

The Axiom of Choice

Zachiri McKenzie

I hope that one day I will see a free Palestinian state!

“Remember the solidarity shown to Palestine here and everywhere... and remember also that there is a cause to which many people have committed themselves, difficulties and terrible obstacles notwithstanding. Why? Because it is a just cause, a noble ideal, a moral quest for equality and human rights.”

- Edward Said

The Axiom of Choice

The Axiom of
Choice

Zachiri
McKenzie

(AC): If \mathcal{S} is a collection of non-empty pairwise disjoint sets, then there exists a C such that:

$$C \cap X = \{u\} \text{ for all } X \in \mathcal{S}.$$

Equivalents of the Axiom of Choice

The Axiom of
Choice

Zachiri
McKenzie

In Classical ZF Set Theory the following statements are equivalent to AC:

Equivalents of the Axiom of Choice

The Axiom of
Choice

Zachiri
McKenzie

In Classical ZF Set Theory the following statements are equivalent to AC:

- (i) (Zorn's Lemma) If (P, \leq) is a partial order and every chain, $C \subseteq P$, has an upper bound, then (P, \leq) has a maximal element.

Equivalents of the Axiom of Choice

The Axiom of
Choice

Zachiri
McKenzie

In Classical ZF Set Theory the following statements are equivalent to AC:

- (i) (Zorn's Lemma) If (P, \leq) is a partial order and every chain, $C \subseteq P$, has an upper bound, then (P, \leq) has a maximal element.
- (ii) (Zermelo's Well-Ordering Principle) Every set can be well-ordered.

Equivalents of the Axiom of Choice

In Classical ZF Set Theory the following statements are equivalent to AC:

- (i) (Zorn's Lemma) If (P, \leq) is a partial order and every chain, $C \subseteq P$, has an upper bound, then (P, \leq) has a maximal element.
- (ii) (Zermelo's Well-Ordering Principle) Every set can be well-ordered.
- (iii) (Tukey's Lemma) If \mathcal{F} is a family of non-empty sets satisfying the following condition:

$$X \in \mathcal{F} \text{ if and only if } (S \subseteq X) \wedge (S \text{ is finite}) \implies S \in \mathcal{F}.$$

Then \mathcal{F} has a maximal element with respect to inclusion (\subseteq).

Symmetry and Models in which AC fails

The Axiom of
Choice

Zachiri
McKenzie

Assume that we have a model for ZFA, (M, \in) , where A is the set of atoms.

Symmetry and Models in which AC fails

The Axiom of
Choice

Zachiri
McKenzie

Assume that we have a model for ZFA, (M, \in) , where A is the set of atoms.

It can easily be seen that any permutation of A induces an \in -automorphism of (M, \in) .

Symmetry and Models in which AC fails

The Axiom of
Choice

Zachiri
McKenzie

Assume that we have a model for ZFA, (M, \in) , where A is the set of atoms.

It can easily be seen that any permutation of A induces an \in -automorphism of (M, \in) .

Theorem

If (M, \in) is a model of ZFA and the set of atoms, $A \subseteq M$, is infinite, then there is no ordinal definable well-ordering of A . \square

Producing Models of ZF using Forcing

The Axiom of
Choice

Zachiri
McKenzie

We recall the idea of Cohen's forcing method:

Producing Models of ZF using Forcing

The Axiom of
Choice

Zachiri
McKenzie

We recall the idea of Cohen's forcing method:

Definition

Let (P, \leq) be a partial order. A set $F \subseteq P$ is a filter if and only if

- (i) $F \neq \emptyset$;*
- (ii) if $p \leq q$ and $p \in F$, then $q \in F$;*
- (iii) if $p, q \in F$, then there exists $r \in F$ such that $r \leq p$ and $r \leq q$.*

Producing Models of ZF using Forcing

The Axiom of
Choice

Zachiri
McKenzie

We recall the idea of Cohen's forcing method:

Definition

Let (P, \leq) be a partial order. A set $F \subseteq P$ is a filter if and only if

- (i) $F \neq \emptyset$;*
- (ii) if $p \leq q$ and $p \in F$, then $q \in F$;*
- (iii) if $p, q \in F$, then there exists $r \in F$ such that $r \leq p$ and $r \leq q$.*

Definition

Let (P, \leq) be a partial order. A set $D \subseteq P$ is dense in P if and only if for all $p \in P$ there exists a $q \in D$ such that $q \leq p$.

Definition

Let (P, \leq) be a partial order. A set $G \subseteq P$ is a generic filter over M if and only if

- (i) G is a filter;
- (ii) if $D \subseteq P$ is dense in P and $D \in M$, then $D \cap G \neq \emptyset$.

Definition

Let (P, \leq) be a partial order. A set $G \subseteq P$ is a generic filter over M if and only if

- (i) G is a filter;*
- (ii) if $D \subseteq P$ is dense in P and $D \in M$, then $D \cap G \neq \emptyset$.*

The idea is that given a model of ZFC, (M, \in) , and a partial order (P, \leq) in M , we can produce an interpretation of an extended model $(M[G], \in)$ that contains G ; a filter on (P, \leq) that is generic over M .

We recall how this interpretation is constructed:

We recall how this interpretation is constructed:

Definition

Let (P, \leq) be a partial order. Using well-founded recursion we define the notion of a P -name. A set x is a P -name if and only if x is a relation and for all $(y, p) \in x$, y is a P -name and $p \in P$.

We recall how this interpretation is constructed:

Definition

Let (P, \leq) be a partial order. Using well-founded recursion we define the notion of a P -name. A set x is a P -name if and only if x is a relation and for all $(y, p) \in x$, y is a P -name and $p \in P$.

Definition

Let (P, \leq) be a partial order and let $G \subseteq P$ be a generic filter over M . For each P -name $x \in M$, define the evaluation of x at G by recursion:

$$x^G = \{y^G \mid (y, p) \in x \wedge p \in G\}.$$

Each set in the original model has a canonical P -name in the interpretation of the extended model:

Each set in the original model has a canonical P -name in the interpretation of the extended model:

Definition

Let M be a model of ZFC and (P, \leq) a partial order with maximal element $\mathbb{1}_P$. For each $x \in M$, define by recursion:

$$\check{x} = \{(\check{y}, \mathbb{1}_P) \mid y \in x\}.$$

Each set in the original model has a canonical P -name in the interpretation of the extended model:

Definition

Let M be a model of ZFC and (P, \leq) a partial order with maximal element $\mathbb{1}_P$. For each $x \in M$, define by recursion:

$$\check{x} = \{(\check{y}, \mathbb{1}_P) \mid y \in x\}.$$

Let M be a transitive model of ZFC. For any partial order (P, \leq) (with a maximal element $\mathbb{1} \in P$) in M and $G \subseteq P$ a generic filter over M we define the following classes:

$$M^P = \{x \in M \mid x \text{ is a } P\text{-name}\}$$

$$M[G] = \{x^G \mid x \in M^P\}.$$

Theorem

(Generic Model Theorem) Let M be a transitive model of ZFC and let (P, \leq) be a partial order in M . If $G \subseteq P$ is a generic filter over M , then $M[G]$ is a model of ZFC such that:

- (i) $M \subseteq M[G]$;
- (ii) $G \in M[G]$;
- (iii) $\text{NO}^{M[G]} = \text{NO}^M$;
- (iv) if N is a transitive model of ZF such that $M \subseteq N$ and $G \in N$, then $M[G] \subseteq N$.

□

An important feature of the extended model $M[G]$ is that there exists a definable relation in the ground model M relating truth in $M[G]$ to G .

An important feature of the extended model $M[G]$ is that there exists a definable relation in the ground model M relating truth in $M[G]$ to G .

Theorem

(The Forcing Theorem) Let M be a transitive model of ZFC and let (P, \leq) be a partial order in M . If σ is a sentence of the forcing language, then for every $G \subseteq P$ generic over M ,

$$M[G] \models \sigma \text{ if and only if } (\exists p \in G)p \Vdash \sigma.$$

□

An important feature of the extended model $M[G]$ is that there exists a definable relation in the ground model M relating truth in $M[G]$ to G .

Theorem

(The Forcing Theorem) Let M be a transitive model of ZFC and let (P, \leq) be a partial order in M . If σ is a sentence of the forcing language, then for every $G \subseteq P$ generic over M ,

$$M[G] \models \sigma \text{ if and only if } (\exists p \in G)p \Vdash \sigma.$$

□

Definition

Let (P, \leq) be a partial order. Let $M[G]$ be an extended model generated by a generic filter G . For each $x \in M[G]$ define $\dot{x} \in M$ to be the P -name such that $\dot{x}^G = x$.

Symmetric Submodels of Generic Extensions

The Axiom of
Choice

Zachiri
McKenzie

Definition

Let π be an automorphism of (P, \leq) . Define the 'automorphism' π' of M^P by \in -recursion:

$$\pi'(x) = \{(\pi'(y), \pi(p)) \mid (y, p) \in x\} \text{ for all } x \in M^P.$$

Symmetric Submodels of Generic Extensions

The Axiom of
Choice

Zachiri
McKenzie

Definition

Let π be an automorphism of (P, \leq) . Define the 'automorphism' π' of M^P by \in -recursion:

$$\pi'(x) = \{(\pi'(y), \pi(p)) \mid (y, p) \in x\} \text{ for all } x \in M^P.$$

Definition

Let \mathcal{G} be a group of automorphisms of M^P . A set of subgroups \mathcal{F} of \mathcal{G} is a filter if and only if

- (i) $\mathcal{G} \in \mathcal{F}$;
- (ii) if $H \in \mathcal{F}$ and $H \subseteq K$, then $K \in \mathcal{F}$;
- (iii) if $H, K \in \mathcal{F}$, then $H \cap K \in \mathcal{F}$;
- (iv) if $\pi \in \mathcal{G}$ and $H \in \mathcal{F}$, then $\pi H \pi^{-1} \in \mathcal{F}$.

Definition

Let \mathcal{G} be a group of automorphisms. For any set x define,

$$\text{sym}(x) = \{\sigma \in \mathcal{G} \mid \sigma(x) = x\}.$$

Definition

Let \mathcal{G} be a group of automorphisms. For any set x define,

$$\text{sym}(x) = \{\sigma \in \mathcal{G} \mid \sigma(x) = x\}.$$

This allows us to define a symmetric submodel of a generic extension:

Definition

Let \mathcal{G} be a group of automorphisms. For any set x define,

$$\text{sym}(x) = \{\sigma \in \mathcal{G} \mid \sigma(x) = x\}.$$

This allows us to define a symmetric submodel of a generic extension: Let $M[G]$ be a generic extension produced by forcing with (P, \leq) . Let \mathcal{G} be a group of automorphisms of M^P and let \mathcal{F} be a filter on \mathcal{G} .

Definition

Let \mathcal{G} be a group of automorphisms. For any set x define,

$$\text{sym}(x) = \{\sigma \in \mathcal{G} \mid \sigma(x) = x\}.$$

This allows us to define a symmetric submodel of a generic extension: Let $M[G]$ be a generic extension produced by forcing with (P, \leq) . Let \mathcal{G} be a group of automorphisms of M^P and let \mathcal{F} be a filter on \mathcal{G} .

We call a P -name, \dot{x} , symmetric if and only if $\text{sym}(\dot{x}) \in \mathcal{F}$. Let HS be the class of all hereditarily symmetric P -names.

Definition

Let \mathcal{G} be a group of automorphisms. For any set x define,

$$\text{sym}(x) = \{\sigma \in \mathcal{G} \mid \sigma(x) = x\}.$$

This allows us to define a symmetric submodel of a generic extension: Let $M[G]$ be a generic extension produced by forcing with (P, \leq) . Let \mathcal{G} be a group of automorphisms of M^P and let \mathcal{F} be a filter on \mathcal{G} .

We call a P -name, \dot{x} , symmetric if and only if $\text{sym}(\dot{x}) \in \mathcal{F}$. Let HS be the class of all hereditarily symmetric P -names.

$$\text{Let } N = \{x^G \mid x \in HS\}.$$

Theorem

The class $N \subseteq M[G]$ defined above is a model of ZF Set Theory. \square

Jech-Sochor Theorem

The Axiom of
Choice

Zachiri
McKenzie

Theorem

(Jech-Sochor) Let (M, \in) be a model of ZFA with $A \subseteq M$ the set of atoms. Let α be an ordinal number. There exists a symmetric model N of ZF and an embedding $x \mapsto \tilde{x}$ into N witnessing that:

$$(P_\alpha(A))^U \text{ is } \in\text{-isomorphic to } (P_\alpha(\tilde{A}))^N.$$

□