega; \omega$
-21	9	9	$2 + \omega; 10 + \omega$
42	9	9	$13 + 2\omega; 17 + 2\omega$
-42	9	3	$1 + 2\omega$

**Table 4**

the algorithm of §3 we arrive at Table 4, in which the entries have the same meaning as in Table 2. This shows that the images of  $\sigma_2$  and  $\sigma_2'$  are pro-2-groups.

**5.5.** The final step is to exhibit a set  $\Sigma_N$ ; here  $N = 42$ , and from Table 5 we see that it is enough to take the Frobenius elements for primes  $p$  with  $p = 5$  or  $11 \leq p \leq 113$ . This concludes the proof of the theorem.

$p$	5	11	13	19	23	29	31	37	43	47	59	73	79	101	113
$\left(\frac{-1}{p}\right)$	+	-	+	-	-	+	-	+	-	-	-	+	-	+	+
$\left(\frac{2}{p}\right)$	+	-	-	-	+	-	+	-	-	+	-	+	+	-	+
$\left(\frac{3}{p}\right)$	-	+	+	-	+	-	-	+	-	+	+	+	-	-	-
$\left(\frac{7}{p}\right)$	-	-	-	+	-	+	+	+	-	+	+	-	-	-	+

**Table 5**

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