

**CODES AND CRYPTOGRAPHY – Example Sheet 3**

TKC Michaelmas 2007

- For a natural number  $d$  there are  $N = 2^d - 1$  non-zero vectors in  $\mathbb{F}_2^d$ . Take these as the columns of an  $d \times N$  matrix  $S$ . The kernel of  $S$  is then the code book for a Hamming code

$$c : \mathbb{F}_2^{N-d} \rightarrow \mathbb{F}_2^N .$$

Check that the case  $d = 3$  gives the Hamming code we constructed earlier.

Show that each of these Hamming codes is a perfect 1-error correcting code.

- Let  $C(X) = c_0 + c_1X + \dots + c_{K-1}X^{K-1} + X^K$  be the feedback polynomial for a linear feedback shift register over  $\mathbb{F}_q$  with  $c_0 \neq 0$ . Show that the output stream  $(x_j)$  is periodic. Show that there is a  $K \times K$  matrix  $M$  with

$$\begin{pmatrix} x_j \\ x_{j+1} \\ \vdots \\ x_{j+K-1} \end{pmatrix} = M^j \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{K-1} \end{pmatrix} \quad \text{for } j = 0, 1, 2, \dots .$$

Prove that  $M^N = I$  for some integer  $N$ . Find the characteristic and minimal polynomials for  $M$ .

What happens when the coefficient  $c_0$  is allowed to be 0? Do we still get periodicity when the feedback function is not assumed to be a polynomial?

- Find generator and syndrome matrices for the Hamming code (of length 7), putting each in the form  $\begin{pmatrix} I \\ A \end{pmatrix}$  or  $(-A \quad I)$  for  $I$  an identity matrix of suitable size.

A new code is formed by adding a single parity check bit to the end of the Hamming code, show that this is linear and repeat the exercise above for this code.

- The Mariner mission to Mars used the  $RM(5,1)$  code. What was its information rate? What proportion of errors could it correct in a single codeword? How does it compare to the Hamming code of length  $31 = 2^5 - 1$ ?
- Let  $C_1, C_2$  be the code books for two linear codes of length  $N$  with  $C_2$  a subset of  $C_1$ . The *bar product*  $C_1|C_2$  is the code of length  $2N$  with code book:

$$\{(\mathbf{x}|\mathbf{x} + \mathbf{y}) : \mathbf{x} \in C_1 \text{ and } \mathbf{y} \in C_2\} .$$

Show that this is a linear code.

Let  $d_j$  be the minimum distance of  $C_j$ . Prove that the minimum distance for  $C_1|C_2$  is at least  $\min(2d_1, d_2)$ .

Show that the Reed – Muller codes satisfy

$$RM(d, r) = RM(d - 1, r)|RM(d - 1, r - 1)$$

and deduce that  $RM(d, r)$  has minimum distance  $2^{d-r}$ .

- Let  $C$  be the  $N \times K$  matrix defining a linear code and  $S$  a  $(N - K) \times N$  syndrome matrix. Show that the transposed matrix  $S^T$  also defines a linear code with syndrome matrix  $C^T$ . This is called the *dual code*. Show that the set of code words for the dual code is

$$\{\mathbf{v} \in \mathbb{F}_2^N : \mathbf{v} \cdot \mathbf{c} = 0 \text{ for all code words } \mathbf{c} \text{ for } C\} .$$

If a code is cyclic with generating polynomial  $G(X)$  and syndrome polynomial  $H(X)$ , show that the dual code is also cyclic and find its generating polynomial.

Find the dual of the Hamming code; show that it is cyclic; and find its generating polynomial.

- Factor the polynomials  $X^3 - 1$ ,  $X^5 - 1$  and  $X^7 - 1$  into irreducibles in  $\mathbb{F}_2[X]$ . Hence find all binary cyclic codes of length 3, 5 or 7 and relate them to codes you have already met.

8. Show that we can identify  $\mathbb{F}_2[X]/(X^4 + X + 1)$  with the set  $K$  of polynomials:  $p_0 + p_1\alpha + p_2\alpha^2 + p_3\alpha^3$  in a variable  $\alpha$  that we assume satisfies  $\alpha^4 + \alpha + 1 = 0$ . Show by direct calculation that  $K^\times = K \setminus \{0\}$  is a cyclic group and deduce that  $K$  is finite field with  $2^4$  elements. (We already know this from general results about finite fields but it is instructive to do the calculations.)
9. Let  $\alpha \in \mathbb{F}_{16}$  be a root of  $X^4 + X + 1$ . Let  $C$  be the BCH code of length 15 and design distance 5, with defining set  $\alpha, \alpha^2, \alpha^3, \alpha^4$ .
- (a) Show that if  $\beta$  is a zero of  $P(X) \in \mathbb{F}_2[X]$ , then so is  $\beta^2$ . Hence find the minimal polynomial for each element of the defining set, and then compute the generator polynomial of  $C$  as the least common multiple of these polynomials.
- (b) If possible, determine the error positions of the following received words
- (i)  $R(X) = 1 + X^6 + X^7 + X^8$
- (ii)  $R(X) = 1 + X + X^4 + X^5 + X^6 + X^9$
- (iii)  $R(X) = 1 + X + X^7$ .

[Your answer to Question 8 may help with the computations.]

10. Let  $C$  be the binary cyclic code of length  $N = 2^d - 1$  defined by a primitive  $N$ th root of unity. (So its generating polynomial is the minimal polynomial for the root.)
- (a) Show that if  $g(X) \in \mathbb{F}_2[X]$  then  $g(X)^2 = g(X^2)$ .
- (b) Show that  $C$  is a BCH code of design distance 3, rank  $d$  and length  $N$ .
- (c) Deduce that  $C$  is equivalent to the Hamming code of length  $N$  defined in question 1.
11. Let  $C$  be a binary, linear code of length  $n$  with  $A_j$  codewords of weight  $j$ . The weight enumerator polynomial is

$$W_C(x, y) = \sum_{j=0}^n A_j x^j y^{n-j}.$$

- (a) We transmit a codeword through a binary symmetric channel with error probability  $p$ . Give a formula, in terms of the weight enumerator polynomial, for the probability that the word received is a codeword.
- (b) Show that  $W_C(x, y) = W_C(y, x)$  if and only if  $W_C(1, 0) = 1$ .
12. Show that  $RM(m, r)$  has dual code  $RM(m, m - r - 1)$ .

[Hint: First show that, for subsets  $I, J$  of  $\{1, 2, 3, \dots, m\}$ , we have

$$x_I \cdot x_J = \begin{cases} 0 & \text{when } I \cup J \neq \{1, 2, 3, \dots, m\}; \\ 1 & \text{when } I \cup J = \{1, 2, 3, \dots, m\}. \end{cases}$$

13. Show that if  $2^K \sum_{j=0}^{\delta-2} \binom{N-1}{j} < 2^N$  then  $A(N, \delta) \geq 2^K$ . Compare this with the GSV bound in the case  $n = 10$  and  $\delta = 3$ .
- [Hint: See question 9 of Example Sheet 2 for the definition of  $A(N, \delta)$ . Construct a syndrome matrix for a linear code by choosing one column at a time.]
14. What is a linear feedback shift register? Show that, subject to a suitable non-degeneracy condition, any output stream  $x_0, x_1, x_2, \dots$  produced by a linear feedback shift register is periodic.
- Give an upper bound for the period of the output sequence from a linear feedback shift register with  $R$  registers over  $\mathbb{F}_q$ . Show that if this upper bound is achieved then it is achieved by any non-zero initial vector.
15. A non-linear feedback register over  $\mathbb{F}_2$  of length 4 has defining relation  $x_{n+1} = x_n x_{n-1} + x_{n-3}$ . Show that the state space contains 4 cycles of lengths 1, 2, 4 and 9.

Please send any comments or corrections to me at: [t.k.carne@dpmms.cam.ac.uk](mailto:t.k.carne@dpmms.cam.ac.uk).