

### Proof of Seifert-Van Kampen

**Setup:**  $X = U_1 \cup U_2$ ,  $U_i \subset X$  open,  $U_1 \cap U_2$  path connected.

Basepoint  $x \in U_1 \cap U_2$ .

Let  $j_i : U_1 \cap U_2 \rightarrow U_i$  be the inclusion.

Let  $G_i = \pi_1(U_i, x)$ .

**Theorem:**  $\pi_1(X, x) \cong G_1 * G_2 / N$  where  $N$  is the smallest normal subgroup of  $G_1 * G_2$  containing all elements of the form  $j_{1*}(a)j_{2*}(a)^{-1}$  for all  $a \in \pi_1(U_1 \cap U_2, x)$ .

**Proof:**

We have already established (easy SVK) that there is a surjection  $\phi : G_1 * G_2 \rightarrow \pi_1(X, x)$ , and that  $N \subset \ker \phi$ . It remains to show that there are no other elements of the kernel.

Suppose  $\gamma = \gamma_1 \gamma_2 \dots \gamma_n$  is represented by a composition of loops  $\gamma_i$  based at  $x$ , where each  $\gamma_i$  is contained in  $U_1$  or  $U_2$ . If  $\gamma$  can be written as a composition of paths  $f_1 \dots f_n$ , where each  $f_i$  is contained in  $U_1$  or  $U_2$ , then we can represent  $\gamma$  by the composition  $(f_1 g_1^{-1})(g_1 f_2 g_2^{-1}) \dots (g_{n-1} f_n) \in G_1 * G_2$ , where  $g_i$  is a path from  $x$  to  $f_{i-1}(1) = f_i(0)$ .

**Claim 1:**  $\gamma_1 \gamma_2 \dots \gamma_n = (f_1 g_1^{-1})(g_1 f_2 g_2^{-1}) \dots (g_{n-1} f_n)$  in  $G_1 * G_2 / N$ .

*Proof:* It suffices to consider the case where we insert a single  $g_i^{-1} g_i$  into some word  $\gamma_j$ , which we assume is contained in  $U_1$ . In this case, we have replaced  $\gamma_j$  with  $(\gamma_{j,1} g_i^{-1})(g_i \gamma_{j,2})$ . If both words in this expression are considered as elements of  $G_1$ , then the desired relation already holds in  $G_1 \cap G_2$ . If one or both are considered as elements of  $G_2$ , then they must be contained in  $U_1 \cap U_2$ , and the desired relation is a consequence of the relations in  $N$ .

Now suppose that  $\gamma$  and  $\gamma'$  are loops in  $X$  which are related by a homotopy  $H : [0, 1] \times [0, 1] \rightarrow X$ . In light of claim 1,  $\gamma$  and  $\gamma'$  represent well-defined elements of  $G_1 * G_2 / N$ .

**Claim 2:** Suppose  $[0, 1]$  can be divided into intervals  $[a_0, a_1], [a_1, a_2], \dots [a_{n-1}, a_n]$ , where  $a_0 = 0, a_n = 1$ , and that  $H([a_i, a_{i+1}], [0, 1])$  is contained in  $U_1$  or  $U_2$  for each  $i$ . Then  $\gamma = \gamma'$  in  $G_1 * G_2 / N$ .

*Proof:* Let  $f_i$  be the restriction of  $\gamma$  to the interval  $[a_{i-1}, a_i]$ , and similarly for  $f'_i$  and  $\gamma'$ . Then we can write

$$\gamma = ((f_1 g_1^{-1})(g_1 f_2 g_2^{-1}) \dots (g_{n-1} f_n) \in G_1 * G_2 / N$$

, where  $g_i$  is a path from  $x$  to  $f_{i-1}(1) = f_i(0)$ . Similarly,

$$\gamma' = ((f'_1 h_1^{-1} g_1^{-1})(g_1 h_1 f'_2 h_2^{-1} g_2^{-1}) \dots (h_{n-1} g_{n-1} f'_n) \in G_1 * G_2 / N$$

where  $h_i$  is the path obtained by restriction  $H$  to the interval  $a_i \times [0, 1]$ . Since the homotopy  $H([a_i, a_{i+1}] \times [0, 1])$  is entirely contained in either  $U_1$  or  $U_2$ , the relation

$$g_{i-1} f_i g_i^{-1} = g_{i-1} h_{i-1} f'_i h_i^{-1} g_i^{-1}$$

holds in  $G_1$  or  $G_2$ . Combining these relations for all  $i$  gives the claim.

We can now complete the proof of the theorem. Suppose that  $\gamma$  and  $\gamma'$  are loops in  $X$  which are related by a homotopy  $H : [0, 1] \times [0, 1] \rightarrow X$ . By the uniform continuity lemma, we can choose an integer  $N$  so that each square of side  $1/N$  in  $[0, 1] \times [0, 1]$  maps to  $U_1$  or  $U_2$  under  $H$ . Divide the square into smaller squares of side  $1/N$ , and let  $\gamma_i$  be the restriction of  $H$  to  $[0, 1] \times i/N$ . Then claim 2 ensures that  $\gamma_i$  and  $\gamma_{i+1}$  represent the same element of  $G_1 * G_2/N$ . Repeating, we see that  $\gamma = \gamma'$  in  $G_1 * G_2/N$ .

**Corollary:** Suppose we have presentations

$$\begin{aligned}\pi_1(U_1, x) &= \langle a_1, \dots, a_n \mid w_1, \dots, w_m \rangle \\ \pi_1(U_2, x) &= \langle b_1, \dots, b_{n'} \mid u_1, \dots, u_{m'} \rangle\end{aligned}$$

and that  $\pi_1(U_1 \cap U_2, x)$  is generated by elements  $c_1, \dots, c_k$ . Then

$$\pi_1(X) \cong \langle a_1, \dots, a_n, b_1, \dots, b_{n'} \mid w_1, \dots, w_m, u_1, \dots, u_{m'}, j_{1*}(c_1)j_{2*}(c_1)^{-1} \dots j_{1*}(c_k)j_{2*}(c_k)^{-1} \rangle$$

**Proof:** This follows easily from the fact that

$$G_1 * G_2 \cong \langle a_1, \dots, a_n, b_1, \dots, b_{n'} \mid w_1, \dots, w_m, u_1, \dots, u_{m'} \rangle.$$

**Example 1:**  $T^2 = U_1 \cup U_2$ , where  $U_1$  deformation retracts to  $S^1 \vee S^1$ ,  $U_2 \simeq (B^2)^\circ$ , and  $U_1 \cap U_2 \simeq S^1 \times (0, 1)$ .  $\pi_1(U_1) = \langle a, b \mid \rangle$ ,  $\pi_1(U_2)$  is trivial, and  $\pi_1(U_1 \cap U_2)$  is generated by a simple loop  $\gamma$  which deformation retracts to the loop  $aba^{-1}b^{-1} = [a, b]$  in  $S^1 \vee S^1$ . It follows that

$$\pi_1(T^2, x) \cong \langle a, b \mid ab = ba \rangle = \mathbb{Z}^2$$

**Example 2:**  $\mathbb{RP}^2 = U_1 \cup U_2$ , where  $U_1$  is the Mobius band,  $U_2 = (B^2)^\circ$ , and  $U_1 \cap U_2 = S^1 \times (0, 1)$ .  $\pi_1(U_1) = \langle a \mid \rangle$ , and  $\pi_1(U_1 \cap U_2)$  is generated by a loop  $\gamma$  which represents  $a^2$  in  $\pi_1(U_1)$ . It follows that

$$\pi_1(T^2, x) \cong \langle a \mid a^2 = 1 \rangle = \mathbb{Z}/2$$

Both of these examples are special cases of the construction of attaching a disk: if  $f : S^1 \rightarrow X$ , then  $X \cup_f B^2 = X \amalg B^2 / \sim$ , where  $x \sim f(x)$ .

**Example 3:**  $\Sigma_2$  is a surface of genus 2 obtained by identifying two copies of  $T^2 - B^2$  along their common boundary circle. Taking  $U_1$  and  $U_2$  to be slightly enlarged copies of the punctured tori, we see that  $U_1 \cap U_2 \simeq S^1 \times (-1, 1)$ . We have  $\pi_1(U_i) = \langle a_i, b_i \mid \rangle$ . If  $\gamma$  is a generator of  $\pi_1(U_1 \cap U_2)$ , we see that  $j_{1*}(\gamma) = [a_1, b_1]$  and  $j_{2*}(\gamma) = [a_2, b_2]^{-1}$ . Thus

$$\pi_1(\Sigma_2, x) \cong \langle a_1, b_1, a_2, b_2 \mid [a_1, b_1][a_2, b_2] = 1 \rangle$$

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