

SORTS OF  
ALGEBRAIC THEORIES

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What is a general notion of  
algebraic theory?

How to account for special  
kinds of theories?

Project joint with

Richard Garner + John Power

## THEORIES AS MONADS

Traditional view

A theory is a monad  $T$  on a category  $\mathcal{C}$

This gives  $T$ -algebras, free  $T$ -algebras etc.

Special classes of theory determined by dense  $\mathcal{C}_0 \hookrightarrow \mathcal{C}$  (category with arities)

Then  $T$  special if determined by  $T|_{\mathcal{C}_0}$

(Berger CT 2010, Weber ...)

Doesn't cover cases of interest.

## AGAINST MONADS

Hyland - Power: A Categorical  
Understanding of Universal Algebra:  
Lawvere Theories and Monads.

One issue: an algebraic theory has  
models in many categories - not  
just in the one.

This talk ~ Beyond Lawvere  
Theories.

## LAWVERE PERSPECTIVE

A many sorted algebraic theory  
is a category  $\mathbb{T}$  with products.

The category of models in  $\mathcal{C}$   
faithfully into products is

$$\text{Prod}(\mathbb{T}, \mathcal{C})$$

A single sorted theory is

$$\mathbb{F}^{\text{op}} \longrightarrow \mathbb{T}$$

identity on objects

(Where does that come from!)

## 2-DIM PERSPECTIVE

Let  $S$  be the 2-monad on Cat for categories with products.

An algebraic theory is an  $S$ -Algebra:

$$SA \rightarrow A$$

A model in another such  $\mathcal{C}$  is an object of  $T\text{-Alg}(A, \mathcal{C})$

the category of pseudo maps

[See Blackwell - Kelly - Power  
Two dimensional monad theory.]

that is maps preserving products  
up to isomorphism.

[So models involve irrelevant choices  
of products.]

## FALSE STEP

But fun and instructive

For what other 2-monads  
S does the recipe

theory = S-algebra

model = pseudo map

amount to a form of algebra?

## COPRODUCTS

$$\text{Coproduct}(A, c) = \text{Prod}(A^{\text{op}}, c^{\text{op}})$$

is misleading as eg.  $\text{Set}$  is not self-dual.

Does  $\text{Coproduct}(A, \text{Set})$  make sense?

Case A opposite of associative algebra:  
(without unit)

$$x \rightarrow x + x$$

is a partition-retraction. YES?

Case A opposite of a theory with constant

$$x \rightarrow 0$$

only trivial model. No?

∑ Comonads, coalgebras are something else!



## BI PRODUCTS

- **Set** is not a category with biproducts
- Biproducts are products in an additive setting

BUT

they do not produce the algebraic theories typically of interest.

What does?

Z

Something to understand about finitary monads  
Kelly - Power etc.



# SYMMETRIC MONOIDAL

Useful theory of

Hyland - Power. Symmetric monoidal sketches and categories of wirings especially in an additive setting

- concurrency
- modern algebra

But is it algebra?

Monoids (Lie algebras, Poisson algebras)	YES!
Comonoids	NO!
Frobenius Hopf algebras	NO!

## SYMMETRIC MONOIDAL (CONTINUED)

For  $\text{Set}$  with  $\times$  (why?)

- Monoids make good sense
- Objects have unique comonoid structure
- So e.g.

Monoids always bialgebras

Only the trivial monoid is  
a Frobenius algebra.

# SYMMETRIC MONOIDAL

(CONTINUED<sup>2</sup>)

In modern algebra

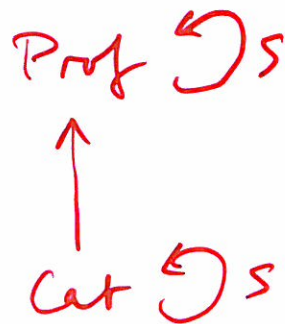
- 'single-sorted' symmetric monoidal category  $\sim$  PROP
- corresponding sketch  $\sim$  PROPERAD
- algebraic theories of special type  $\sim$  OPERADS

How to explain?

## EXTENDING 2-MONADS

The bicategory  $\underline{\text{Prof}}$  has  
1-cells  $A \xrightarrow{M} B$  i.e.  $\frac{A \rightarrow P(B)}{A \times B^{\text{op}} \rightarrow \text{Set}}$   
so is the Kleisli for the presheaf  
restricted monad on  $\underline{\text{Cat}}$

Consider 2-monads  $S$  on  
 $\underline{\text{Cat}}$  which extend to (pseudo  
monads on)  $\underline{\text{Prof}}$



(Distributive law.)

## EXAMPLES

Monads which extend

Terminal object

Products

Finite limits

Monoidal

Symmetric monoidal

Equip with endofunctor

Equip with factorization

Monads which don't

Initial object

Coproducts

Biproducts

! Equalizers !

## KLEISLI BICATEGORY

(Fiore, Gambino, Hyland, Wunsteel. Kleisli Bicategories. Still in preparation!)

The Kleisli for  $S$  on  $\mathbf{Prof}$  has

$$1\text{-cells } A \xrightarrow{M} SB$$

with composition given by  
generalized substitution

(formally

$$\frac{A \xrightarrow{M} SB \quad B \xrightarrow{N} SC}{A \xrightarrow{M} SB \xrightarrow{SN} SC \xrightarrow{M} SC} )$$

An extended monad is a  
notion of  
substitution!

## GENERALISED ALGEBRA

Let  $S$  be an extended monad.

An  $S$ -Algebraic theory or  
 $S$ -Multicategory is a monad

$$A \xrightarrow{M} SA$$

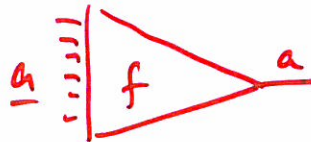
in the Kleisli bicategory.

Idea:  $f \in M(\underline{a}, a)$  has

input arity  $\underline{a} \in SA$

output arity  $a \in A$

i.e.



N.B. There is a notion of normal  
mult which I ignore here.



## STANDARD CASES

- **S** Products

We get cartesian multicategory  
~ standard algebraic theory

- **S** Symmetric monoidal

We get (multicoloured) operads  
~ symmetric multicategories

But other interesting **S**!

## MONAD PICTURE

Take  $A \xrightarrow{M} SA$  a theory.

In case  $SO = 1$ ,  $M$  acts on

$$KLS)(A, SO) = [A, \text{Set}]$$

as a monad.

(Version of standard thought that a usual many sorted algebraic theory gives a monad on  $\text{Set}/\text{Sorts}$ .)

In case  $A=1$  we get the usual monads in the standard case.

## LAWVERE PICTURE

Each  $S$ -Algebra  $SA \xrightarrow{a} A$   
induces an  $S$ -Algebraic theory  
 $A \xrightarrow{a^*} SA$

There is a left (bi) adjoint:  
there is a free  $S$ -Algebra  
generated by an  $S$ -theory

$$A \xrightarrow{M} SA$$

- $S$  Products This gives the Lawvere theory as category with products.
- $S$  Symmetric monoidal This gives the PROP corresponding to an operad.

## CONSTRUCTION OF FREE S-ALGEBRA

Given  $A \xrightarrow{M} SA$  we consider

$$SA \xrightarrow{SM} S^2A \xrightarrow{M} SA$$

as a monad in

$$S\text{-Prof} \subseteq S\text{-Prof}_{\text{Lax}}$$

and there we take the  
Kleisli object

$\Sigma$   $S\text{-Prof}_{\text{Lax}}$  as a strict form of  
equipment

That means we get something  
up to isomorphism

## FREE S-ALGEBRA: SIMPLE CASES

In general (as with usual free constructions) we need an iteration.

BUT if  $S$  preserves

bijection on object functors  
(bools) in Set

then it preserves Kleisli in Prof  
and so the Kleisli of

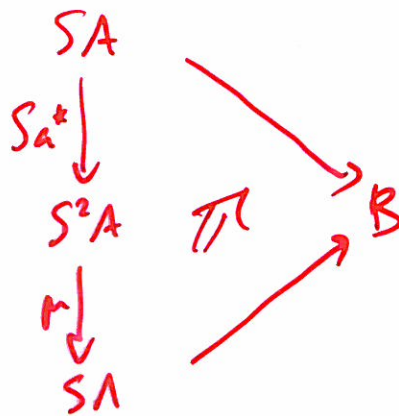
$$SA \xrightarrow{SM} S^2A \xrightarrow{M} SA$$

in Prof is already the required S-Algebra.

(Happens in standard example.)

# THEOREM

Let  $SA \xrightarrow{a} A$  and  $SB \xrightarrow{b} B$  be  $S$ -Algebras. Then to give a Kleisli cone

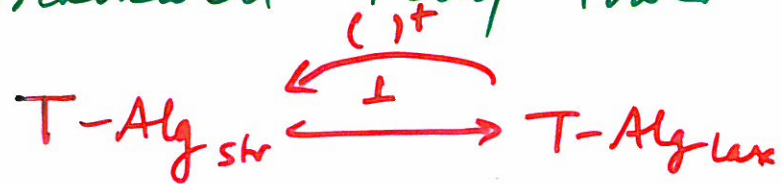


is to give a lax algebra map

$$\begin{array}{ccc}
 SA & \longrightarrow & SB \\
 a \downarrow & \Downarrow & \downarrow b \\
 A & \longrightarrow & B
 \end{array}$$

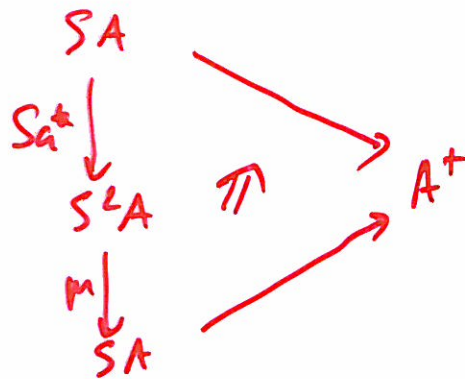
# SOME 2-DIM MONAD THEORY

Blackwell - Kelly - Power



giving a comonad on  $T\text{-Alg}_{str}$

Corollary, For extended  $S$  we obtain  $A^+$  as the Kleisli in





## $( )^+$ - COALGEBRAS

The free  $S$ -Algebra generated by a  $A \xrightarrow{M} SA$  is naturally a  $( )^+$  - coalgebra

Corollary

$$\begin{array}{ccc} S\text{-Alg}_{\text{lex}} & & S\text{-Alg} \stackrel{!}{=} \\ \text{Free } ( )^+ \text{-Coalg} & \longleftrightarrow & \text{Theories} \longleftrightarrow ( )^+ \text{-Coalg} \end{array}$$

$\Sigma$   $\left( \begin{array}{l} S\text{-algebraic theory} \\ \text{determined by an} \\ S\text{-Algebra} \end{array} \right)$

Folklore:  $S$  symmetric monoidal  
Then  $S\text{-Algebraic theories} = ( )^+ \text{-Coalgebras}$

For this sufficient that **free  $S$ -Alg**  
(via Kleisli) preserve (certain)  
equalizers.

[New take on old category theory.]

## CASE OF PRODUCTS

Then  $S$  is co-lax idempotent.

So lax  $S$ -algebra maps are  
pseudo and  $( )^+$  is  $( )'$   
(notation of Blackwell-Kelly-Power)

But then each  $S$ -Algebra  $A$   
is equivalent to  $A'$  which  
is a free  $( )'$  coalgebra.

i.e. Up to equivalence  
categories with products  
 $\sim$  product theories

## CASE OF SYMMETRIC MONOIDAL

Then  $S$  is not co-Lax idempotent

$S$ -Algebraic theories are

$( )^+$  - coalgebras

BUT it is not the case

(even up to equivalence)

that every  $S$ -algebra

has a  $( )^+$  - coalgebra

structure.

i.e. There are symmetric monoidal categories not arising from symmetric multicategories.

## RANDOM THOUGHTS

(Obviously!) This is only a glimpse. There are theories of change of base comparing norms of theory etc.

(Not so obviously!) There are interesting examples beyond the standard ones.

(Disturbingly!)  $(?)^+$  makes sense for 2-monads which do not extend. Can that give an approach to notions not yet captured?