## ANALYSIS II—EXAMPLES 3 Mich. 2014

The questions marked with \* are intended as additional; attempt them if you have time after the first 10 questions. Please email comments, corrections to: n.wickramasekera@dpmms.cam.ac.uk.

1. Quickies:

(i) Is the set (1, 2] an open subset of the metric space  $\mathbb{R}$  with the metric d(x, y) = |x - y|? Is it closed? What if we replace the metric space  $\mathbb{R}$  with the space [0, 2], the space (1, 3) or the space (1, 2], in each case with the metric d?

(ii) Let X be a set equipped with the discrete metric, and Y any metric space. Describe all open subsets of X, closed subsets of X, sequentially compact subsets of X, Cauchy sequences in X, continuous functions  $X \to Y$  and continuous functions  $Y \to X$ .

(iii) If (X, d) is a metric space and A is a non-empty subset of X, show that the distance from  $x \in X$  to A defined by  $\rho(x) = \inf_{y \in A} d(x, y)$  is a Lipschitz function on X with Lipschitz constant 1.

(iv) If every closed, bounded subset of a metric space X is sequentially compact, must X be complete?

(v) If every closed proper subset of a metric space X with the induced metric is complete, must X be complete?

(vi) If  $(x_n)$ ,  $(y_n)$  are Cauchy sequences in a metric space (X, d), show that  $(d(x_n, y_n))$  is convergent (in  $\mathbb{R}$ ).

2. For each of the following sets X, determine whether or not the given function d defines a metric on X. In each case where the function does define a metric, describe the open ball  $B_{\varepsilon}(x)$  for  $x \in X$  and  $\varepsilon > 0$  small. (i)  $X = \mathbb{R}^n$ ;  $d(x, y) = \min\{|x_1 - y_1|, |x_2 - y_2|, \dots, |x_n - y_n|\}$ .

- (ii)  $X = \mathbb{Z}$ ; d(x, x) = 0, and, for  $x \neq y$ ,  $d(x, y) = 2^n$  where  $x y = 2^n a$  with n a non-negative integer and a an odd integer.
- (iii) X is the set of functions from N to N; d(f, f) = 0, and, for  $f \neq g$ ,  $d(f, g) = 2^{-n}$  for the least n such that  $f(n) \neq q(n)$ .

(iv) 
$$X = \mathbb{C}$$
;  $d(z, w) = |z - w|$  if z and w lie on the same line through the origin,  $d(z, w) = |z| + |w|$  otherwise.

3. Let (X, d) be a metric space.

(a) Show that the union of any collection of open subsets of X must be open (regardless of whether the collection is finite, countable or uncountable), and that the intersection of any collection of closed subsets must be closed.

(b) Let E be a subset of X. Show that there is a unique largest open subset  $E^o$  of X contained in E, i.e. a unique open subset  $E^o$  of X such that that  $E^o \subseteq E$  and if G is any open subset of X with  $G \subseteq E$  then  $G \subseteq E^o$ .  $E^o$  is called the *interior* of E in X. Show also that there is a unique smallest closed subset  $\overline{E}$  of X containing E, i.e. a unique closed subset  $\overline{E}$  of X with  $E \subseteq \overline{E}$  and if F is any closed subset of X with  $E \subseteq F$  then  $\overline{E} \subseteq F$ .  $\overline{E}$  is called the *closure* of E in X.

(c) Show that

$$E^{o} = \{ x \in X : B_{\epsilon}(x) \subset E \quad \text{for some} \quad \epsilon > 0 \}$$

and that

 $\overline{E} = \{ x \in X : x_n \to x \text{ for some sequence } (x_n) \text{ in } E \}.$ 

4. Let V be a normed space,  $x \in V$  and r > 0. Prove that the closure of the open ball  $B_r(x)$  is the closed ball  $D_r(x) = \{y \in V : ||x - y|| \le r\}$ . Give an example to show that, in a general metric space (X, d), the closure of the open ball  $B_r(x)$  need not be the closed ball  $D_r(x) = \{y \in X : d(x, y) \le r\}$ .

5. In lectures we proved that if E is a closed and bounded subset of  $\mathbb{R}^n$ , then any continuous function on E has bounded image. Prove the converse: if E is a subset of  $\mathbb{R}^n$  and if every continuous function  $f : E \to \mathbb{R}$  has bounded image, then E is closed and bounded.

6. Each of the following properties/notions makes sense for an arbitrary metric spaces X. Which are topological (i.e. dependent only on the collection of open subsets of X and not, in particular, on the metric on X generating the open subsets)? Justify your answers.

- (i) boundedness of a subset of X.
- (ii) closed-ness of a subset of X.
- (iii) notion that a subset of X is closed and bounded.

(iv) total boundedness of X; that is, the property that for every  $\epsilon > 0$ , there is a finite set  $F \subset X$  such that the union of open balls with centres in F and radius  $\epsilon$  is X.

- (v) completeness of X.
- (vi) total boundedness and completeness of X.

7. Use the Contraction Mapping Theorem to show that the equation  $\cos x = x$  has a unique real solution. Find this solution to some reasonable accuracy using a calculator (remember to work in radians!), and justify the claimed accuracy of your approximation.

8. Let I = [0, R] be an interval and let C(I) be the space of continuous functions on I. Show that, for any  $\alpha \in \mathbb{R}$ , we may define a norm by  $||f||_{\alpha} = \sup_{x \in I} |f(x)e^{-\alpha x}|$ , and that the norm  $||\cdot||_{\alpha}$  is Lipschitz equivalent to the uniform norm  $||f|| = \sup_{x \in I} |f(x)|$ .

Now suppose that  $\phi: \mathbb{R}^2 \to \mathbb{R}$  is continuous, and Lipschitz in the second variable. Consider the map T from C(I) to itself sending f to  $y_0 + \int_0^x \phi(t, f(t)) dt$ . Give an example to show that T need not be a contraction under the uniform norm. Show, however, that T is a contraction under the norm  $\|\cdot\|_{\alpha}$  for some  $\alpha$ , and hence deduce that the differential equation  $f'(x) = \phi(x, f(x))$  has a unique solution on I satisfying  $f(0) = y_0$ .

9. Let (X, d) be a non-empty complete metric space. Suppose  $f: X \to X$  is a contraction and  $g: X \to X$  is a function which commutes with f, i.e. such that f(g(x)) = g(f(x)) for all  $x \in X$ . Show that g has a fixed point. Must this fixed point be unique?

10. Give an example of a non-empty complete metric space (X, d) and a function  $f: X \to X$  satisfying d(f(x), f(y)) < d(x, y) for all  $x, y \in X$  with  $x \neq y$ , but such that f has no fixed point. Suppose now that X is a non-empty closed bounded subset of  $\mathbb{R}^n$  with the Euclidean metric. Show that in this case f must have a fixed point. If  $g: X \to X$  satisfies  $d(g(x), g(y)) \leq d(x, y)$  for all  $x, y \in X$ , must g have a fixed point?

11.\* Show that it is not possible to obtain, starting from an arbitrary set  $X \subseteq \mathbb{R}^n$  and repeatedly applying the operations  $(\cdot)^o$  (interior) and  $\overline{(\cdot)}$  (closure), more than seven distinct sets (including X itself). Give an example in  $\mathbb{R}$  where seven sets are obtained.

12.\* Let (X, d) be a non-empty complete metric space and let  $f: X \to X$  be a function such that for each positive integer n we have

(i) if d(x,y) < n+1 then d(f(x), f(y)) < n; and (ii) if d(x,y) < 1/n then d(f(x), f(y)) < 1/(n+1). Must f have a fixed point?

13.\* Let K be a closed bounded subset of  $\mathbb{R}$  and  $p \in K$ . Construct a metric d on  $K_1 = K \setminus \{p\}$  such that  $(K_1, d)$  is complete and the topology generated by d on  $K_1$  is the same as the topology generated by the Euclidean metric on  $K_1$ .

14.\* Let  $(V, \|\cdot\|)$  be a normed space. Show that V is complete if and only if every absolutely convergent sequence in V is convergent, i.e. if and only if  $\sum_{n=1}^{\infty} x_n$  is convergent whenever  $\sum_{n=1}^{\infty} \|x_n\|$  is convergent. [One direction of this was Q8(a) on sheet 2; for the other (which should have been part (b) of that question!), show first that if  $(x_n)$  is Cauchy, then there is a subsequence  $(x_{n_j})$  such that  $\sum_j \|x_{n_{j+1}} - x_{n_j}\| < \infty$ .]

15.\* For each  $n \in \mathbb{N}$ , let  $f_n \in C([0,1])$  be such that  $f_n(0) = 0$  and  $f_n$  is continuously differentiable on [0,1] with  $\int_0^1 |f'_n|^2 < n^{-2}$ . Show that there exists a subsequence  $(f_{n_j})$  converging uniformly to zero on [0,1].