

## EXAMPLE SHEET 4

1. (a) Is the set  $(1, 2]$  an open subset of  $\mathbb{R}$  with the usual metric? Is it closed? What if we replace  $\mathbb{R}$  with the space  $[0, 2]$ , the space  $(1, 3)$ , or the space  $(1, 2]$ , in each case with the metric inherited from  $\mathbb{R}$ ?  
(b) Let  $X$  be a set equipped with the discrete metric, and let  $Y$  be any metric space. Describe all open subsets of  $X$ , closed subsets of  $X$ , sequentially compact subsets of  $X$ , Cauchy sequences in  $X$ , continuous functions  $f : X \rightarrow Y$ , and continuous functions  $g : Y \rightarrow X$ .
2. For each of the following sets  $X$ , determine whether or not the given function  $d$  defines a metric on  $X$ . In each case where it does define a metric, describe the open ball  $B_\epsilon(x)$  for  $x \in X$  and  $\epsilon$  small.
  - (a)  $X = \mathbb{R}^n$ ,  $d(\mathbf{x}, \mathbf{y}) = \min\{|x_1 - y_1|, \dots, |x_n - y_n|\}$ .
  - (b)  $X = \mathbb{Z}$ ,  $d(x, x) = 0$ , and  $d(x, y) = 2^n$  where  $x - y = 2^n a$  with  $n$  a non-negative integer and  $a$  an odd integer.
  - (c)  $X = \{f : \mathbb{N} \rightarrow \mathbb{N}\}$ ,  $d(f, f) = 0$ , and  $d(f, g) = 2^{-n}$ , where  $n$  is the smallest natural number such that  $f(n) \neq g(n)$ .
  - (d)  $X = \mathbb{C}$ ,  $d(z, w) = |z - w|$  if  $z$  and  $w$  lie on the same line through the origin,  $d(z, w) = |z| + |w|$  otherwise.
3. If  $X$  is a metric space and  $Y \subset X$ , we say  $Y$  is bounded if there is a constant  $M$  such that  $d(y_1, y_2) \leq M$  for all  $y_1, y_2 \in Y$ . Suppose that every closed bounded subset  $C$  of  $X$  is compact, in the sense that every sequence in  $C$  has a subsequence which converges to a limit in  $C$ . Must  $X$  be complete?
4. Show that the map  $f : [0, 1] \rightarrow \mathbb{R}$  given by  $f(t) = t \sin \frac{1}{t}$  for  $t > 0$ ,  $f(0) = 0$ , is uniformly continuous but not Lipschitz.
5. Use the contraction mapping theorem to show that the equation  $x = \cos x$  has a unique real solution. Find this solution to some reasonable accuracy using a calculator (remember to work in radians) and justify the claimed accuracy of your approximation.
6. Let  $X$  be a complete metric space. Suppose  $f : X \rightarrow X$  is a contraction map and  $g : X \rightarrow X$  commutes with  $f$ , *i.e.*  $f \circ g = g \circ f$ . Show that  $g$  has a fixed point.
7. Given an example of a non-empty complete metric space  $X$  and a function  $f : X \rightarrow X$  satisfying  $d(f(x), f(y)) < d(x, y)$  for all  $x \neq y$ , but for which  $f$  has no fixed point. If  $X$  is compact, show that such an  $f$  must have a fixed point.
8. Suppose  $X$  and  $Y$  are metric spaces. A map  $f : X \rightarrow Y$  is an isometric embedding if  $d_X(x_1, x_2) = d_Y(f(x_1), f(x_2))$  for all  $x_1, x_2 \in X$ .
  - (a) Show that an isometric embedding is injective.

- (b) Suppose that  $X$  is compact and that  $f : X \rightarrow X$  is an isometric embedding. Show that  $f$  is surjective. (Hint: if  $x \notin f(X)$ , show that  $(f^n(x))$  has no convergent subsequence.)
- (c) Show that the statement in (b) does not hold if “compact” is replaced by “complete.”
- (d) Let  $X$  be a bounded metric space and let  $V$  be the vector space of bounded continuous functions  $f : X \rightarrow \mathbb{R}$ , equipped with the uniform norm. Show that there is an isometric embedding of  $X$  into  $V$ . (Thus, up to isometry, every bounded metric space is a subspace of a normed space.)
9. Consider the set  $C_a = \{(x, y) \in \mathbb{R}^2 \mid x^4 + 4x = y^5 + 5ay\}$ . Show that there is a unique  $a_0 \in \mathbb{R}$  for which  $C_{a_0}$  is singular. Sketch  $C_a$  for  $a < a_0$ ,  $a = a_0$  and  $a > a_0$ .
10. Let  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  be a  $C^1$  map. Suppose that there is some constant  $\mu < 1$  such that  $\|Df|_{\mathbf{x}} - I\|_{op} < \mu$  for all  $\mathbf{x} \in \mathbb{R}^n$ . If  $U$  is open in  $\mathbb{R}^n$ , show that  $f(U)$  is open in  $\mathbb{R}^n$ . Show that  $\|\mathbf{x} - \mathbf{y}\| \leq (1 - \mu)^{-1} \|f(\mathbf{x}) - f(\mathbf{y})\|$  for all  $\mathbf{x}, \mathbf{y} \in \mathbb{R}^n$ . Deduce that  $f$  is injective and that  $f(\mathbb{R}^n)$  is a closed subset of  $\mathbb{R}^n$ . Conclude that  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is a diffeomorphism.
11. Give an example of a differentiable function  $f : \mathbb{R} \rightarrow \mathbb{R}$  with  $f'(0) > 0$  such that  $f|_{(-\epsilon, \epsilon)}$  is not injective for any  $\epsilon > 0$ .
12. Let  $\rho : \mathbb{R}^n \rightarrow \mathbb{R}$  be a  $C^1$  function satisfying  $\rho(\mathbf{y}) = 1$  for  $\|\mathbf{y}\| \leq R$  and  $\rho(\mathbf{y}) = 0$  for  $\|\mathbf{y}\| \geq R+1$ . Suppose  $V \in C^1(\mathbb{R}^n)$  and that  $\mathbf{y}_0 \in \mathbb{R}^n$  with  $\|\mathbf{y}_0\| < R$ . How are the solutions to the equations (a)  $\mathbf{y}'(t) = V(\mathbf{y}(t))$ , subject to  $\mathbf{y}(0) = \mathbf{y}_0$  and (b)  $\mathbf{y}'(t) = \rho(\mathbf{y}(t))V(\mathbf{y}(t))$  subject to  $\mathbf{y}(0) = \mathbf{y}_0$  related?
13. (a) For any  $\alpha \in \mathbb{R}$ , show that the function  $\|\cdot\|_{\infty, \alpha} : C[0, R] \rightarrow \mathbb{R}$  given by  $\|f\|_{\infty, \alpha} = \|e^{-\alpha x} f\|_{\infty}$  defines a norm on  $C[0, R]$  and that this norm is Lipschitz equivalent to  $\|\cdot\|_{\infty}$ .  
 (b) Now suppose that  $V : \mathbb{R}^2 \rightarrow \mathbb{R}$  is continuous, and Lipschitz in the second variable. Consider the map  $T : C[0, R] \rightarrow C[0, R]$  given by

$$(T(f))(t) = y_0 + \int_0^t V(s, f(s)) ds.$$

- Show that  $T$  is a contraction map with respect to  $\|\cdot\|_{\infty, \alpha}$  for some  $\alpha$ . Deduce that the differential equation  $f(t) = V(t, f(t))$  has a unique solution on  $[0, R]$  satisfying  $f(0) = y_0$ , and hence that this equation has a unique solution on  $[0, \infty)$  satisfying  $f(0) = y_0$ .
14. (a) Show that for small values of  $x, y, z$  and  $w$ , the set of solutions to the equations

$$\begin{aligned} \sin xz + \cos yw &= e^z \\ \cos yz + \sin xw &= e^w \end{aligned}$$

consists of points of the form  $(x, y, F(x, y), G(x, y))$ , where  $F, G : B_{\epsilon}(\mathbf{0}) \rightarrow \mathbb{R}$  are  $C^1$  functions.

(b) Deduce that for small values of  $t$ , the system of differential equations

$$\begin{aligned} \sin y_1 y_1' + \cos y_2 y_2' &= e^{y_1'} \\ \cos y_2 y_1' + \sin y_1 y_2' &= e^{y_2'} \end{aligned}$$

has a unique solution  $\mathbf{y}(t) = (y_1(t), y_2(t))$  satisfying  $\mathbf{y}(0) = \mathbf{0}$ .

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