

1. Let  $X = \mathbf{R}^2$ , and let  $T(x)$  be the linear map taking  $(x_1, x_2)$  to  $(x_1 + x_2, x_1)$ . Compute the spectrum  $\sigma(T)$ , the point spectrum  $\sigma_p(T)$ , and the resolvent set  $\rho(T)$ .
2. Let  $X$  be a Banach space, and let  $T \in \mathcal{B}(X)$ , where  $\mathcal{B}(X)$  denotes the space of bounded linear maps from  $X$  to  $X$ . Show that the resolvent map  $R_T : \mathbf{C} \rightarrow \mathcal{B}(X)$  is continuous.
3. Let  $H$  be a Hilbert space, let  $T : H \rightarrow H$  a bounded linear map, and let  $T^* : H \rightarrow H$  denote its adjoint. Show that  $\sigma(T^*) = \{\bar{\lambda} : \lambda \in \sigma(T)\}$ .
4. Reinterpret problem 14 of the previous example sheet as the statement that a certain operator on a Hilbert space is compact.
5. Let  $T \in \mathcal{B}(X)$ . Define the *spectral radius*  $r(T)$  by

$$r(T) = \sup_{\lambda \in \sigma(T)} |\lambda|.$$

Show that if  $S, T$  commute, then  $r(S + T) \leq r(S) + r(T)$ , and  $r(ST) \leq r(S)r(T)$ . Construct a Banach space  $X$  and noncommuting operators  $S, T$  violating these inequalities.

6. Let  $f \in C(\mathbf{T})$ , where  $\mathbf{T}$  denotes the unit circle in the complex plane, parametrized by  $t \in [0, 2\pi)$  via the map  $e^{it}$ . Show that

$$\lim_{|n| \rightarrow \infty} \frac{1}{2\pi} \int_0^{2\pi} f(t) e^{-int} dt = 0.$$

7. Let  $X$  be a Banach space, and  $T : X \rightarrow X$  a bounded linear operator. Define the *compression spectrum*  $\sigma_{com}(T) \subset \sigma(T)$  to be the set  $\lambda \in \sigma(T)$  such that  $\overline{\text{Im}(\lambda I - T)} \neq X$ . Define the *residual spectrum* to be the set  $\sigma_r(T) = \sigma_{com} \setminus \sigma_p$ . Finally define the *continuous spectrum*  $\sigma_c(T) = \sigma(T) \setminus (\sigma_r(T) \cup \sigma_p(T))$ . Show that any  $\lambda \in \sigma_c(T)$  is necessarily an *approximate eigenvalue*, i.e., there exists a sequence of vectors  $x_i \in X$ ,  $\|x_i\| = 1$ , such that  $Tx_i - \lambda x_i \rightarrow 0$ .
8. Let  $\emptyset \neq K \subset \mathbf{C}$  be compact. Construct a Hilbert space  $H$  and an operator  $T : H \rightarrow H$  such that  $K = \sigma(T)$ .
9. Let  $H$  be a Hilbert space, and  $T : H \rightarrow H$  a bounded linear operator. Suppose that  $T^*T$  is compact, where  $T^*$  denotes the adjoint. Show that  $T$  is compact.
10. Let  $X$  be a Banach space, and  $T$  a bounded linear operator  $T : X \rightarrow X$ . Define the *numerical range* of  $T$  to be the subset of  $\mathbf{C}$  defined by:

$$V(T) = \{f(Tx) : x \in X, f \in X^*, \|x\| = \|f\| = f(x) = 1\}.$$

Show that

$$\sigma(T) \subset \overline{V(T)}$$

Show that if  $X = H$  a Hilbert space, and  $T$  is hermitian, then

$$\overline{V(T)} = co(\sigma(T)),$$

where  $co(A)$  denotes the *convex hull* of  $A$ , i.e., the set of all convex combinations  $ta_1 + (1-t)a_2$  as  $a_i$  range over  $A$ .

11. Construct a bounded linear operator  $T$  on the space  $l_p$ ,  $p \geq 1$ , such that  $\sigma(T) = \{0\}$ ,  $\sigma_p(T) = \emptyset$ .

12. Give an interesting example of a compact operator on a Hilbert space, not trivially related to the examples considered in this example sheet or in class.

13. Let  $T : H \rightarrow H$  be a compact Hermitian operator. Prove the *Fredholm alternative*: Let  $\lambda \in \mathbf{R}$ ,  $\lambda \neq 0$ , and let  $x_0 \in H$ . Consider the equations

$$Tx = \lambda x \tag{1}$$

$$Tx = \lambda x + x_0 \tag{2}$$

Then, either (1) has no non-zero solutions and (2) has a unique solution  $x$ , or else (2) has a solution iff  $x_0 \perp N_\lambda$ , where  $N_\lambda$  denotes the space of solutions of (1), and the space of solutions of (2) has dimension that of  $N_\lambda$ .

14. Let  $X$  be a Banach space, and let  $U \subset \mathbf{C}$  be open, and let

$$f : U \rightarrow X.$$

We will say  $f$  is *analytic* if for all  $z_0 \in U$ , there exists an open neighborhood  $V \subset U$  of  $z_0$  such that  $f|_V$  can be written

$$f = \sum_{n=0}^{\infty} A_n(z - z_0)^n$$

where  $A_n \in X$ , and the series converges absolutely, i.e.

$$\sum ||A_n(z - z_0)^n||$$

converges, where  $||\cdot||$  denotes the norm of  $X$ . Prove *Liouville's theorem*: If  $f$  is bounded and analytic, and  $U = \mathbf{C}$ , then  $f$  is constant.

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