

## Part IID RIEMANN SURFACES (2004–2005): Example Sheet 4

(a.g.kovalev@dpmms.cam.ac.uk)

1. Suppose that a holomorphic function  $f$  satisfies a linear differential equation

$$f^{(n)}(z) + a_{n-1}(z)f^{(n-1)}(z) + \dots + a_1(z)f'(z) + a_0(z)f(z) = 0$$

on an open domain  $D \subset \mathbb{C}$ , where  $a_i(z)$  are holomorphic on  $\mathbb{C}$ . Show that every analytic continuation of  $(f, D)$  also satisfies this equation.

2. Prove that the power series

$$f(z) = \sum_{n=0}^{\infty} z^{2^n} = z + z^2 + z^4 + z^8 + \dots,$$

converges if  $|z| < 1$  and diverges if  $|z| > 1$ . Further, prove that if  $\varphi = p/2^q$  ( $p, q \in \mathbb{Z}$ ), and  $r > 0$  then  $\lim_{r \rightarrow 1^-} f(re^{i\pi\varphi}) = \infty$ . Deduce that the unit circle is the natural boundary for the function element  $(f, \{|z| < 1\})$ .

3. (i) Prove Schwartz lemma: if  $f : \Delta \rightarrow \Delta$  is holomorphic and  $f(0) = 0$  then either  $|f(z)| < |z|$ , for every  $z \in \Delta$ , or  $f(z) = e^{i\theta}z$ , for some real  $\theta$ . Here  $\Delta = \{z \in \mathbb{C} : |z| < 1\}$ . [Hint: consider the function  $g(z) = f(z)/z$  and apply the *maximum modulus principle* to  $g(z)$  on the closed discs  $\{|z| \leq 1 - \epsilon\}$ , for any small  $\epsilon > 0$ .]

(ii) Deduce from Schwartz lemma that any biholomorphic map of  $\Delta$  onto itself is a Möbius transformation (restricted to  $\Delta$ ). You may assume without proof a result (from IB Geometry examples) that a Möbius transformation maps  $\Delta$  onto itself if and only if it is of the form  $z \mapsto \frac{az + \bar{c}}{cz + \bar{a}}$ , with  $|a|^2 - |c|^2 = 1$ .

[Hint: reduce the problem to the case when a biholomorphic map of  $\Delta$  onto itself has a fixed point  $z = 0$ .]

(iii) The group  $SU(1, 1)$  is defined as the group of complex  $2 \times 2$  matrices preserving the standard Hermitian form of signature  $(1, 1)$  on  $\mathbb{C}^2$ , i.e.

$$SU(1, 1) = \left\{ A \in GL(2, \mathbb{C}) : \det A = 1 \text{ and } A \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \overline{A^t} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\}.$$

Show that the group  $\text{Aut } \Delta$  of biholomorphic automorphisms of the open unit disc  $\Delta$  is isomorphic to a 'projective special unitary group'  $PSU(1, 1) = SU(1, 1)/\pm 1$ . (Compare with Q6 of example sheet 1.)

4. For  $\alpha, \beta \in \mathbb{C}$ , show that the area of the parallelogram with vertices  $0, \alpha, \beta, \alpha + \beta$  is  $|\text{Im}(\alpha\bar{\beta})|$ . Show that two pairs  $\alpha, \beta$  and  $\lambda, \mu$  of complex numbers span the same lattice if and only if

$$\begin{pmatrix} \lambda \\ \mu \end{pmatrix} = \begin{pmatrix} k & l \\ m & n \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

for some **integers**  $k, l, m, n$ , with  $kn - lm = \pm 1$ .

5. A group  $\Gamma$  acts **properly discontinuously** on a topological space  $X$  if and only if every  $x \in X$  has a neighbourhood  $U$ , so that the sets  $\gamma(U)$ , for all  $\gamma \in \Gamma$ , are disjoint. Assuming the

results of Q6(ii) of Example sheet 1, prove that any subgroup of biholomorphic automorphisms of  $\mathbb{C}$  acting properly discontinuously is one of the following groups of translations,

$$(i) \{0\}, \quad (ii) \mathbb{Z}\omega, \quad \omega \in \mathbb{C}^*, \quad \text{or} \quad (iii) \mathbb{Z}\lambda + \mathbb{Z}\mu, \quad \lambda\mu \in \mathbb{C}, \lambda\bar{\mu} \notin \mathbb{R}.$$

Deduce that the only Riemann surfaces whose universal cover is  $\mathbb{C}$  are  $\mathbb{C}$  itself,  $\mathbb{C}^*$ , and the elliptic curves.

**6.** Show, using the uniformization theorem, that any holomorphic map from  $\mathbb{C}$  to a compact Riemann surface of genus greater than 1 is constant.

**7.** (The  $j$ -invariant.) (a) The cross-ratio of four distinct points is defined by  $\lambda = (z, z_1; z_2, z_3) = (z_0 - z_1)(z_2 - z_3) / ((z_1 - z_2)(z_3 - z_0))$ . Extend this definition to the Riemann sphere  $\mathbb{C} \cup \{\infty\}$ , by taking the limit if some  $z_k = \infty$ , and verify that  $\lambda$  can take any complex value except 0, 1 and  $\infty$ . Show also that the only values of the cross-ratio obtainable from the same four points taken in some order are  $\lambda, 1/\lambda, 1 - \lambda, 1/(1 - \lambda), \lambda/(\lambda - 1)$ , and  $(\lambda - 1)/\lambda$ .

(b) Let  $\varphi(\lambda) = 4(\lambda^2 - \lambda + 1)^3 / (27\lambda^2(\lambda - 1)^2)$ . Show that two unordered quadruples are related by a Möbius transformation if (and only if) their cross-ratios  $\lambda, \lambda'$  satisfy  $\varphi(\lambda) = \varphi(\lambda')$ .

(c) In the lectures we saw that an elliptic curve  $\mathbb{C}/(\mathbb{Z} + \mathbb{Z}\tau)$  is determined, up to isomorphism, by the values of Weierstrass function  $e_1 = \wp(1/2), e_2 = \wp(\tau/2), e_3 = \wp(1/2 + \tau/2)$ . For  $\text{Im}(\tau) > 0$ , define  $\lambda(\tau) = (e_1, e_2; e_3, \infty) = (e_1 - e_2)/(e_3 - e_2)$  and  $J(\tau) = \varphi(\lambda(\tau))$ . Show that  $\mathbb{C}/(\mathbb{Z} + \mathbb{Z}\tau)$  is biholomorphic to  $\mathbb{C}/(\mathbb{Z} + \mathbb{Z}\tau')$  if and only if  $J(\tau) = J(\tau')$  (Thus  $J(\tau)$  parameterises uniquely the equivalence classes of biholomorphic elliptic curves.)

**8.** (Analytic continuation by reflections.) Let  $f$  be a function which is holomorphic on the upper half-plane  $\mathbb{H}$  and continuous on  $\mathbb{H} \cup I$ , where  $I \subset \mathbb{R}$  is an open interval. Suppose that  $f(z) \in \mathbb{R}$  whenever  $z \in I$ . Prove that  $f(z) = \overline{f(\bar{z})}$ , for  $\text{Im}(z) < 0$ , defines an analytic continuation of  $f$  to  $\mathbb{C} \setminus (\mathbb{R} \setminus I)$ .

[Hint: it is convenient to use Morera's theorem from Further Analysis. At some stage, consider a sequence of contours  $\gamma_n(t)$ , such that the  $\gamma_n$ 's converge *uniformly with first derivatives* to a contour  $\gamma(t)$  containing a subinterval of  $I \subset \mathbb{R}$ .]

Define, using Möbius transformations, the reflection in a circle in  $\mathbb{R}^2$ , generalising the reflections in straight lines. Now state carefully a general form of the principle of analytic continuation by reflections in lines or circles.

**9.** Consider the interior of hyperbolic triangle  $T = \{z \in \mathbb{H} : 0 < \text{Re}(z) < 1, |z - 1/2| > 1/2\}$  in the upper half-plane  $\mathbb{H}$ . Let  $\mu$  be a conformal equivalence map from  $T$  onto the upper half-plane and such that  $\lim_{z \rightarrow 0} \mu(z) = 0, \lim_{z \rightarrow 1} \mu(z) = 1, \lim_{z \rightarrow \infty} \mu(z) = \infty$ . (We assume the existence of such  $\mu$  without proof here; it is a consequence of the Riemann mapping theorem. In fact, it is possible to give, with some further work, an 'explicit' construction of  $\mu$ .) Assume further that  $\mu$  extends continuously to the sides of the triangle  $T$ .

Show the following.

(a)  $\mu$  has a well-defined analytic continuation, by reflections in the sides of  $T$ . By repeating the reflections in the boundary arcs sufficiently many times, one obtains an analytic continuation of  $\mu$  defined at any point of  $\mathbb{H}$ .

(b) The resulting holomorphic function on  $\mathbb{H}$  (still denoted by  $\mu$ ) does not take values 0 and 1.

(c)  $\mu$  admits no further analytic continuation outside  $\mathbb{H}$ .

(d)  $\mu$  realizes  $\mathbb{H}$  as the universal covering space of  $\mathbb{C} \setminus \{0, 1\}$ .

**10.\*** (Four views on the elliptic curves.) Let  $E$  be a compact connected Riemann surface. Show that the following are equivalent.

- (1)  $E$  is the quotient  $\mathbb{C}/\Lambda$  of the complex plane by a lattice.
- (2)  $E$  is biholomorphic to a non-singular curve in  $\mathbb{P}^2$  defined as the zero locus of a homogeneous cubic polynomial in the generalized Weierstrass normal form  $XZ^2 - 4Y^3 - AX^2Y - BX^3$ , for some complex constants  $A, B$ ,  $A^3 - 27B^2 \neq 0$ .
- (3)  $E$  is a compact Riemann surface of genus 1.
- (4) there is a  $2 : 1$  covering  $E \rightarrow \mathbb{P}^1$  branched over four points.

You may assume without proof that any abelian discrete subgroup of  $\text{Aut}(\Delta) = SU(1, 1)/\pm 1$  is a cyclic (this, and some topology, will be useful when showing that (3) implies (1)).

---

*Supervisors can obtain an annotated version of this example sheet from DPMMS.*