

# Knots and 4-Manifolds

## Sample Exam

Attempt BOTH questions 1 and 2 and ANY THREE of questions 3-7. (Five questions in total.)

1. Let  $K$  be the knot shown in Figure 1. Find a presentation for  $\pi_1(S^3) - K$ . Using Fox calculus, compute  $\Delta_K(t)$ .
2. Compute the Alexander polynomial, Seifert genus, signature, and slice genus of the knot shown in Figure 2. (You may use any result from lectures or the example sheets.)
3. Let  $f : B^{n-k} \times S^{k-1} \rightarrow \partial X$  be the attaching map which specifies the attachment of a  $k$ -handle to  $X^n$ . What is the *attaching sphere* of this map? Prove or give a counterexample to the following statement: any embedded submanifold of  $\partial X$  which is diffeomorphic to  $S^{k-1}$  is the attaching sphere of some attaching map.

Explain what is meant by the *framing* of the attaching map. What group are framings classified by? When  $X = B^4$  and  $k = 2$ , any one-dimensional submanifold of  $\partial X$  has a canonical framing. What is it? Explain how framings are related to the self-intersection of embedded surfaces in this case.

4. Let  $K$  be the  $(p, q)$  torus knot. Use Seifert Van-Kampen to find a presentation of  $\pi_1(S^3 - K)$  with two generators and one relation. Compute the Alexander polynomial of  $K$  and show that the Seifert genus of  $K$  is  $(p-1)(q-1)/2$ .
5. Explain how to compute the Alexander polynomial and signature of a knot  $K$  if you are given a Seifert matrix of  $K$ . (No proofs are needed, just statements.) Show that  $\sigma(K) \equiv 0 \pmod{4}$  if  $\Delta_K(-1) < 0$ , and that  $\sigma(K) \equiv 2 \pmod{4}$  if  $\Delta_K(-1) > 0$ . Show moreover that if  $K_+$  is related to  $K_-$  by changing a single crossing from positive to negative, then  $\sigma(K_+) \leq \sigma(K_-) \leq \sigma(K_+) + 2$ .
6. Define the smooth four-ball genus of a knot  $K$ . Give an example (with justification) of a nontrivial knot with smooth four-ball genus 0. Let  $S \subset B^4$  be an embedded surface with boundary  $K$ . Given that the branched double cover  $D(S)$  has  $b_1 = 0$ , show that  $2\sigma(K) \leq g_*(K)$ .
7. Let  $D$  be a planar diagram representing a knot  $K$ . What is the *cube of resolutions* of  $D$ ? Define the Khovanov homology of  $K$ . (You need not check that it is well defined). Suppose that  $D$  is an alternating diagram with no *nugatory* crossings. (That is, no crossings of the form shown in Figure 3.) Show that the Khovanov homology of such a diagram is nontrivial in both the highest and lowest homological gradings in the cube of resolutions.

(Hint: show that the number of circles at the bottom vertex of the cube is greater than the number of circles at any adjacent vertex.) Conclude that no planar diagram representing  $K$  has fewer crossings than  $D$ .