

## Path and Homotopy Lifting

We fix the disastrous gap between the statement of Lemma 2 on Monday and it's statement on Wednesday. As a bonus, we give an alternate proofs of path and homotopy lifting that illustrate how similar they are. Throughout, suppose  $p : \widehat{X} \rightarrow X$  is a covering map.

**Definition 1.**  $f : Z \rightarrow X$  has the unique lifting property (ULP) at  $z$  in  $Z$  if for any  $\widehat{x} \in \widehat{X}$  with  $p(\widehat{x}) = f(z)$  there is a unique  $\widehat{f} : (Z, z) \rightarrow (\widehat{X}, \widehat{x})$  with  $p \circ \widehat{f} = f$ .

**Lemma 2.** Suppose  $U \subset X$  is open and evenly covered by  $p$ , and that  $Z$  is connected. If  $f : Z \rightarrow X$  has  $\text{im } f \subset U$ , then  $f$  has the unique lifting property at any  $z \in Z$ .

*Proof.* Suppose  $p(\widehat{x}) = f(z)$ .  $U$  is evenly covered, so  $p^{-1}(U) \cong \coprod_{\alpha \in A} U_\alpha$ , and  $p_\alpha = p|_{U_\alpha} : U_\alpha \rightarrow U$  is a homeomorphism. So there is some  $\alpha_0 \in A$  for which  $\widehat{x} \in U_{\alpha_0}$ .

We define  $\widehat{f} = i_{\alpha_0} \circ p_{\alpha_0}^{-1} \circ f$ , where  $i_{\alpha_0} : U_{\alpha_0} \rightarrow \widehat{X}$  is the inclusion. This is a composition of continuous functions, hence continuous, and it satisfies  $\widehat{f}(z) = p_{\alpha_0}^{-1}(f(z)) = \widehat{x}$ . Since  $\text{im } \widehat{f} \subset U_{\alpha_0}$ , we have  $p \circ \widehat{f}(z) = p|_{U_{\alpha_0}} \circ p_{\alpha_0}^{-1} \circ f = f$ . Thus  $\widehat{f}$  is the desired lift.

For uniqueness, observe that  $A = U_{\alpha_0}$  and  $B = \bigcup_{\alpha \neq \alpha_0} U_\alpha$  are disjoint open subsets of  $p^{-1}(U)$ .  $A \cup B = p^{-1}(U)$ , so  $A$  and  $B$  disconnect  $p^{-1}(U)$ . Suppose  $\tilde{f} : (Z, z) \rightarrow (\widehat{X}, \widehat{x})$  is a lift of  $f$ . Since  $Z$  is connected and  $\tilde{f}(z) = \widehat{x}$ , we must have  $\tilde{f}(Z) \subset A = U_{\alpha_0}$ . Since  $\tilde{f}$  is a lift, we have  $f = p \circ \tilde{f} = p_{\alpha_0} \circ \tilde{f}$ . It follows that  $\tilde{f}(z') = p_{\alpha_0}^{-1}(f(z')) = \widehat{f}(z')$ , for all  $z' \in Z$ , i.e.  $\tilde{f} = \widehat{f}$ . □

**Lemma 3.** Suppose  $Z = Z_1 \cup Z_2$ , where  $Z_1$  and  $Z_2$  are closed in  $Z$ , that  $z_1 \in Z_1$  and that  $z_2 \in Z_1 \cap Z_2$ . Given  $f : Z \rightarrow X$ , let  $f_i = f|_{Z_i}$ , and  $g = f|_{Z_1 \cap Z_2}$ . If  $f_i$  has ULP for  $z_i$  ( $i = 1, 2$ ) and  $g$  has ULP for  $z_2$ , then  $f$  has ULP for  $z_1$ .

*Proof.* Suppose  $p(\widehat{x}) = f(z)$ . By ULP for  $f_1$ , there is a unique lift  $\widehat{f}_1$  of  $f_1$  with  $\widehat{f}_1(z_1) = \widehat{x}$ . Then  $p(\widehat{f}_1(z_2)) = f(z_2)$ . By ULP for  $f_2$ , there is unique lift  $\widehat{f}_2$  of  $f_2$  with  $\widehat{f}_2(z_2) = \widehat{f}_1(z_2)$ . Let  $\widehat{g}_i = \widehat{f}_i|_{Z_1 \cap Z_2}$ . Then  $p \circ \widehat{g}_i = g$  and  $\widehat{g}_1(z_2) = \widehat{g}_2(z_2)$ . Since  $g$  has ULP for  $z_2$ ,  $\widehat{g}_1 = \widehat{g}_2$ . Hence we can define  $\widehat{f} : Z \rightarrow \widehat{X}$  by  $\widehat{f}(z) = \widehat{f}_1(z)$  if  $z \in Z_1$  and  $\widehat{f}(z) = \widehat{f}_2(z)$  if  $z \in Z_2$ .  $\widehat{f}$  is continuous by the gluing lemma and is clearly a lift of  $f$ . This proves existence.

For uniqueness, suppose  $\tilde{f}$  is a lift of  $f$  with  $\tilde{f}(z_1) = \widehat{x}$ . Let  $\tilde{f}_i = \tilde{f}|_{Z_i}$ . Then  $\tilde{f}_i$  is a lift of  $f_i$  and  $\tilde{f}_1(z_1) = \widehat{x}$ . By ULP for  $f_1$ ,  $\tilde{f}_1 = \widehat{f}_1$ . Hence  $\tilde{f}_2(z_2) = \tilde{f}_1(z_2) = \widehat{f}_1(z_2)$ . By ULP for  $f_2$ ,  $\tilde{f}_2 = \widehat{f}_2$ . Hence  $\tilde{f} = \widehat{f}$ . □

**Lemma 4.** Suppose  $Z$  is a compact metric space and  $f : Z \rightarrow X$ . Then there exists  $\delta > 0$  such that for every  $z \in Z$  there is an open  $U_z \subset X$  which is evenly covered by  $p$  and for which  $f(B_\delta(z)) \subset U_z$ .

*Proof.* Since  $p$  is a covering map, each  $x \in X$  has an open neighborhood  $U_x$  which is evenly covered by  $p$ . Then  $\{U_x | x \in X\}$  is an open cover of  $X$ . Since  $f$  is continuous,  $\{f^{-1}(U_x) | x \in X\}$  is an open cover of  $Z$ . By the Lebesgue covering lemma, we can find  $\delta > 0$  such that for each  $z \in Z$  there is some  $x(z) \in X$  with  $B_\delta(z) \subset f^{-1}(U_{x(z)})$ . Setting  $U_z = U_{x(z)}$  gives the statement. □

**Theorem.** (Path Lifting) If  $f : I \rightarrow X$ , then  $f$  has ULP for 0.

*Proof.*  $I$  is compact, so choose  $\delta$  as in Lemma 4 and  $n \in \mathbb{Z}$  such that  $0 < \frac{1}{n} < \delta$ . Let  $a_i = \frac{i}{n}$  and let  $A_i = [a_i, a_{i+1}]$ . Then  $f(A_i) \subset f(B_\delta(a_i)) \subset U_{a_i}$ , where  $U_{a_i}$  is open and evenly covered.  $A_i$  is connected, so by Lemma 2,  $f|_{A_i}$  has ULP for  $a_i$ . (\*)

For  $0 \leq k < n$ , let  $B_k = \bigcup_{i=0}^k A_i$ . Then  $A_{k+1} \cap B_k = \{a_{k+1}\}$  is connected and contained in  $A_{k+1}$ , so by Lemma 2  $f|_{A_{k+1} \cap B_k}$  has the ULP for  $a_i$ . (\*\*).

We prove by induction on  $k$  that  $f|_{B_k}$  has the ULP for 0. When  $k = 0$ ,  $B_0 = A_0$ , and  $f|_{A_0}$  has ULP for 0 by (\*). Now suppose the statement holds for  $k$ . Now  $B_{k+1} = Z_1 \cup Z_2$ , where  $Z_1 = B_k$  and  $Z_2 = A_{k+1}$ . With notation as in Lemma 2,  $f_1$  has ULP for 0 by the induction hypothesis,  $f_2$  has ULP for  $a_{k+1}$  by (\*), and  $g$  has ULP for  $a_{k+1}$  by (\*\*). By Lemma 3,  $f|_{B_{k+1}}$  has ULP for 0. By induction the statement holds for all  $k < n$ . Taking  $k = n - 1$  gives the statement of the theorem.  $\square$

**Theorem.** (*Homotopy Lifting*) *If  $f : I \times I \rightarrow X$ , then  $f$  has ULP for  $(0, 0)$ .*

*Proof.*  $I \times I$  is compact, so choose  $\delta$  as in Lemma 4 and  $n \in \mathbb{Z}$  such that  $0 < \frac{\sqrt{2}}{n} < \delta$ . For  $0 \leq i, j < n$ , let  $a_{i+nj} = (\frac{i}{n}, \frac{j}{n})$  and let  $A_k$  be the square of side  $\frac{1}{n}$  whose lower left corner is at  $A_i$ . Then  $f(A_i) \subset f(B_\delta(a_i)) \subset U_{a_i}$ , where  $U_{a_i}$  is open and evenly covered. The rest of the argument now proceeds exactly as in the proof of path lifting, except the check that  $A_{k+1} \cap B_k$  is connected is a little less trivial.  $\square$