

**MATHEMATICAL TRIPOS PART II (2019–2020)**  
**CODING AND CRYPTOGRAPHY**  
**EXAMPLE SHEET 3 OF 4**

- 1 Find generator and parity check matrices for the Hamming  $(7, 4)$ -code, putting each in the form  $(I|B)$  for  $I$  an identity matrix of suitable size. Repeat for the parity check extension of this code.
- 2 The Mariner mission to Mars<sup>1</sup> used the  $RM(5, 1)$  code. What was its information rate? What proportion of errors could it correct in a single codeword? How does it compare to the Hamming code of length 31?
- 3 Show that if  $C$  is a linear code, then so are its parity check extension  $C^+$  and puncturing  $C^-$ . When is the shortening  $C'$  of  $C$  a linear code? Describe the effect of a parity check extension on the generator and parity check matrices.
- 4 Give a recursive definition of the Reed-Muller codes, using the bar product construction. Use this to compute the rank of  $RM(d, r)$ . Show that all but two codewords in  $RM(d, 1)$  have the same weight.
- 5 Show that  $RM(d, r)$  has dual code  $RM(d, d - r - 1)$ . (Hint: First show that every codeword in  $RM(d, d - 1)$  has even weight.)
- 6 Factor the polynomials  $X^3 - 1$  and  $X^5 - 1$  into irreducibles in  $\mathbb{F}_2[X]$ . Hence find all cyclic codes of length 3 or 5 and relate them to codes you have already met.
- 7 (i) Show directly that the dual code  $C^\perp$  of a cyclic code  $C$  is cyclic. Explain how the generator polynomials of  $C$  and  $C^\perp$  are related.  
(ii) Show that there are three cyclic codes of length 7 corresponding to irreducible polynomials of which two are versions of Hamming's original code. What are the other cyclic codes of length 7?
- 8 Consider the collection  $K$  of polynomials  $a_0 + a_1\alpha + a_2\alpha^2 + a_3\alpha^3$  with  $a_j \in \mathbb{F}_2$ , manipulated subject to the usual rules of polynomial arithmetic and the further condition  $1 + \alpha + \alpha^4 = 0$ . Show by direct calculation that  $K^\times = K \setminus \{0\}$  is a cyclic group under multiplication and deduce that  $K$  is a finite field. (Of course, this follows directly from general theory but direct calculation is not uninteresting.)
- 9 Let  $C$  be the cyclic code of length  $n = 2^d - 1$  defined by a primitive  $n$ th root of unity.
  - (i) Show that if  $g(X) \in \mathbb{F}_2[X]$  then  $g(X)^2 = g(X^2)$ .
  - (ii) Show that  $C$  is a BCH code of design distance 3.
  - (iii) Deduce that  $C$  is (equivalent to) the Hamming  $(n, n - d)$ -code.

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<sup>1</sup>Launched by NASA from Cape Canaveral on 30 May 1971, Mariner 9 was the first spacecraft to orbit another planet, narrowly beating Soviet Mars 2 and Mars 3, which both arrived within a month. After 349 days in orbit, Mariner 9 had transmitted 7,329 images, covering 100% of Mars' surface. It still orbits Mars in an orbit which will eventually decay sometime after 2022.

**10** Let  $\alpha \in \mathbb{F}_{16}$  be a root of  $X^4 + X + 1$ . Let  $C$  be the BCH code of length 15 and design distance 5, with defining set the first few powers of  $\alpha$ .

(i) Find the minimal polynomial for each element of the defining set, and then compute the generator polynomial of  $C$  as the least common multiple of these polynomials.

(ii) If possible, determine the error positions of the following received words

(a)  $r(X) = 1 + X^6 + X^7 + X^8$

(b)  $r(X) = 1 + X + X^4 + X^5 + X^6 + X^9$

(c)  $r(X) = 1 + X + X^2$

(d)  $r(X) = 1 + X + X^7$ .

(Your answer to Question 8 may help with the computations.)

**11** Let  $C$  be a linear code of length  $n$  with  $A_j$  codewords of weight  $j$ . The weight enumerator polynomial is

$$W_C(x, y) = \sum_{j=0}^n A_j x^j y^{n-j}.$$

(i) We transmit a codeword through a BSC with error probability  $p$ . Give a formula, in terms of the weight enumerator polynomial, for the probability that the word received is a codeword.

(ii) Show that  $W_C(x, y) = W_C(y, x)$  if and only if  $W_C(1, 0) = 1$ .

**12** Show that if  $2^k \sum_{i=0}^{d-2} \binom{n-1}{i} < 2^n$  then  $A(n, d) \geq 2^k$ . Compare with the GSV bound in the case  $n = 10$  and  $d = 3$ . (Hint: Construct a parity check matrix for a linear code by choosing one column at a time.)

### Further Problems

**13** Describe the effect on the dual code  $C^\perp$  when a linear code  $C$  is modified in the following ways.

(i) We puncture  $C$  in the last place. (You may assume  $d(C) \geq 2$ .)

(ii) We shorten  $C$  by 0 in the last place. (You may assume some codeword ends in a 1.)

**14** Show that  $RM(d, d-2)$  is the parity check extension of the Hamming  $(n, n-d)$  code with  $n = 2^d - 1$ . (This is useful because we often want codes of length  $2^d$ .)

**15** We construct a perfect 3-error correcting  $(23, 12)$ -code, starting from the factorisation

$$X^{23} - 1 = (X - 1)f_1(X)f_2(X)$$

in  $\mathbb{F}_2[X]$  where  $f_1(X) = 1 + X + X^5 + X^6 + X^7 + X^9 + X^{11}$  and  $f_2(X) = X^{11}f_1(1/X)$ .

(i) Show that if  $g(X) \in \mathbb{F}_2[X]$  and  $\beta$  is a root of  $g$  (in some field extension of  $\mathbb{F}_2$ ) then  $\beta^2$  is also a root of  $g$ .

(ii) Make a list of the powers of 2 mod 23. Deduce that the cyclic code  $C$  with generator polynomial  $f_1(X)$  has minimum distance at least 5.

(iii) Show that  $C^\perp$  is a subcode of  $C$ . Deduce that the parity check extension of  $C$  is a self-dual linear code.

(iv) Show that any self-dual linear code, generated by vectors of weight a multiple of 4, has minimum distance a multiple of 4.

(v) Deduce that  $C$  is a perfect 3-error correcting code.

**16** (i) Prove that a binary 2-error correcting code of length 10 can have at most 12 codewords.

Now let  $p$  be a prime congruent to 3 modulo 4 and let  $Q$  be the set of squares (=quadratic residues) mod  $p$ , including 0, so that  $|Q| = \frac{p+1}{2}$ .

(ii) Show that  $Q$  and  $Q + 1$  have exactly  $\frac{p+1}{4}$  elements in common and deduce that for any pair of elements mod  $p$ , there are  $\frac{p+1}{4}$  translates (sets of the form  $Q + j$ ) which contain both.

Consider the code of length  $p$  and size  $p + 1$  whose  $(j + 1)$ th element is  $(x_0, \dots, x_{p-1})$  where  $x_r = 0$  if and only if  $r \in Q + j$ , ( $j = 0, \dots, p - 1$ ), and whose  $(p + 1)$ th element is  $(1, 1, \dots, 1)$ . What is the distance between two distinct codewords? What can one say about the distance between codewords in the truncation of this code?

(iii) Deduce the existence of a  $[10, 12]$  2-error correcting code.<sup>2</sup> The codes derived this way are not linear.

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Comments on and corrections to this sheet may be emailed to [sm@dpmms.cam.ac.uk](mailto:sm@dpmms.cam.ac.uk)

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<sup>2</sup>The related  $(11,12,6)$ -code is called the *Paley 2-design*. It is named after Raymond E.A.C. Paley, an MIT mathematician who worked with Norbert Wiener. Paley died in an avalanche in 1933 aged just 26 while skiing in the Canadian Rockies.