

Diffeomorphisms of discs. I

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Smoothing theory

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$Homeo_{\partial}(M)$ acts on $Sm(M)$, giving

$$Sm(M) \cong \bigsqcup_{[W]} Homeo_{\partial}(W)/Diff_{\partial}(W)$$

Similarly, $Sm(\mathbb{R}^d) \cong Homeo(\mathbb{R}^d)/Diff(\mathbb{R}^d)$

A consequence of smoothing theory

Write $Top(d) := Homeo(\mathbb{R}^d)$. By linearising have $Diff(\mathbb{R}^d) \simeq O(d)$, so

$$Sm(\mathbb{R}^d) \simeq Top(d)/O(d).$$

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The Alexander trick $Homeo_{\partial}(D^d) \simeq *$ implies

$$BDiff_{\partial}(D^d) \simeq \Omega_0^d Top(d)/O(d) \quad (\text{Morlet})$$

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$O(d)$ is “well understood” so $Diff_{\partial}(D^d)$ and $Top(d)$ are equidifficult.

But $Diff_{\partial}(D^d)$ is more approachable: can use smoothness.

What do we know?

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Theorem. [Farrell–Hsiang '78]

$$\pi_*(BDiff_{\partial}(D^d)) \otimes \mathbb{Q} = \begin{cases} 0 & d \text{ even} \\ \mathbb{Q}[4] \oplus \mathbb{Q}[8] \oplus \mathbb{Q}[12] \oplus \dots & d \text{ odd} \end{cases}$$

in the pseudoisotopy stable range for d (so certainly for $* \lesssim \frac{d}{3}$).

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Theorem. [Watanabe '09]

For $2n + 1 \geq 5$ and $r \geq 2$ there is a surjection

$$\pi_{(2r)(2n)}(B\text{Diff}_{\partial}(D^{2n+1})) \otimes \mathbb{Q} \rightarrow \mathcal{A}_r^{\text{odd}}$$

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has $\dim(\mathcal{A}_r^{\text{odd}}) = 1, 1, 1, 2, 2, 3, 4, 5, 6, 8, 9, \dots$

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where $\dim(\mathcal{A}_r^{even}) = 0, 1, 0, 0, 1, 0, 0, 0, 1, \dots$ (so $\pi_2(BDiff_{\partial}(D^4)) \neq 0$)

The theorem of Weiss

Closely related to the classical story is the fact that the stable map

$$O = \operatorname{colim}_{d \rightarrow \infty} O(d) \longrightarrow \operatorname{Top} = \operatorname{colim}_{d \rightarrow \infty} \operatorname{Top}(d)$$

is a \mathbb{Q} -equivalence, and hence

$$H^*(B\operatorname{Top}; \mathbb{Q}) \cong H^*(BO; \mathbb{Q}) = \mathbb{Q}[p_1, p_2, p_3, \dots].$$

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$$p_n = e^2 \text{ and } p_{n+i} = 0 \text{ for all } i > 0. \quad (!)$$

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Theorem. [Weiss '15]

For many n and $i \geq 0$ there are classes $w_{n,i} \in \pi_{4(n+i)}(B\operatorname{Top}(2n))$ which pair nontrivially with p_{n+i} (i.e. (!) does not hold on $B\operatorname{Top}(2n)$).

$$\Rightarrow \pi_{2n-1+4i}(B\operatorname{Diff}_\partial(D^{2n})) \otimes \mathbb{Q} \neq 0 \text{ for such } n \text{ and } i.$$

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Inspired by Weiss' argument, Alexander Kupers and I have begun a programme to determine

$$\pi_*(BDiff_{\partial}(D^{2n})) \otimes \mathbb{Q}$$

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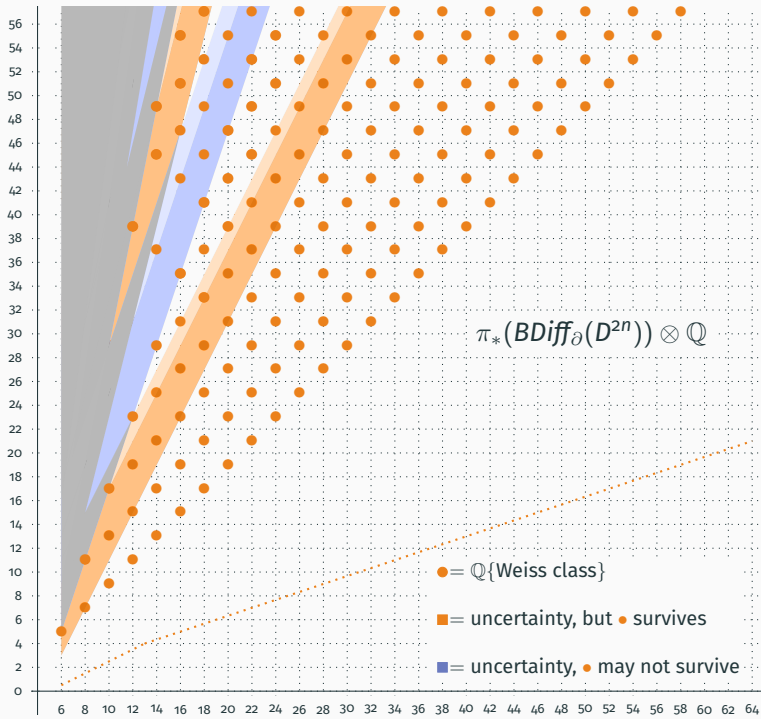
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2. determine them in higher degrees outside of certain “bands”,
3. understand something about the structure of these bands.



Theorem. [Kupers–R–W]

Let $2n \geq 6$.

- (i) If $d < 2n - 1$ then $\pi_d(B\text{Diff}_\partial(D^{2n})) \otimes \mathbb{Q}$ vanishes, and
- (ii) if $d \geq 2n - 1$ then $\pi_d(B\text{Diff}_\partial(D^{2n})) \otimes \mathbb{Q}$ is

$$\left\{ \begin{array}{l} \mathbb{Q} \quad \text{if } d \equiv 2n-1 \pmod{4} \text{ and } d \notin \bigcup_{r \geq 2} [2r(n-2) - 1, 2rn - 1], \\ 0 \quad \text{if } d \not\equiv 2n-1 \pmod{4} \text{ and } d \notin \bigcup_{r \geq 2} [2r(n-2) - 1, 2rn - 1], \\ ? \quad \text{otherwise.} \end{array} \right.$$

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Using the fibre sequence $\frac{Top(2n)}{O(2n)} \rightarrow \frac{Top}{O(2n)} \rightarrow \frac{Top}{Top(2n)}$ we have the

Reformulation (slightly stronger).

For $2n \geq 6$ the groups $\pi_*(\Omega_0^{2n+1}(\frac{Top}{Top(2n)})) \otimes \mathbb{Q}$ are supported in degrees

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Reflecting D^{2n} or \mathbb{R}^{2n} induces compatible involutions on

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We show this acts as -1 on

$$\pi_*(\Omega_0^{2n} \frac{Top}{O(2n)}) \otimes \mathbb{Q} = \mathbb{Q}[2n-1] \oplus \mathbb{Q}[2n+3] \oplus \mathbb{Q}[2n+7] \oplus \dots$$

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The orange/blue colours in the chart are the $+1/-1$ eigenspaces.

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By analogy with Watanabe's theorem for D^4 one expects

$$\dim \pi_{4n-6}(BDiff_{\partial}(D^{2n})) \otimes \mathbb{Q} \geq 1$$

which is compatible with the above.

Details of the proof

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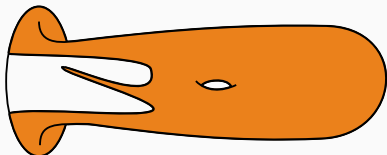
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Weiss has suggested a new kind of relativisation:

for M with $\partial M = S^{d-1}$ and $\frac{1}{2}\partial M := D^{d-1} \subset S^{d-1}$ he showed that

$$\frac{\text{Diff}_{\partial}(M)}{\text{Diff}_{\partial}(D^d)} \simeq \text{Emb}_{1/2\partial}^{\cong}(M)$$

the space of self-embeddings of M relative to half its boundary, which are isotopic to diffeomorphisms.



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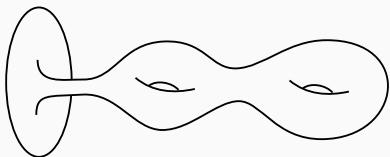
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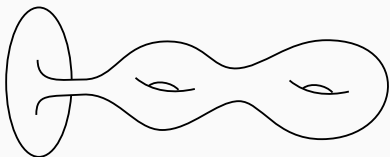
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Theorem. [Madsen–Weiss '07 $2n = 2$, Galatius–R–W '14 $2n \geq 4$]

$$\lim_{g \rightarrow \infty} H^*(BDiff_{\partial}(W_{g,1}); \mathbb{Q}) = \mathbb{Q}[\kappa_c \mid c \in \mathcal{B}]$$

Here \mathcal{B} is the set of monomials in $e, p_{n-1}, p_{n-2}, \dots, p_{\lceil \frac{n+1}{4} \rceil}$.

Diffeomorphism groups

Embedding calculus (which will be discussed by A. Kupers in the next talk) will only allow us to access $\pi_*(BEmb_{1/2\partial}^{\cong}(W_{g,1})) \otimes \mathbb{Q}$, so to pursue the strategy requires $\pi_*(BDiff_{\partial}(W_{g,1})) \otimes \mathbb{Q}$ instead of $H^*(BDiff_{\partial}(W_{g,1}); \mathbb{Q})$.

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Theorem. [Kreck '79]

For $n \geq 3$ there are extensions

$$0 \longrightarrow I_g \longrightarrow \pi_1(BDiff_{\partial}(W_{g,1})) \longrightarrow \begin{cases} O_{g,g}(\mathbb{Z}) & n \text{ even} \\ Sp_{2g}(\mathbb{Z}) & n = 3, 7 \\ Sp_{2g}^q(\mathbb{Z}) & n \text{ odd not } 3, 7 \end{cases}$$

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$\Rightarrow \pi_1(BDiff_{\partial}(W_{g,1}))$ wildly complicated group, not nilpotent: cannot expect to determine the rational homotopy of $BDiff_{\partial}(W_{g,1})$ from its rational cohomology.

Torelli groups

Can pass to the (infinite index) Torelli subgroup

$$Tor_{\partial}(W_{g,1}) := \ker \left(Diff_{\partial}(W_{g,1}) \rightarrow G'_g := \begin{cases} O_{g,g}(\mathbb{Z}) & n \text{ even} \\ Sp_{2g}(\mathbb{Z}) & n = 3, 7 \\ Sp_{2g}^q(\mathbb{Z}) & n \text{ odd not } 3, 7 \end{cases} \right)$$

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In A. Kupers, O. R-W, *The cohomology of Torelli groups is algebraic*

Forum of Mathematics, Sigma, to appear

- (i) $B\mathrm{Tor}_{\partial}(W_{g,1})$ is nilpotent,
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This is done using the Torelli version of the Weiss fibre sequence

$$B\mathrm{Diff}_{\partial}(D^{2n}) \longrightarrow B\mathrm{Tor}_{\partial}(W_{g,1}) \longrightarrow B\mathrm{TorEmb}_{1/2\partial}^{\cong}(W_{g,1})$$

and embedding calculus to *qualitatively* understand the third term; the first contributes only trivial G'_g -representations.

(Twisted) Miller–Morita–Mumford classes

The space $BTor_{\partial}(W_{g,1})$ carries a smooth bundle

$$W_g \xrightarrow{i} E \xrightarrow{\pi} BTor_{\partial}(W_{g,1})$$

($W_g = \#^g S^n \times S^n$) with a trivial sub- D^{2n} -bundle and a trivialisation of the local system $\mathcal{H}^n(W_g; \mathbb{Z})$.

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It has a section s given by $o \in D^{2n}$, so a split exact sequence

$$o \longrightarrow H^n(BTor_{\partial}(W_{g,1}); \mathbb{Q}) \xrightarrow{\pi^*} H^n(E; \mathbb{Q}) \xrightarrow{i^*} H^n(W_g; \mathbb{Q}) \longrightarrow o$$

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Have vertical tangent bundle $T_{\pi}E \rightarrow E$, so for any $c \in H^*(BSO(2n); \mathbb{Q})$ and $v_1, \dots, v_r \in H^n(W_g; \mathbb{Q})$ we can form

$$\kappa_c(v_1, \dots, v_r) := \int_{\pi} c(T_{\pi}E) \cdot \iota(v_1) \cdots \iota(v_r) \in H^{|c|+n(r-2)}(BTor_{\partial}(W_{g,1}); \mathbb{Q}).$$

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Under the G'_g -action these transform via $G'_g \circlearrowleft H^n(W_g; \mathbb{Q})$.

For $r = 0$ these are the usual Miller–Morita–Mumford classes.

Relations among Twisted Miller–Morita–Mumford classes

$$\kappa_c(v_1, \dots, v_r) := \int_{\pi} c(T_{\pi}E) \cdot \iota(v_1) \cdots \iota(v_r)$$

Let $\{a_i\}$ be a basis of $H^n(W_g; \mathbb{Q})$, and $\{a_i^{\#}\}$ be the Poincaré dual basis characterised by $\int_{W_g} a_i^{\#} \cdot a_j = \delta_{ij}$. It is easy to show that:

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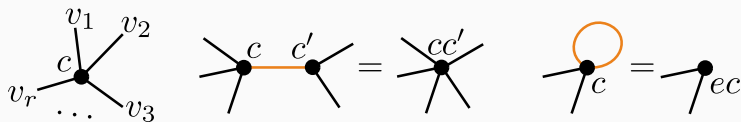
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In A. Kupers, O. R-W, *On the cohomology of Torelli groups*
Forum of Mathematics, Pi, 8 (2020)

(combined with the algebraicity theorem) we show

Theorem. [Kupers–R-W '20]

If $2n \geq 6$ then the G'_g -equivariant ring homomorphism

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Strategy: Every irreducible representation of $\mathbf{G}_g \in \{\mathbf{O}_{g,g}, \mathbf{Sp}_{2g}\}$ is a summand of $H^n(W_g; \mathbb{Q})^{\otimes k}$ for some k , so a map φ of algebraic \mathbf{G}_g -representations is an isomorphism $\Leftrightarrow [\varphi \otimes H^n(W_g; \mathbb{Q})^{\otimes k}]^{\mathbf{G}_g}$ is for all k .

\Rightarrow Evaluate $[- \otimes H^n(W_g; \mathbb{Q})^{\otimes k}]^{\mathbf{G}_g}$ of both sides.

An idea of the proof I

Consider Serre spectral sequence with $\mathcal{H}^n(W_g; \mathbb{Q})^{\otimes k}$ -coefficients for

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Borel has calculated $H^*(\mathbf{G}_{\infty}; \mathbb{Q})$, and the work of Galatius–R-W can be used to calculate $H^*(BDiff_{\partial}(W_{g,1}); \mathcal{H}^n(W_g; \mathbb{Q})^{\otimes k})$ in a stable range.

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\Rightarrow collapse and determines $[H^*(BTor_{\partial}(W_{g,1}); \mathbb{Q}) \otimes H^n(W_g; \mathbb{Q})^{\otimes k}]^{\mathbf{G}_g}$ to be given by partitions of $\{1, 2, \dots, k\}$ with parts labelled by \mathcal{B} (with some constraints on degrees of labels).

An idea of the proof II

Classical invariant theory shows that

$$[H^n(W_g; \mathbb{Q})^{\otimes r}]^{\mathfrak{S}_g} \cong \{(\text{signed}) \text{ matchings of } \{1, 2, \dots, r\}\}$$

for $g \gg r$; the bijection is implemented by inserting the invariant vector $\omega := \sum_i a_i \otimes a_i^\# \in H^n(W_g; \mathbb{Q})^{\otimes 2}$.

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Using these relations to contract all internal edges, this is the same as partitions of $\{1, 2, \dots, k\}$ with parts labelled by \mathcal{B} (with some constraints). □

Returning to the disc

To prove our results about $B\text{Diff}_\partial(D^{2n})$ we in fact work with the *framed* analogue of the Weiss fibre sequence

$$B\text{Diff}_\partial^{\text{fr}}(D^{2n}) \longrightarrow B\text{Diff}_\partial^{\text{fr}}(W_{g,1}) \longrightarrow B\text{Emb}_{1/2\partial}^{\cong, \text{fr}}(W_{g,1}).$$

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We show that in a stable range $H^*(X_1(g); \mathbb{Q})$ is generated by classes

$$\kappa(\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3) \in H^n(X_1(g); \mathbb{Q}) \quad \mathbf{v}_i \in H^n(W_{g,1}; \mathbb{Q})$$

subject only to the relations

- (i) linearity in each \mathbf{v}_i ,
- (ii) $\kappa(\mathbf{v}_{\sigma(1)}, \mathbf{v}_{\sigma(2)}, \mathbf{v}_{\sigma(3)}) = \text{sign}(\sigma)^n \cdot \kappa(\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3)$,
- (iii) $\sum_i \kappa(\mathbf{v}_1, \mathbf{v}_2, \mathbf{a}_i) \cdot \kappa(\mathbf{a}_i^\#, \mathbf{v}_5, \mathbf{v}_6) = \sum_i \kappa(\mathbf{v}_1, \mathbf{v}_5, \mathbf{a}_i) \cdot \kappa(\mathbf{a}_i^\#, \mathbf{v}_6, \mathbf{v}_2)$,
- (iv) $\sum_i \kappa(\mathbf{v}_1, \mathbf{a}_i, \mathbf{a}_i^\#) = 0$ for any \mathbf{v}_1 .

Returning to the disc

To prove our results about $B\text{Diff}_\partial(D^{2n})$ we in fact work with the framed analogue of the Weiss fibre sequence

$$B\text{Diff}_\partial^{\text{fr}}(D^{2n}) \longrightarrow B\text{Diff}_\partial^{\text{fr}}(W_{g,1}) \longrightarrow B\text{Emb}_{1/2\partial}^{\cong, \text{fr}}(W_{g,1}).$$

The story is more complicated in the framed case. Have a fibration

$$X_1(g) \longrightarrow B\text{Tor}_\partial^{\text{fr}}(W_{g,1}) \longrightarrow X_0$$

with $H^*(X_0; \mathbb{Q}) = \Lambda_{\mathbb{Q}}[\bar{\sigma}_{4j-2n-1} \mid j > n/2]$.

We show that in a stable range $H^*(X_1(g); \mathbb{Q})$ is generated by classes

$$\kappa(\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3) \in H^n(X_1(g); \mathbb{Q}) \quad \mathbf{v}_i \in H^n(W_{g,1}; \mathbb{Q})$$

subject only to the relations

- (i) linearity in each \mathbf{v}_i ,
- (ii) $\kappa(\mathbf{v}_{\sigma(1)}, \mathbf{v}_{\sigma(2)}, \mathbf{v}_{\sigma(3)}) = \text{sign}(\sigma)^n \cdot \kappa(\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3)$,
- (iii) $\sum_i \kappa(\mathbf{v}_1, \mathbf{v}_2, \mathbf{a}_i) \cdot \kappa(\mathbf{a}_i^\#, \mathbf{v}_5, \mathbf{v}_6) = \sum_i \kappa(\mathbf{v}_1, \mathbf{v}_5, \mathbf{a}_i) \cdot \kappa(\mathbf{a}_i^\#, \mathbf{v}_6, \mathbf{v}_2)$,
- (iv) $\sum_i \kappa(\mathbf{v}_1, \mathbf{a}_i, \mathbf{a}_i^\#) = 0$ for any \mathbf{v}_1 .

Cohomology supported in degrees which are multiples of n .

Returning to the disc

The unstable Adams spectral sequence then shows

$$\pi_*(B\mathrm{Tor}_{\partial}^{fr}(W_{g,1})) \otimes \mathbb{Q} = \left(\bigoplus_{j > n/2} \mathbb{Q}[4j - 2n - 1] \right) \text{ “} \bigoplus \text{” } \left(\text{something supported in } * \in \bigcup_{r \geq 0} [r(n-1)+1, rn-2] \right)$$

Returning to the disc

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$$\pi_*(B\mathrm{Tor}_{\partial}^{\mathrm{fr}}(W_{g,1})) \otimes \mathbb{Q} = \left(\bigoplus_{j > n/2} \mathbb{Q}[4j - 2n - 1] \right) \text{ “}\oplus\text{” } \left(\text{something supported in } * \in \bigcup_{r \geq 0} [r(n-1)+1, rn-2] \right)$$

In the Torelli version of the framed Weiss fibre sequence

$$B\mathrm{Diff}_{\partial}^{\mathrm{fr}}(D^{2n}) \longrightarrow B\mathrm{Tor}_{\partial}^{\mathrm{fr}}(W_{g,1}) \longrightarrow B\mathrm{TorEmb}_{1/2\partial}^{\cong, \mathrm{fr}}(W_{g,1})$$

the first part, coming from X_0 , provides the Weiss classes, and the second part, coming from $X_1(g)$, provides the lightly-shaded unknown region in the chart.



Returning to the disc

The unstable Adams spectral sequence then shows

$$\pi_*(B\mathrm{Tor}_{\partial}^{\mathrm{fr}}(W_{g,1})) \otimes \mathbb{Q} = \left(\bigoplus_{j > n/2} \mathbb{Q}[4j - 2n - 1] \right) \text{ “}\oplus\text{” } \left(\text{something supported in } * \in \bigcup_{r \geq 0} [r(n-1)+1, rn-2] \right)$$

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the first part, coming from X_0 , provides the Weiss classes, and the second part, coming from $X_1(g)$, provides the lightly-shaded unknown region in the chart.



In the next talk Alexander Kupers will explain the darkly-shaded unknown region, coming from analysing $\pi_*(B\mathrm{Emb}_{1/2\partial}^{\cong, \mathrm{fr}}(W_{g,1})) \otimes \mathbb{Q}$ via embedding calculus.

Questions?

