

# Infinite loop spaces and positive scalar curvature

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September 9, 2013

joint work with Boris Botvinnik and Johannes Ebert

# Positive scalar curvature

For a Riemannian manifold  $(M, g)$  of dimension  $d$ , the scalar curvature is a function  $s : M \rightarrow \mathbb{R}$  whose value at a point  $p \in M$  may be described by

$$\frac{\text{vol}B_r(p, M)}{\text{vol}B_r(0, \mathbb{R}^d)} = 1 - \frac{s(p)}{6(d+2)}r^2 + O(r^4).$$

Thus the metric  $g$  has *positive scalar curvature* (psc) if every small enough geodesic ball has smaller volume than a euclidean ball of the same radius.

If  $(M, g)$  is equipped with a Spin structure  $\mathfrak{s}$ , then it has a (real) spinor bundle  $\mathcal{S}_M \rightarrow M$  and an Atiyah–Singer–Dirac operator  $\mathcal{D}_g$  operating on  $H = L^2(M; \mathcal{S}_M)$ . This has an index

$$\text{ind}(\mathcal{D}_g) \in KO^{-d}(*).$$

## Theorem (Lichnerowicz, Hitchin)

If  $g$  is psc then the operator  $\mathcal{D}_g$  is invertible, and so  $\text{ind}(\mathcal{D}_g) = 0$ .

# Positive scalar curvature

The index  $\text{ind}(\not{D}_g)$  is in fact independent of the metric  $g$ : it is the image of the spin cobordism class  $[M, \mathfrak{s}]$  under the Atiyah–Bott–Shapiro orientation

$$\alpha : \Omega_d^{\text{Spin}} \longrightarrow KO^{-d}(\ast) = KO_d(\ast).$$

Thus the above theorem gives a topological obstruction to admitting a psc metric.

In the early 90's, Stolz showed, using work of Gromov–Lawson, Schoen–Yau, and others, that for a simply-connected Spin manifold of dimension  $d \geq 5$ , this is the *only* obstruction to admitting a psc metric.

I would like to address a somewhat different question.

Suppose  $M$  does admit a psc metric: what is the (algebraic) topology of the space  $\mathcal{R}^+(M)$  of all psc metrics on  $M$ ?

# The index difference

The first results on this question are due to Hitchin, essentially via the following construction. Let  $M^d$  be a Spin manifold.

$$\begin{array}{ccc} \mathcal{R}^+(M) & \xrightarrow{\text{ind}} & \text{Fred}^{d,0}(H)^\times \simeq * \\ \downarrow & & \downarrow \\ * \simeq \mathcal{R}(M) & \xrightarrow{\text{ind}} & \text{Fred}^{d,0}(H) \simeq \Omega^d(\mathbb{Z} \times BO) \end{array}$$

which gives a map

$$H(g_0) : \mathcal{R}^+(M) \longrightarrow \Omega^{d+1}(\mathbb{Z} \times BO)$$

(depending on a choice of  $g_0 \in \mathcal{R}^+(M)$ ). By considering the composition

$$\text{Diff}(M) \xrightarrow{\varphi \mapsto \varphi^* g_0} \mathcal{R}^+(M) \xrightarrow{H(g_0)} \Omega^{d+1}(\mathbb{Z} \times BO)$$

Hitchin showed that  $\pi_0(H(g_0))$  can be non-trivial, and hence that  $\mathcal{R}^+(M)$  can be disconnected.

# Computational results, I

The coarsest of our computational results is the following.

## Theorem (Botvinnik–Ebert–R-W)

For every Spin manifold  $(M, \mathfrak{s})$  of dimension  $d \geq 6$  with a choice of psc metric  $g_0$ , the map

$$\pi_k(\mathcal{R}^+(M)) \longrightarrow \pi_{k+d+1}(\mathbb{Z} \times BO) = \begin{cases} \mathbb{Z} & k + d + 1 \equiv 0, 4 \pmod{8} \\ \mathbb{Z}/2 & k + d + 1 \equiv 1, 2 \pmod{8} \\ 0 & \text{else} \end{cases}$$

induced by  $\pi_k(H(g_0))$  is non-zero whenever the target group is non-zero.

i.e. this map hits all the  $\mathbb{Z}/2$ 's, and hits a nontrivial subgroup of all the  $\mathbb{Z}$ 's.

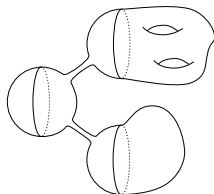
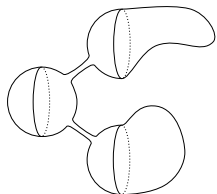
This result includes and extends those of Crowley–Schick (who prove surjectivity of this map for  $d \geq 7$  and  $k + d + 1 \equiv 2(8)$ ) and those of Hanke–Schick–Steimle (who prove the mod torsion part of this theorem for  $k \gg d$ ).

# Cobordism invariance and multiplicative structures

## Theorem (Chernysh, Walsh)

For an embedding  $D^k \times N^{d-k} \hookrightarrow M$  with  $k \geq 3$ , and a standard metric on  $D^k \times N^{d-k}$ , the inclusion  $\mathcal{R}^+(M, D^k \times N^{d-k}) \hookrightarrow \mathcal{R}^+(M)$  is a weak homotopy equivalence.

In particular,  $\mathcal{R}^+(M, D^d) \hookrightarrow \mathcal{R}^+(M)$  is a weak homotopy equivalence.



$\mathcal{R}^+(S^d, D^d)$  an  $H$ -space

This allows us to pass from results about  $S^d$  to results about all  $d$ -manifolds.

$\mathcal{R}^+(S^d, D^d)$  acts on  $\mathcal{R}^+(M^d, D^d)$

## Computational results, II

Let  $g_\circ$  be the round metric on  $S^d$ , and  $\mathcal{R}_\circ^+(S^d, D^d)$  be its path component. This is a (connected)  $H$ -space, and we may form its homotopical localisation  $\mathcal{R}^+(S^d)_{(p)}$  at a prime  $p$ .

### Theorem (Botvinnik–Ebert–R–W)

*Let  $d \geq 6$  and  $p$  be an odd prime. Then there is a map*

$$f : \Omega_0^{d+1}(\mathbb{Z} \times BO)_{(p)} \longrightarrow \mathcal{R}_\circ^+(S^d, D^d)_{(p)}$$

*such that  $H(g_\circ)_{(p)} \circ f$  induces multiplication by  $\text{Num}(B_n/2n)$  times a  $p$ -local unit on  $\pi_{4n-d-1}$ .*

In particular, if  $p$  is a regular prime then  $H(g_\circ)_{(p)}$  is a split epimorphism and so there is a splitting of spaces

$$\mathcal{R}_\circ^+(S^d, D^d)_{(p)} \simeq \Omega_0^{d+1}(\mathbb{Z} \times BO)_{(p)} \times X.$$

There is also a result at the prime 2, but it is more complicated to state.

# Geometric results

These calculations are a consequence of a more geometric result. Let

$$\theta^n : BO(2n)\langle n \rangle \rightarrow BO(2n)$$

denote the  $n$ -connected cover, and  $\theta^*\gamma$  the  $2n$ -dimensional vector bundle classified by  $\theta$ . There is a Thom spectrum  $\mathbf{MT}\theta^n = \mathbf{Th}(-\theta^*\gamma)$  with associated infinite loop space  $\Omega^\infty \mathbf{MT}\theta^n$ .

## Theorem (Botvinnik–Ebert–R–W)

*There is a map*

$$\psi_{g_o} : \Omega^{\infty+1} \mathbf{MT}\theta^n \longrightarrow \mathcal{R}^+(S^{2n})$$

*such that the composition*

$$H(g_o) \circ \psi_{g_o} : \Omega^{\infty+1} \mathbf{MT}\theta^n \longrightarrow \Omega^{2n+1}(\mathbb{Z} \times BO)$$

*is the infinite loop map of the  $KO$ -theory Thom class of  $\mathbf{MT}\theta^n$  (up to phantom maps).*

The calculational results follow from this by pure (but involved) homotopy theory.



# Words about the proof

- (i) The parameterised Gromov–Lawson construction of Chernysh or Walsh shows that  $\mathcal{R}^+(-)$  is cobordism invariant for simply connected Spin manifolds. Hence we may replace  $S^{2n}$  by  $W_g^{2n} = \#^g S^n \times S^n$  for arbitrarily large  $g$ .
- (ii) The Pontrjagin–Thom construction provides a map

$$\alpha_g : B\text{Diff}(W_g^{2n}, D^{2n}) \longrightarrow \Omega_0^\infty \mathbf{MT}\theta^n$$

and in work with Søren Galatius I have proved that this is a homology equivalence in degrees  $* \leq \frac{g-4}{2}$ .

- (iii) The technical heart of the proof is showing that the action of the mapping class group  $\pi_0(\text{Diff}(W_g, D))$  on  $\mathcal{R}^+(W_g)$  in the homotopy category factors through an abelian group.
- (iv) This means that the fibration sequence

$$\mathcal{R}^+(W_g) \longrightarrow \mathcal{R}^+(W_g) // \text{Diff}(W_g, D) \longrightarrow B\text{Diff}(W_g, D)$$

is pulled back from a fibration over the +-construction  $B\text{Diff}(W_g, D)^+$ , which *homotopically* approximates  $\Omega_0^\infty \mathbf{MT}\theta^n$  by my result with Galatius.

- (v) Take the limit as  $g \rightarrow \infty$  carefully.

# Words about the index theory

There are some subtle points of index theory which need to be treated.

- Considering a 1-parameter family of psc metrics on  $S^d$  as a psc metric on  $[0, 1] \times S^d$ , then closing off the ends, gives a map

$$\Omega\mathcal{R}^+(S^d) \longrightarrow \mathcal{R}^+(S^{d+1}).$$

(This is how we upgrade results about even spheres to all spheres.)

- As described earlier, there is an “action”

$$\mathcal{R}^+(S^d, D^d) \times \mathcal{R}^+(M^d, D^d) \longrightarrow \mathcal{R}^+(M^d, D^d).$$

In both cases we would like the evident diagram involving the secondary index maps  $H$  to commute. There is an alternative definition of the secondary index with which it is easier to prove these results, but these definitions have been shown to be equivalent in

J. Ebert, *The two definitions of the index difference*, 2013, arXiv:1308.4998.