

Quick review of basic facts about (quadratic) number fields.

$K$  = no. field of degree  $n \geq 1$

$\mathcal{O}_K$  ring of integers ( $\cong \mathbb{Z}^n$  as  $\mathbb{Z}$ -module)

norm  $N_{K/\mathbb{Q}}: K \rightarrow \mathbb{Q}$

$\mathcal{O}_K \supset \mathbb{I}$  non-zero ideal

$N\mathbb{I} \stackrel{\text{def}}{=} (\mathcal{O}_K : \mathbb{I}) < \infty$ ;  $N(\mathbb{I}\mathbb{J}) = N\mathbb{I} \cdot N\mathbb{J}$

$\mathbb{I} = (x) \Rightarrow N\mathbb{I} = |N_{K/\mathbb{Q}}(x)|$ .

Every ideal is product of prime ideals

$\mathbb{I} = \prod P_i^{m_i}$

$p$  rational prime  $\Rightarrow (p) = \prod P_i^{e_i}$

as  $N((p)) = p^n \Rightarrow \prod (N(P_i))^{e_i} = p^n$ .

$Cl(K) = \{ \text{non-0 ideals } \subset \mathcal{O}_K \} / \sim$

ideal  $\mathbb{I} \sim \mathbb{J} \Leftrightarrow \exists x \in K^x \text{ st. } \mathbb{J} = x\mathbb{I}$

- finite group under multiplication of ideals.

$\exists n$  distinct embeddings  $K \xrightarrow{\sigma} \mathbb{C}$

$n = r_1 + 2r_2$  where

$r_1 = \# \{ \text{embeddings } K \hookrightarrow \mathbb{R} \}$

$r_2 = \# \{ \text{pairs } \sigma, \bar{\sigma} \neq \sigma: K \hookrightarrow \mathbb{C} \}$

$(N_{K/\mathbb{Q}}(x)) = \prod_{\sigma: K \hookrightarrow \mathbb{C}} \sigma(x)$

$\mathcal{O}_K^x \cong (\text{finite}) \times \mathbb{Z}^{r_1+r_2-1}$

order =  $w_K$

(Dirichlet's unit theorem)

$n=2: K = \mathbb{Q}(\sqrt{d})$ ,  $d \in \mathbb{Z} - \{0,1\}$  squarefree

$\mathcal{O}_K = \mathbb{Z}[\theta]$

$= \mathbb{Z} + \mathbb{Z}\theta$ ,

$\theta = \begin{cases} \sqrt{d} & d \not\equiv 1 \pmod{4} \\ \frac{1+\sqrt{d}}{2} & d \equiv 1 \pmod{4} \end{cases}$

$N_{K/\mathbb{Q}}(x) = xx' = a^2 - db^2$ ,  $x = a + b\sqrt{d}$ ,  $x' = a - b\sqrt{d}$ .

Discriminant  $d_K = \begin{cases} 4d & \\ d & \end{cases} = \begin{vmatrix} 1 & \theta \\ 1 & \theta' \end{vmatrix}^2$ .

$d < 0: K \subset \mathbb{C}$   $(r_1, r_2) = (0, 1)$

$\mathcal{O}_K^x = \{ \pm 1 \}$ ,  $\{ \pm 1, \pm i \}$ ,  $\{ \pm 1, \pm \omega, \pm \omega^2 \}$   $w = e^{2\pi i/3}$

$d > 0: K \subset \mathbb{R}$ ,  $(r_1, r_2) = (2, 0)$   $w = e$

$\mathcal{O}_K^x = \{ \pm 1 \} \times \langle \varepsilon \rangle$ ,  $\varepsilon = \text{fundamental unit}$ .  
(from Pell's equation)

Factorization of  $(p)$  :-

$\boxed{p \text{ odd:}}$   $\begin{cases} p \nmid d \Rightarrow (p) = P^2, P = (p, \sqrt{d}) \\ (\frac{d}{p}) = +1 \Rightarrow (p) = PP', P = (p, a + b\sqrt{d}) \\ \text{of } a^2 \equiv d \pmod{p} \\ (\frac{d}{p}) = -1 \Rightarrow (p) = P \text{ prime.} \end{cases}$

$\boxed{p=2:}$

$d \not\equiv 1 \pmod{4}$ : then  $(2) = P^2$ ,  $P = (2, \sqrt{d})$   $d$  even  
 $\text{or } (2, 1+\sqrt{d})$   $d$  odd

$d \equiv 1 \pmod{4}$ : then  $d \equiv 1 \pmod{8} \Rightarrow (2) = PP'$ ,  $P = (2, \frac{1+\sqrt{d}}{2})$   
 $5 \pmod{8} \Rightarrow (2) = P \triangleright \text{prime.}$

This, plus quadratic reciprocity, implies:-

(Best reference: Borevich-Shafarevich "Number Theory", Ch 3, § 8.1 - 8.2 and Ch 5, § 4.2)

Thm  $K = \mathbb{Q}(\sqrt{d})$ , discriminant  $d_K$ . Then :-

(i)  $(p) = P^2$  in  $\mathcal{O}_K \Leftrightarrow p \mid d_K$  [  $p$  ramified ]

(ii)  $\exists!$  Dirichlet character  $\chi_K: (\mathbb{Z}/|d_K|\mathbb{Z})^x \rightarrow \{ \pm 1 \}$

such that for all  $p \nmid d_K$ ,  $\chi_K(p) = \begin{cases} +1 & \Leftrightarrow (p) = PP', P \neq P' \\ & \text{[ } p \text{ splits]} \\ -1 & \Leftrightarrow (p) \triangleright \text{prime} \\ & \text{[ } p \text{ is inert]} \end{cases}$

Moreover,  $\chi_K(-1) = \text{sgn}(d)$ , and  $\chi_K$  is primitive (does not factor through  $(\mathbb{Z}/e\mathbb{Z})^x$  for any  $e < |d_K|$ ).

□

Defn.  $K$  an alg. no. field, wry of wryes  $\mathcal{O}_K$ . The Dirichlet  $\zeta$ -fn. of  $K$  is

$$\zeta_K(s) = \sum_{\substack{\text{ideals } I \subset \mathcal{O}_K \\ I \neq (0)}} \frac{1}{(N I)^s} \quad N I = \text{num of } I = (\mathcal{O}_K : I) < \infty$$

Propn.  $\zeta_K(s)$  converges for  $\text{Re}(s) = \sigma > 1$  and has Euler product

$$\zeta_K(s) = \prod_{\substack{\text{prime ideals} \\ \{0\} \neq \mathfrak{p} \subset \mathcal{O}_K}} \frac{1}{1 - (N \mathfrak{p})^{-s}}$$

Proof. Every ideal is a product of prime ideals. So at least formally,

$$\begin{aligned} \zeta_K(s) &= \prod_{\mathfrak{p}} \left( 1 + \frac{1}{N \mathfrak{p}} + \frac{1}{N(\mathfrak{p}^2)} + \dots \right) \\ &= \prod_{\mathfrak{p}} \left( 1 - \frac{1}{N \mathfrak{p}^s} \right)^{-1} \quad \text{since } N(IJ) = NI \cdot NJ. \end{aligned}$$

Now for given rational prime  $p$ ,  $\# \text{ of } \mathfrak{p} | (p) \leq n$ , and  $N \mathfrak{p} \geq p$ .

So  $\prod_{\mathfrak{p}} \left( 1 - \frac{1}{N \mathfrak{p}^s} \right)^{-1}$  converges for  $\text{Re}(s) > 1$  by comparison with  $\prod_p \left( 1 - \frac{1}{p^\sigma} \right)^{-n} = \zeta(\sigma)^n$ .  $\square$

$K$  a, quadratic field, disc =  $d_K$ , quadratic character  $\chi_K$  mod  $|d_K|$ .

Propn.  $\zeta_K(s) = \zeta(s) L(\chi_K, s)$

Proof. Euler product  $\zeta_K(s) = \prod_{\mathfrak{p}} (1 - (N \mathfrak{p})^{-s})^{-1}$  equals product of 3 terms:-

$$\prod_{\substack{\mathfrak{p} | d_K \\ \mathfrak{p} \nmid d_K}} (1 - p^{-s})^{-1} \quad \text{since } (p) = \mathfrak{p}^2, \quad N \mathfrak{p} = p$$

$$\prod_{\substack{\mathfrak{p} \nmid d_K, \chi_K(\mathfrak{p}) = -1 \\ \mathfrak{p} \nmid d_K, \chi_K(\mathfrak{p}) = -1}} (1 - p^{-2s})^{-1} = \prod_{\substack{\mathfrak{p} \nmid d_K, \chi_K(\mathfrak{p}) = -1 \\ \mathfrak{p} \nmid d_K, \chi_K(\mathfrak{p}) = -1}} (1 - p^{-s})^{-1} (1 + p^{-s})^{-1} \quad \text{since } (p) = \mathfrak{p}, \quad N \mathfrak{p} = p^2$$

$$\prod_{\substack{\mathfrak{p} \nmid d_K, \chi_K(\mathfrak{p}) = +1 \\ \mathfrak{p} \nmid d_K, \chi_K(\mathfrak{p}) = +1}} (1 - p^{-s})^{-2} \quad \text{as } (p) = \mathfrak{p} \mathfrak{p}', \quad \mathfrak{p} \neq \mathfrak{p}', \quad N \mathfrak{p} = N \mathfrak{p}' = p.$$

$$\begin{aligned} \therefore \zeta_K(s) / \zeta(s) &= \prod_{\substack{\mathfrak{p} \nmid d_K \\ \chi_K(\mathfrak{p}) = -1}} (1 + p^{-s})^{-1} \prod_{\substack{\mathfrak{p} \nmid d_K \\ \chi_K(\mathfrak{p}) = +1}} (1 - p^{-s})^{-1} = \prod_{\mathfrak{p} \nmid d_K} (1 - \chi_K(\mathfrak{p}) p^{-s})^{-1} \\ &= L(\chi_K, s). \end{aligned} \quad \square$$

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Thm. Let  $K = \mathbb{Q}(\sqrt{d})$  be imaginary quadratic. Then  $\zeta_K(s)$  has a meromorphic continuation to  $\mathbb{C}$ , simple pole at  $s=1$ . We have

$$\zeta_K(0) = -\frac{h_K}{w_K} \quad \text{and} \quad \operatorname{Res}_{s=1} \zeta_K(s) = \frac{2\pi}{|d_K|^{1/2}} \cdot \frac{h_K}{w_K}.$$

Proof First with  $\zeta_K(s) = \sum_{\mathcal{C} \in \mathcal{C}(K)} \zeta_K(\mathcal{C}, s)$

where  $\zeta_K(\mathcal{C}, s) = \sum_{I \in \mathcal{C}, I \subset \mathcal{O}_K} (NI)^{-s}$        $\mathcal{C}(K)$  = ideal class group of  $K$ .

If  $I_0 \subset \mathcal{O}_K$  with  $I_0^{-1} \in \mathcal{C}$  then  $\mathcal{C} = \{xI_0^{-1} \mid 0 \neq x \in I_0\}$

and  $N(xI_0^{-1}) = (NI_0)^{-1} \cdot |N_{K/\mathbb{Q}}(x)|$ , so

$$\zeta_K(\mathcal{C}, s) = (NI_0)^s \cdot \sum_{0 \neq x \in I_0}^* |N_{K/\mathbb{Q}}(x)|^{-s}$$

where  $\sum^*$  means sum over non-zero elements of  $I_0$  modulo units  $\mathcal{O}_K^\times$

This method is true for any  $K$ . In our case  $K = \mathbb{Q}(\sqrt{-d})$  :-

- $\mathcal{O}_K^\times = \{\pm 1\}$ , order =  $w_K$ .
- $N_{K/\mathbb{Q}}(x) = x\bar{x}$ .

So  $\zeta_K(\mathcal{C}, s) = (NI_0)^s \cdot \frac{1}{w_K} \sum_{0 \neq x \in I_0} \frac{1}{(x\bar{x})^s} = (NI_0)^s \frac{1}{w_K} G(I_0, s)$

$G(I_0, s) =$  Epstein  $\zeta$ -fun of  $I_0 \subset \mathbb{C}$  via bilinear form. So :-

$\zeta_K(\mathcal{C}, s)$  has mer. cont. to  $\mathbb{C}$

$\zeta_K(\mathcal{C}, 0) = -\frac{1}{w_K}$

$\operatorname{Res}_{s=1} \zeta_K(s) = \frac{NI_0}{w_K} \cdot \operatorname{Res}_{s=1} G(I_0, s) = \frac{NI_0}{w_K} \operatorname{Res}_{s=1} \left[ \pi^{-s} \Gamma(s)^{-1} Z(I_0, s) \right]$   
 $= \frac{NI_0}{w_K} \cdot \pi m(I_0)^{-1} = \pi \frac{m(\mathcal{O}_K)^{-1}}{w_K}$

If  $K = \mathbb{Q}(\sqrt{-d})$  then  $\mathcal{O}_K = \mathbb{Z}[\sqrt{-d}]$   $d \not\equiv 3 \pmod{4}$ ,  $d_K = -4d$   
 $\mathbb{Z}\left[\frac{1+\sqrt{-d}}{2}\right]$   $d \equiv 3 \pmod{4}$ ,  $d_K = -d$

$\Rightarrow m(\mathcal{O}_K) = \begin{cases} \sqrt{d} \\ \frac{1}{2}\sqrt{d} \end{cases} = \frac{1}{2} \sqrt{|d_K|}$  in both cases

$\therefore \operatorname{Res}_{s=1} \zeta_K(\mathcal{C}, s) = \frac{2\pi}{|d_K|^{1/2}} \cdot \frac{1}{w_K}$  □

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This leads to beautiful formulae for class numbers!

Thm.  $K$  imaginary quadratic. Then

$$h_K = -\frac{w_K}{2|d_K|} \sum_{\substack{1 \leq n < |d_K| \\ (n, d_K) = 1}} n \chi_K(n)$$

Proof.  $-\frac{h_K}{w_K} = \zeta_K(0) = \zeta(0) \cdot L(\chi_K, 0) = \left(-\frac{1}{2}\right) \sum_{\substack{1 \leq n < |d_K| \\ (n, d_K) = 1}} \frac{-n}{|d_K|} \chi_K(n)$   $\square$

Let's simplify this in the case  $d_K$  odd (i.e.  $d_K = -d$ ,  $d \equiv 3 \pmod{4}$ ),  $K = \mathbb{Q}(\sqrt{-d})$   
 and  $d > 3$  (so  $w_K = 2$ )

Then  $h = -\frac{1}{d} \sum_{\substack{0 < n < d \\ (n, d) = 1}} \chi_K(n) n = -\frac{1}{d} \sum_{\substack{0 < n < d/2 \\ (n, d) = 1}} \chi_K(n) n + \underbrace{\chi_K(d-n)(d-n)}_{= -\chi_K(n) \text{ as } \chi_K(-1) = -1}$

$$= \sum_{\substack{0 < n < d/2 \\ (n, d) = 1}} \chi_K(n) \left(1 - \frac{2n}{d}\right) \quad (1)$$

But also we can write  $h_K = -\frac{1}{d} \sum_{\substack{0 < n < d \\ (n, d) = 1, n \text{ even}}} \chi_K(n) n + \chi_K(d-n)(d-n)$  since  $n \text{ even} \iff d-n \text{ odd}$

$$= \sum_{\substack{0 < n < d \\ (n, d) = 1, n \text{ even}}} \chi_K(n) \left(1 - \frac{2n}{d}\right) = \sum_{\substack{0 < m < d/2 \\ (m, d) = 1}} \chi_K(2) \chi_K(m) \left(1 - \frac{4m}{d}\right) \quad (2)$$

Combining (1) and (2)  $\Rightarrow (2 - \chi(2)) h_K = \sum_{\substack{0 < n < d/2 \\ (n, d) = 1}} \chi_K(n)$

Corollary Let  $K = \mathbb{Q}(\sqrt{-q})$  and  $q \equiv 3 \pmod{4}$  is prime  $> 3$ . Then:

$$h_K = \begin{cases} \frac{1}{2}(R - N) & \text{if } q \equiv 3 \pmod{8} \\ R - N & \text{if } q \equiv 7 \pmod{8} \end{cases} \quad \text{and } \begin{cases} R = \# \text{ of quadratic residues } \in (0, \frac{q}{2}) \\ \text{mod } q \\ N = \# \text{ of non-residues} \end{cases}$$

(In particular,  $h_K \equiv \underline{\text{odd}}$ , and  $R > N$ .)

Proof.  $q \equiv 3 \pmod{4} \Rightarrow d_K = -q$ .

$p$  odd: we  $\chi_K(p) = \left(\frac{-q}{p}\right) = \left(\frac{-1}{p}\right) \cdot \left(\frac{q}{p}\right) = \left(\frac{p}{q}\right)$  by quadratic reciprocity

$\Rightarrow \forall n$  with  $(n, q) = 1$ ,  $\chi_K(n) = \left(\frac{n}{q}\right)$  (since  $n$  or  $n+q$  is a product of odd primes)

Now  $\chi_K(2) = \left(\frac{2}{q}\right) = \begin{cases} +1 \\ -1 \end{cases}$  if  $q \equiv \begin{cases} \pm 1 \\ \pm 3 \end{cases} \pmod{8}$ , hence formula.  $\square$

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Real quadratic fields

Thm.  $K$  real quadratic. The  $\zeta_K(s)$  has a meromorphic continuation to  $\mathbb{C}$ , simple pole at  $s=1$ , simple zero at  $s=0$ , and

$$\zeta'_K(0) = -\frac{h_K}{w_K} \log \varepsilon = -\frac{1}{2} h_K \log \varepsilon$$

$$\operatorname{Res}_{s=1} \zeta_K(s) = \frac{4}{d_K^{1/2}} \frac{h_K \log \varepsilon}{w_K} = \frac{2}{d_K^{1/2}} h_K \log \varepsilon.$$

Here  $\varepsilon > 1$  is the fundamental unit of  $K \subset \mathbb{R}$ , i.e. the smallest unit  $> 1$  (so  $\mathcal{O}_K^\times = \{\pm \varepsilon^n \mid n \in \mathbb{Z}\}$ ).

Proof. As above, we have

$$\zeta_K(s) = \sum_{\mathcal{C} \in \mathcal{C}(K)} \zeta_K(\mathcal{C}, s), \quad \zeta_K(\mathcal{C}, s) = (N\mathcal{I}_0)^s \sum_{x \in \mathcal{I}_0}^* \frac{1}{|N_{K/\mathbb{Q}}(x)|^s}$$

But now there are 2 problems:-

- $\sum_{\mathcal{I}_0}^* \neq (\text{number}) \times \sum_{0 \neq x \in \mathcal{I}_0}$  since  $\mathcal{O}_K^\times$  is infinite.

- $N_{K/\mathbb{Q}}(x) = a^2 - b^2 d$  ( $x = a + b\sqrt{d}$ ) is a indefinite quadratic form.

[For a general # field,  $N_{K/\mathbb{Q}}(x)$  will not even be quadratic.]

Device to solve both problems:-

Hecke transform. (in 2 dimensions).

Lemma.  $x_1, x_2 \in \mathbb{R}^x$ . Then

$$\frac{1}{|x_1 x_2|^s} = \frac{2 \Gamma(s)}{\Gamma(s/2)^2} \int_0^\infty \frac{1}{(u x_1^2 + u^{-1} x_2^2)^s} \cdot \frac{du}{u}$$

Proof.

$$\begin{aligned} \Gamma(s) \int_0^\infty \frac{1}{(u x_1^2 + u^{-1} x_2^2)^s} \frac{du}{u} &= \int_0^\infty \int_0^\infty e^{-t} \left( \frac{t}{u x_1^2 + u^{-1} x_2^2} \right)^s \frac{du}{u} \frac{dt}{t} \\ &= \int_0^\infty \int_0^\infty e^{-(u x_1^2 + u^{-1} x_2^2)t} t^s \frac{du}{u} \frac{dt}{t} \end{aligned}$$

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Put  $(y_1, y_2) = (tu, tu^{-1})$ ; then  $\frac{dy_1}{y_1} = \frac{dt}{t} + \frac{du}{u}$  Jacobian = 2  
 $\frac{dy_2}{y_2} = \frac{dt}{t} - \frac{du}{u}$

$$\begin{aligned} \therefore \int &= \int_0^\infty \int_0^\infty \exp(-x_1^2 y_1 - x_2^2 y_2) (y_1 y_2)^{s/2} \frac{1}{2} \frac{dy_1}{y_1} \frac{dy_2}{y_2} \\ &= \frac{1}{2} \prod_{i=1}^2 \int_0^\infty e^{-x_i^2 y} y^{s/2} \frac{dy}{y} = \frac{1}{2} |x_1 x_2|^{-s} \Gamma(s/2)^2. \end{aligned}$$

□

Back to  $\zeta_K(\mathcal{L}, s)$

Let  $x \in K \setminus \{0\}$ . The Hecke transform  $\Rightarrow$  with  $u = \varepsilon^{2t}$ ,  $t \in \mathbb{R}$

$$\begin{aligned} \frac{1}{|N_{K/\mathbb{Q}}(x)|^s} &= \frac{1}{|xx'|^s} = \frac{2\Gamma(s)}{\Gamma(s/2)^2} \int_0^\infty \frac{du/u}{(ux^2 + u'x'^2)^s} \\ &= \frac{2\Gamma(s)}{\Gamma(s/2)^2} \sum_{n \in \mathbb{Z}} \int_{\varepsilon^{2n-1}}^{\varepsilon^{2n+1}} \frac{du/u}{(ux^2 + u'x'^2)^s} \\ &= \frac{2\Gamma(s)}{\Gamma(s/2)^2} \sum_{n \in \mathbb{Z}} \int_{\varepsilon^{-1}}^{\varepsilon} \frac{du/u}{(u(\varepsilon^n x)^2 + u'(\varepsilon^n x')^2)^s} \end{aligned}$$

So consider  $\sum_{0 \neq x \in \mathcal{I}_0} \frac{1}{(ux^2 + u'x'^2)^s} = G(\Lambda_u, s)$

where the lattice  $\Lambda_u \subset V_u = \mathbb{R}^2$  is the image of  $\mathcal{I}_0 \hookrightarrow \mathbb{R}^2$   
and the norm product on  $V_u$  is  $x \mapsto (x, x')$

$$((x_1, x_2), (y_1, y_2)) = ux_1 y_1 + u'x_2 y_2.$$

As  $x, y \in \mathcal{I}_0$  are associates  $\Leftrightarrow y = \pm \varepsilon^n x$ ,  $n \in \mathbb{Z}$ ,

$$G(\Lambda_u, s) = 2 \sum_{n \in \mathbb{Z}} \sum_{x \in \mathcal{I}_0}^* \frac{1}{(u(\varepsilon^n x)^2 + u'(\varepsilon^n x')^2)^s}$$

and therefore

$$\begin{aligned} \zeta_K(\mathcal{L}, s) &= (N\mathcal{I}_0)^s \sum_{x \in \mathcal{I}_0}^* \frac{1}{|N_{K/\mathbb{Q}}(x)|^s} \\ &= (N\mathcal{I}_0)^s \frac{\Gamma(s)}{\Gamma(s/2)^2} \int_{\varepsilon^{-1}}^{\varepsilon} G(\Lambda_u, s) \frac{du}{u}. \end{aligned}$$

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i.e. we have expressed  $\zeta_K(\mathcal{C}, s)$  as an integral of a family of Epstein zeta functions. In particular this gives the meromorphic continuation, since  $G(\Lambda_u, s)$  has A.C. to  $\mathbb{C} - \{1\}$ , simple pole at  $s=1$ .

$$\boxed{s=0} \quad N(I_0)^s \frac{\Gamma(s)}{\Gamma(s/2)^2} \sim 1 \cdot \frac{1/s}{(2/s)^2} \sim \frac{s}{4} \quad ; \quad G(\Lambda_u, 0) = -1 \quad \forall u$$

$$\int_{-\varepsilon}^{\varepsilon} du/u = 2 \log \varepsilon.$$

$$\text{so } \zeta_K(\mathcal{C}, s) = \frac{1}{2} (\log \varepsilon) s + O(s^2)$$

$\Rightarrow$  formula for  $\zeta'_K(0)$ .

$$\boxed{s=1} \quad V_u = \mathbb{R}^2 \text{ with inner product } ((x_1, x_2), (y_1, y_2)) = ux_1y_1 + u^{-1}x_2y_2.$$

O.N. basis is  $\{(u^{1/2}, 0), (0, u^{1/2})\}$ , so the associated measure is  $dx_1 dx_2 \forall u$ .

$$\text{By } I_0 = \mathcal{O}_K \text{ an basis for } \Lambda_u \hookrightarrow \mathbb{R}^2 \quad \circ \quad (1, i), (0, \theta').$$

$$x \mapsto (x, x')$$

$$\text{So } m(\Lambda_u) = |\det \begin{pmatrix} 1 & 1 \\ 0 & \theta' \end{pmatrix}| = d_K^{1/2} \text{ in this case; for general } I_0, m(\Lambda_u) = N(I_0) d_K^{1/2}.$$

$$\text{so } \text{Res}_{s=1} G(\Lambda_u, s) = \pi \cdot \text{Res}_{s=1} \pi^{-s} \Gamma(s) G(\Lambda_u, s) = \pi m(\Lambda_u)^{-1} = \pi N(I_0)^{-1} d_K^{-1/2}$$

$\square$  independent of  $u$ .

$$\Rightarrow \text{Res}_{s=1} \zeta_K(\mathcal{C}, s) = N(I_0) \cdot \frac{\Gamma(i)}{\Gamma(1/2)^2} \cdot \pi N(I_0)^{-1} d_K^{-1/2} \cdot 2 \log \varepsilon = \frac{2}{d_K^{1/2}} \log \varepsilon \quad \circ \quad \Gamma\left(\frac{1}{2}\right) = \sqrt{\pi}$$

giving formula for  $\text{Res}_{s=1} \zeta_K(s)$ .  $\square$

[ The general case of a number field of degree  $n = r_1 + 2r_2$  :

Write  $r = r_1 + r_2 - 1 = \text{rk } \mathcal{O}_K^\times$ , and let  $\varepsilon_1, \dots, \varepsilon_r \in \mathcal{O}_K^\times$  such that

$$\mathcal{O}_K^\times = (\text{units}) \times \langle \varepsilon_1, \dots, \varepsilon_r \rangle$$

The regulator  $R_K$  of  $K$  is the abs. value of any  $(r \times r)$ -minor of the

matrix

$$\begin{pmatrix} \log |\sigma_1(z_1)| & \dots & \log |\sigma_{r_1+r_2}(z_1)| \\ \vdots & & \vdots \\ \log |\sigma_1(z_r)| & \dots & \log |\sigma_{r_1+r_2}(z_r)| \end{pmatrix}$$

where  $\sigma_i : K \hookrightarrow \mathbb{R}$ ,  $\sigma_{r_1+i} = \overline{\sigma_{r_1+i}} : K \hookrightarrow \mathbb{C}$  are the  $n$  complex embeddings of  $K$ .  
( $i=1, \dots, r_1$ )

If  $\mathcal{O}_K = \mathbb{Z}\theta_1 \oplus \dots \oplus \mathbb{Z}\theta_n$ , then the discriminant of  $K$  is

$$d_K = \det (\sigma_i(\theta_j))^2.$$

Then The Dedekind  $\zeta$ -function  $\zeta_K(s)$  has a meromorphic continuation to  $\mathbb{C}$ , holomorphic except for a simple pole at  $s=1$ .

It has a zero of order  $r = r_1 + r_2 - 1$  at  $s=0$ , and

$$\lim_{s \rightarrow 0} \zeta_K(s) / s^r = - \frac{h_K R_K}{w_K}.$$

Moreover

$$\text{Res}_{s=1} \zeta_K(s) = \frac{2^{r_1} (2\pi)^{r_2}}{|d_K|^{1/2}} \cdot \frac{h_K R_K}{w_K}$$

See supplement on course webpage for proof.

]



23-1

I. Prove that for any D.C.  $X \neq X_0 \Rightarrow N, L(X, 1) \neq 0$ .

Case 1:  $X$  quadratic. Then one knows  $\exists$  quad. field  $K$  st.  $X = \chi_K$ .

Char) So  $L(X, s) = \zeta_K(s) / \zeta(s)$ .

But as we've seen,  $\forall K, \zeta_K(s)$  has simple pole at  $s=1 \therefore \zeta_K(s) \zeta(s)^{-1} \ni$  analytic and  $\neq 0$ .

Case 2:  $X^2 \neq 1$ , so  $X \neq X^{-1} = \bar{X}$ . Then  $\overline{L(X, s)} = L(\bar{X}, s)$  (obvious in range of abs. convergence, so true  $\forall s$  by identity theorem)

(easy) So  $L(X, 1) = 0 \Rightarrow L(\bar{X}, 1) = 0$

Let  $m = \text{order of } X \ (X^m = 1)$

For  $p \nmid m$  let  $\chi(p) = \omega_p$ , root of unity of order  $m_p \mid m$ .

$$\begin{aligned} \text{Consider } F(s) &= \prod_{j=0}^{m-1} L(\chi^j, s) = \prod_{p \nmid m} \prod_{j=0}^{m-1} (1 - \omega_p^j p^{-s})^{-1} \\ &= \prod_{p \nmid m} \prod_{j=0}^{m_p-1} (1 - \omega_p^j p^{-s})^{-m/m_p} = \prod_{p \nmid m} (1 - p^{-m_p s})^{-m/m_p} \\ &= \prod_{p \nmid m} (1 + p^{-m_p s} + p^{-2m_p s} + \dots)^{m/m_p} = \sum_{n \geq 1} a_n n^{-s} \end{aligned}$$

where all  $a_n \geq 0$ . (and so may be  $> 0$ )

So  $F(s) \ni$  monotone decreasing and positive for  $s \in (1, \infty)$ .

$\Rightarrow F(s) \not\rightarrow 0$  as  $s \rightarrow 1+$ .

But for  $0 < j < m, L(\chi^j, s)$  is analytic at  $s=1$

$L(X, 1) = L(\bar{X}, 1) = 0$ , and  $L(\chi^0, s)$  has simple pole at  $s=1$

$\Rightarrow F(s)$  has a zero at  $s=1$ , contradiction. □

II. Class no. formulae for real quadratic fields.

Then  $K$  real quadratic, fundamental unit  $\varepsilon > 1$ . Then

(i)  $h_K = \frac{1}{\log \varepsilon} \sum_{\substack{0 < n < d_K/2 \\ (n, d_K) = 1}} \chi_K(n) \log \sin \frac{\pi n}{d_K}$

(ii) Let  $\eta = \prod_{\substack{0 < n < d_K/2 \\ (n, d_K) = 1}} \left( \sin \frac{\pi n}{d_K} \right)^{-\chi(n)}$ . Then  $\eta \in \mathcal{O}_K^\times$ , and  $\eta = \varepsilon^h$ .

Proof. Write  $N = d_K$ .

$$(i) \quad -\frac{1}{2} h_K \log \varepsilon = \zeta'_K(0) = \zeta(0) \cdot L'(\chi_K, 0) \\ = -\frac{1}{2} \cdot - \sum_{\substack{0 < n < N/2 \\ (n, N) = 1}} \chi(n) \log |1 - e^{2\pi i n/N}|$$

$$\text{as } |1 - e^{2\pi i n/N}| = |e^{\pi i n/N} - e^{-\pi i n/N}| = 2 \left| \sin \frac{\pi n}{N} \right|$$

$$\therefore -h_K \log \varepsilon = \sum_{\substack{0 < n < N/2 \\ (n, N) = 1}} \chi(n) (\log 2 + \log \left| \sin \frac{\pi n}{N} \right|) = \sum_{\substack{0 < n < N/2 \\ (n, N) = 1}} \chi(n) \log \sin \frac{\pi n}{N}$$

(ii) Exponentiate (i).

(as  $\sin \frac{\pi n}{N} > 0$ )

□

Remark. There are other examples of a similar nature:-

□

1) any totally real ( $r_2 = 0$ ) abelian number field

- eg.  $K = \mathbb{Q}(\cos \frac{2\pi}{p})$ ; there is an "obvious" subgroup of  $\mathcal{O}_K^\times$ , generated by  $-1$  and . Its index in  $\mathcal{O}_K^\times$  equals  $h_K$ .

2)  $E/\mathbb{Q}$  an elliptic curve. Suppose  $E(\mathbb{Q})$  has rank 1. Then in many (conjecturally all) cases, it is known how to construct an "explicit" (ie. given by a formula) point  $P$  of infinite order on  $E$ , such that the index  $(E(\mathbb{Q}) : \langle P \rangle)$  is given in terms of the order of  $\text{LJ}_{E/\mathbb{Q}}$ , the Tate-Shafarevich group of  $E$ . These are called Heegner points. The proof of this result goes via the computation of the derivative of an L-function (the Gross-Zagier formula).

A lot of contemporary number theory is concerned with relating values/derivatives of L-functions with invariants of arithmetic objects (number fields, elliptic curves...). There are many more conjectures than theorems.