

## From Fano Threefolds to Compact $G_2$ -Manifolds

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**ABSTRACT.**  $G_2$ -manifolds are 7-dimensional Riemannian manifolds whose metrics have holonomy group  $G_2$ ; these are necessarily Ricci-flat. We explain a systematic way to construct examples of compact  $G_2$ -manifolds by gluing a pair of asymptotically cylindrical manifolds of holonomy  $SU(3)$  at their cylindrical ends. To obtain the latter  $SU(3)$ -manifolds one starts from complex 3-dimensional projective manifolds with  $c_1 > 0$  (Fano threefolds) endowed with an appropriate choice of the anticanonical K3 divisor. The resulting  $G_2$ -manifolds are topologically distinct from those previously constructed by Joyce.

This article is an informal, introductory account of the ‘generalized connected sum’ construction of compact Riemannian manifolds with holonomy  $G_2$ . Full details and proofs the results can be found in the author’s paper [6]. A good reference on the Riemannian holonomy groups, including  $G_2$  and the previously known construction of compact  $G_2$ -manifolds, is the book by Joyce [4].

We briefly review in Section 1 the background results on  $G_2$  holonomy. The method of construction of manifolds of holonomy  $G_2$  is explained in Section 2. Section 3 explains how to obtain examples of this construction using the theory of Fano threefolds and K3 surfaces, and contains a discussion of the results.

### 1. Synopsis on the holonomy group $G_2$

The holonomy group  $\text{Hol}(g)$  of a Riemannian manifold  $(M, g)$  is defined as the group of isometries of the tangent space  $T_x M$  generated by parallel transport, using the Levi–Civita connection of  $g$ , over closed loops based at  $x$ . Up to conjugation, the holonomy group is well-defined as a subgroup of  $O(n)$ ,  $n = \dim M$ . If  $M$  is an oriented simply-connected Riemannian manifold, which is not locally isometric to a Riemannian product or to a Riemannian symmetric space, then there are very few groups which may occur as the holonomy of  $M$ , according to Berger’s classification theorem. In fact, if in addition one assumes that the dimension of  $M$  is *odd* then there are just two possibilities: either  $\text{Hol}(g) = SO(n)$  or  $\dim M = 7$  and  $\text{Hol}(g) = G_2$ .

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The group  $G_2$  may be defined as the group of automorphisms of the cross-product algebra on  $\mathbb{R}^7$  arising from the identification of  $\mathbb{R}^7$  with the purely imaginary octonions. It is a compact Lie group and a (proper) subgroup of  $SO(7)$ . The cross-product multiplication may be encoded by a 3-form  $\varphi_0 \in \Lambda^3(\mathbb{R}^7)^*$ ,

$$\varphi_0(a, b, c) = \langle a \times b, c \rangle,$$

or, explicitly,

$$(1.1) \quad \begin{aligned} \varphi_0 = & e_5 \wedge e_6 \wedge e_7 + (e_1 \wedge e_2 + e_3 \wedge e_4) \wedge e_7 \\ & + (e_1 \wedge e_3 - e_2 \wedge e_4) \wedge e_6 + (e_1 \wedge e_4 + e_2 \wedge e_3) \wedge e_5, \end{aligned}$$

where  $e_i$  denote an orthonormal basis of  $(\mathbb{R}^7)^*$ . Conversely, the formula

$$(1.2) \quad 6\langle a, b \rangle d\text{vol}_7 = (a \lrcorner \varphi_0) \wedge (b \lrcorner \varphi_0) \wedge \varphi_0.$$

expresses the Euclidean inner product in terms of  $\varphi_0$  and the volume form of  $\mathbb{R}^7$ . The group  $G_2$  is thus identified as the stabilizer of  $\varphi_0$  in the natural action of  $GL(7, \mathbb{R})$  on  $\Lambda^3(\mathbb{R}^7)^*$ . The form  $\varphi_0$  is stable, in the sense of Hitchin [2] —the  $GL(7, \mathbb{R})$ -orbit of  $\varphi_0$  is open in  $\Lambda^3(\mathbb{R}^7)^*$ .

A  $G_2$ -structure on a 7-manifold,  $M$  say, may be given by a 3-form  $\varphi$  such that at each point  $p \in M$ ,  $\varphi(p)$  is the image of  $\varphi_0$  induced by a linear isomorphism  $T_p M \rightarrow \mathbb{R}^7$ . Denote by  $\Omega_+^3(M)$  the subset of 3-forms point-wise modelled on  $\varphi_0$  in the latter sense; elements of  $\Omega_+^3(M)$  will sometimes be referred to as the  $G_2$ -structure 3-forms. Note that  $\Omega_+^3(M)$  is an open subset of  $\Omega^3(M)$  in the sup-norm topology, a direct consequence of the stability property of  $\varphi_0$ .

Every 3-form  $\varphi \in \Omega_+^3(M)$  defines an orientation and a Riemannian metric  $g = g(\varphi)$  on  $M$ , as any  $G_2$ -structure is an instance of an  $SO(7)$ -structure. The formula (1.2) determines  $g(\varphi)$  explicitly, up to a conformal factor. The holonomy group of  $g(\varphi)$  will be a subgroup of  $G_2$  if and only if the form  $\varphi$  is parallel,  $\nabla \varphi = 0$ , with respect to the Levi–Civita connection of  $g$ . The latter condition is equivalent to the system of partial differential equations on  $\varphi$  [9, Lemma 11.5],

$$(1.3) \quad d\varphi = 0 \quad \text{and} \quad d *_{\varphi} \varphi = 0.$$

The second equation in (1.3) is non-linear as the Hodge star  $*_{\varphi}$  is taken in the metric  $g(\varphi)$  and depends on  $\varphi$ . The holonomy reduction  $\text{Hol}(g(\varphi)) \subseteq G_2$  implies that  $g(\varphi)$  is Ricci-flat.

**PROPOSITION 1.1** ([4, pp.244–245]). *Suppose that a 7-manifold  $M$  is compact and let  $\varphi \in \Omega_+^3(M)$ . Then  $\text{Hol}(g(\varphi)) = G_2$  if and only if  $\varphi \in \Omega_+^3(M)$  is a solution to (1.3) and the fundamental group of  $M$  is finite.*

We shall say that a Riemannian 7-manifold  $(M, g)$  is a  $G_2$ -manifold if  $\text{Hol}(g) = G_2$  and shall use a similar terminology for other holonomy groups.

The first examples of compact  $G_2$ -manifolds were constructed in 1994–5 by Joyce, using a generalized Kummer construction and resolution of singularities. The most elaborate form of this construction can be found in [4]. Recently the author obtained different examples of compact  $G_2$ -manifolds by a different method [6] which we shall now describe.

## 2. The generalized connected sum construction

Our compact  $G_2$ -manifolds are constructed by forming a carefully chosen generalized connected sum of two non-compact Riemannian manifolds with asymptotically cylindrical ends. The construction develops an idea due to Donaldson.

Firstly, we produce a class of complete Ricci-flat Kähler threefolds  $W$  of holonomy  $SU(3)$  with an infinite cylindrical end asymptotic to the Riemannian product  $D \times S^1 \times \mathbb{R}_{>0}$ , where  $D$  is a K3 surface with a hyper-Kähler metric. This step requires a proof of a non-compact version of the Calabi conjecture, which may be of independent interest.

The Riemannian product  $W \times S^1$  carries a solution to (1.3). We consider a pair of such 7-manifolds  $W_1 \times S^1$  and  $W_2 \times S^1$ . For certain pairs of hyper-Kähler K3 surfaces  $D_i$  ‘at the infinity of  $W_i$ ’ ( $i = 1, 2$ ), there is a way to join the two 7-manifolds  $W_i \times S^1$  at their ends to obtain a compact 7-manifold  $M$  having finite fundamental group and a 1-parameter family of  $G_2$ -structures  $\varphi_T$  compatible with those on  $W_i \times S^1$ .

A  $G_2$ -structure  $\varphi_T$  on  $M$  is obtained using cut-off functions, which introduce error terms in the equations (1.3). These error terms are exponentially small in  $T$ . We use ‘stretching the neck’ analysis to prove a gluing theorem, obtaining a solution to (1.3) on  $M$  from the solutions on  $W_i \times S^1$ .

The three parts of the construction are described in more detail below.

**2.1. Asymptotically cylindrical Calabi–Yau manifolds.** The equations (1.3) define a metric  $g(\varphi)$  whose holonomy group is only *contained* in  $G_2$ . In particular, the holonomy may be  $SU(3)$ , a maximal subgroup of  $G_2$ .

We begin by introducing the holonomy  $SU(n)$  which will be needed in the cases  $n = 3$  and  $n = 2$ . The group  $SU(n)$  consists of all the complex linear isomorphisms of  $\mathbb{C}^n$  preserving the standard Hermitian inner product and the complex volume. So  $SU(n)$  is the stabilizer of the pair of forms on  $\mathbb{C}^n$

$$\omega_0 = \frac{i}{2} (dz_1 \wedge d\bar{z}_1 + \dots + dz_n \wedge d\bar{z}_n) \quad \text{and} \quad \Omega_0 = dz_1 \wedge \dots \wedge dz_n$$

under the action of  $GL(n, \mathbb{C})$ . Note that both  $\omega_0$  and  $\Omega_0$  are stable differential forms (have open orbits under the action of  $GL(2n, \mathbb{R})$ ). A metric  $g$  on a real  $2n$ -manifold  $Z$  will have holonomy contained in  $SU(n)$  if and only if  $Z$  has an  $SU(n)$ -structure  $(I, \omega, \Omega)$  parallel with respect to  $g$ . Here  $I$  is an orthogonal complex structure with respect to  $g$ , and  $\omega$  and  $\Omega$  are differential forms which are point-wise modelled on  $\omega_0$  and  $\Omega_0$ , via a  $\mathbb{C}$ -linear identification of tangent spaces to  $Z$  with  $\mathbb{C}^n$ . That is to say, a  $g$ -parallel  $SU(n)$ -structure makes  $Z$  into a *Kähler complex  $n$ -fold* with the Kähler form  $\omega \in \Omega^{1,1}(Z)$ , and  $Z$  has a nowhere vanishing holomorphic form  $\Omega \in \Omega^{n,0}(Z)$  such that  $\Omega \wedge \Omega^*$  is a constant multiple of  $\omega^n$ . Such a  $\Omega$  is sometimes called a holomorphic volume form. In particular,  $Z$  has trivial canonical bundle of  $(n, 0)$ -forms and  $c_1(Z) = 0$  and the Kähler metric is Ricci-flat.

Conversely, the following is a direct consequence of Yau’s proof of the Calabi conjecture [12].

**THEOREM 2.1.** *Let  $Z$  be a Kähler complex  $n$ -fold with  $\omega_Z$  the Kähler form on  $Z$  and suppose that  $c_1(Z) = 0$ . Then there exists on  $Z$  a unique Ricci-flat Kähler metric such that its Kähler form is given by  $\omega_Z + i\partial\bar{\partial}u$  for some smooth real function  $u$  on  $Z$ . If  $Z$  is simply-connected then the holonomy of this Ricci-flat Kähler metric is contained in  $SU(n)$ .*

Kähler manifolds with holonomy in  $SU(n)$  are often called *Calabi–Yau manifolds*. An important example is a K3 surface; recall that it may be defined as a simply-connected complex surface with  $c_1 = 0$ . By Yau’s theorem, a K3 surface admits a unique Ricci-flat Kähler metric in every Kähler class.

Now let  $n = 3$ . The group  $SU(3)$  is a subgroup of  $G_2$  consisting of all those elements of  $G_2$  which fix a particular one-dimensional subspace in  $\mathbb{R}^7$ , thus it determines a decomposition  $\mathbb{R}^7 \cong \mathbb{C}^3 \oplus \mathbb{R}$ . Consider a Kähler threefold  $W$  with holonomy in  $SU(3)$  and let  $\omega, \Omega$  be respectively the Kähler form and a holomorphic volume form on  $W$ . Then on the 7-manifold  $W \times S^1$  the 3-form

$$(2.1) \quad \varphi = \omega \wedge d\theta + \operatorname{Im} \Omega$$

is in  $\Omega_+^3(W \times S^1)$  and defines a product metric, so  $W \times S^1$  has the same holonomy as  $W$ . In this metric, one has  $*\varphi = \frac{1}{2}\omega \wedge \omega - \operatorname{Re} \Omega \wedge d\theta$  and  $\varphi$  is a solution to (1.3) on  $W \times S^1$ .

We are now ready to introduce the class of complete  $SU(3)$ -manifolds that we need. Let  $\overline{W}$  be a compact simply-connected Kähler threefold, with  $\omega' \in \Omega^{1,1}(\overline{W})$  the Kähler form. Let  $D$  be a K3 surface in  $\overline{W}$  such that there is a holomorphic section  $s$  of the anticanonical bundle  $K_{\overline{W}}^{-1}$  vanishing to order 1 on  $D$ . It is easy to see that the complement  $W = \overline{W} \setminus D$  has trivial canonical bundle.

Assume further that the normal bundle of  $D$  in  $\overline{W}$  is trivial. Then  $W$  can be written as the union of two pieces,

$$(2.2) \quad W \simeq W_{\text{cpt}} \cup (D \times S^1 \times \mathbb{R}_+)$$

a compact manifold  $W_{\text{cpt}}$  with boundary and a cylindrical end attached along the boundary  $D \times S^1$ . Note that the relation (2.2) is only a diffeomorphism of the underlying real manifolds. The complex structure on the end of  $W$  is *not* isomorphic, but only asymptotic to the ‘obvious’ product complex structure on  $D \times S^1 \times \mathbb{R}_+$ .

Let  $g_D$  denote the Ricci-flat Kähler metric on  $D$  in the Kähler class  $[\omega'|_D]$  determined by the embedding in  $\overline{W}$ . We prove that the following non-compact version of the Calabi conjecture is true.

**THEOREM 2.2.** *Let  $\overline{W}$  and  $D$  be as above, so a K3 surface  $D$  is an anticanonical divisor and has trivial normal bundle in  $\overline{W}$ . Suppose also that  $\overline{W}$  is simply-connected and the fundamental group of  $W = \overline{W} \setminus D$  is finite.*

*Then  $W$  admits a complete Ricci-flat Kähler metric  $g_W$ . The Kähler form and holomorphic volume form of  $g_W$  are exponentially asymptotic, along the cylindrical end of  $W$ , to those of the product Ricci-flat Kähler structure on  $D \times S^1 \times \mathbb{R}_+$  defined using the metric  $g_D$  on  $D$ . The holonomy of  $g_W$  is  $SU(3)$ .*

There is nothing special to threefolds in the proof of Theorem 2.2 and the result extends, with only minor modifications, to Kähler manifolds of arbitrary dimension.

We also remark at this point that previously a number of other non-compact versions of the Calabi conjecture were proved by Tian and Yau, Bando and Kobayashi, and Joyce. These authors construct complete Ricci-flat Kähler metrics asymptotic at infinity to the quotient  $\mathbb{C}^n/\Gamma$  of Hermitian  $\mathbb{C}^n$  by a finite subgroup  $\Gamma$  of  $SU(n)$ .

The main novelty of Theorem 2.2 is that it deals with the class of *asymptotically cylindrical* manifolds. We build up on Theorem 5.2 in [11] using analysis on exponentially weighted Sobolev spaces to work out the details of asymptotic behaviour and provide control on the boundary data at infinity.

**2.2. K3 surfaces and a hyper-Kähler rotation.** A remarkable property of a Ricci-flat Kähler metric on a complex surface  $D$  is that such a metric is *hyper-Kähler*: the underlying real 4-manifold admits, in addition to the given complex structure  $I$ , another complex structure  $J$ , such that  $IJ = -JI$  and the metric is Kähler with respect to  $J$  too. Further,  $K = IJ$  is also a complex structure on  $D$ , and  $I, J, K$  satisfy the quaternionic relations (and define an identification of each tangent space of  $D$  with the quaternions). The three respective Kähler forms  $\kappa_I, \kappa_J, \kappa_K$  satisfy  $\kappa_J^2 = \kappa_I^2 = \kappa_K^2$ . There is a complete  $SO(3)$  symmetry between  $I, J, K$ , in particular, they generate a 2-sphere of complex structures  $aI + bJ + cK$  on  $D$ , where  $a^2 + b^2 + c^2 = 1$ , and the metric is Kähler with respect to each of these.

Let  $D$  be a Ricci-flat Kähler K3 surface and let  $\kappa_I$  be the Kähler form on  $D$ . Then  $\kappa_J + i\kappa_K$  defines a holomorphic volume form on  $D$ . Considering on  $D$  the complex structure  $J$  we obtain in general a different Ricci-flat Kähler K3 surface  $D_J$ . It has Kähler form  $\kappa_J$  and holomorphic volume form  $\kappa_I - i\kappa_K$  and is sometimes called a *hyper-Kähler rotation* of  $D$ . Note that there is an  $S^1$ -ambiguity in choosing  $J$ , as one may take any  $bJ + cK$  instead with  $b^2 + c^2 = 1$ .

Consider two asymptotically cylindrical  $SU(3)$ -manifolds  $W_1$  and  $W_2$  satisfying the assertions of Theorem 2.2 and, respectively, let  $D_1, D_2$  be the Ricci-flat Kähler K3 surfaces which determine the asymptotic model on the cylindrical ends of  $W_1, W_2$ . For  $i = 1, 2$ , let  $t_i \geq 0$  be the real parameter along the cylindrical end of  $W_i$ , as defined by (2.2). Cut off at  $t_i = T - 1$  the Kähler and holomorphic volume form on each  $W_i$  to their asymptotic model on the cylindrical end and consider  $W_i(T) \simeq W_{\text{cpt}} \cup (D_i \times S^1 \times [0, T])$ . Then  $W_1(T) \times S^1$  is a manifold with boundary  $D_1 \times S^1 \times S^1$  and with a  $G_2$ -structure form which on a collar neighbourhood of the boundary is given by

$$(2.3) \quad \varphi_{(D_1)} = \kappa'_I \wedge d\theta_1 + \kappa'_J \wedge d\theta_2 + \kappa'_K \wedge dt + d\theta_1 \wedge d\theta_2 \wedge dt.$$

Here we used (2.1) and the cylindrical asymptotic model  $\omega = \kappa'_I + d\theta_2 \wedge dt$ ,  $\Omega = (\kappa'_J + i\kappa'_K) \wedge (d\theta_2 + idt)$  of the Kähler and holomorphic volume forms on the end of  $W_1$ . In particular,  $\varphi_{(D_1)}$  is a solution to (1.3) on the cylinder  $(D_1 \times S^1 \times \mathbb{R}) \times S^1$ . Similar expressions hold for  $W_2 \times S^1$ .

Now assume that the Ricci-flat Kähler K3 surface  $D_2$  is isomorphic to a hyper-Kähler rotation of  $D_1$ . Let  $f : D_{1,J} \rightarrow D_2$  denote the isomorphism. Then the pull-back action of  $f$  on the Kähler forms is given by

$$f^* : \kappa''_I \mapsto \kappa'_J, \quad \kappa''_J \mapsto \kappa'_I, \quad \kappa''_K \mapsto (-\kappa'_K).$$

Define

$$(2.4) \quad \begin{aligned} F : (y, \theta_1, \theta_2, t) \in D_1 \times S^1 \times S^1 \times [T-1, T] \rightarrow \\ (f(y), \theta_2, \theta_1, 2T-1-t) \in D_2 \times S^1 \times S^1 \times [T-1, T] \end{aligned}$$

and join the two manifolds with boundary to construct a closed oriented 7-manifold

$$M = (W_1(T) \times S^1) \cup_F (W_2(T) \times S^1),$$

using the map  $F$  to identify collar neighbourhoods of the boundaries. The compact 7-manifold  $M$  is a generalized connected sum with the neck having the cross-section  $D \times S^1 \times S^1$ . We have  $F^* \varphi_{(D_1)} = \varphi_{(D_2)}$ , by the construction of  $F$ , therefore there is a well-defined 1-parameter family of  $G_2$ -structures  $\varphi_T$  on  $M$  induced from those

on  $W_i(T) \times S^1$ , defined above using cut-off functions. Here the parameter  $T$  is approximately half the length of the neck of  $M$ , measured by  $g(\varphi_T)$ .

The fundamental group of  $M$  is *finite*. This is because in the construction of  $M$  the circle factor in  $W_1(T) \times S^1$  is identified with a circle in  $W_2$  and the circle factor in  $W_2(T) \times S^1$  is identified with a circle in  $W_1$ , and we assumed that  $\pi_1(W_i)$  are finite. Therefore, by Proposition 1.1 any solution  $\varphi \in \Omega_+^3(M)$  of the equations (1.3) will define on  $M$  a metric  $g(\varphi)$  of holonomy  $G_2$ .

**2.3. The gluing theorem.** A  $G_2$ -structure form  $\varphi_T$  is constructed by patching the solutions of (1.3) when joining the two pieces of  $M$ . This uses cut-off functions which introduce ‘error terms’ in the equations. In fact we can achieve  $d\varphi_T = 0$  for all  $T$  and satisfy one of the two equations in (1.3), but the term  $d*_T\varphi_T$  in general will not vanish. The error terms depend on the difference between the  $SU(3)$ -structures on the end of  $W_i$  and on its cylindrical asymptotic model, and we have an estimate

$$\|d*_T\varphi_T\|_{L_k^p} < C_{p,k} e^{-\lambda T},$$

where  $0 < \lambda < 1$ . Here  $*_T$  denotes the Hodge star of the metric  $g(\varphi_T)$ .

We prove the following.

**THEOREM 2.3.** *There exists  $T_0 \in \mathbb{R}$  and for every  $T \geq T_0$  a unique smooth 2-form  $\eta_T$  on  $M$  so that the following holds.*

- (1)  $\|\eta_T\|_{C^1} < \text{const} \cdot e^{-\mu T}$ , for some  $0 < \mu < 1$ , where the  $C^1$ -norm is defined using the metric  $g(\varphi_T)$ . In particular,  $\varphi_T + d\eta_T$  is in  $\Omega_+^3(M)$ .
- (2) The closed 3-form  $\varphi_T + d\eta_T$  satisfies

$$(2.5) \quad d *_{\varphi_T + d\eta_T} (\varphi_T + d\eta_T) = 0.$$

and so  $\varphi_T + d\eta_T$  defines a metric of holonomy  $G_2$  on  $M$ .

The equation (2.5) can be rewritten, using the results of [4, §10.3] as a non-linear elliptic PDE for  $\eta$ . For small  $\eta$ , this PDE has the form  $a(\eta) = a_0 + A\eta + Q(\eta) = 0$ , where  $a_0 = d*_T\varphi_T$ , the linear elliptic operator  $A = A_T$  is a compact perturbation of the Hodge Laplacian of the form  $dd^* + d^*d + O(e^{-\delta T})$ , and  $Q(\eta) = O(|d\eta|^2)$ .

The central idea in the proof of Theorem 2.3 may be informally stated as follows. For small  $\eta$ , the map  $a(\eta)$  is approximated by its linearization and so there is a unique small solution  $\eta$  to the equation  $a(\eta) = 0$ , for every small  $a_0$  in the range of  $A$ . This perturbative approach requires the invertibility of  $A$  and a suitable upper bound on the operator norm  $\|A_T^{-1}\|$ , as  $T \rightarrow \infty$ . This bound determines what is meant by ‘small’  $a_0$  in this paragraph.

As we actually need the value of  $d\eta$  rather than  $\eta$ , there is no loss in restricting the equation (2.5) for  $\eta$  to the orthogonal complement of harmonic 2-forms on  $M$  where the Laplacian is invertible. We use the technique of [5, §4.1] based on Fredholm theory for the asymptotically cylindrical manifolds and weighted Sobolev spaces to find an upper bound  $\|A_T^{-1}\| < Ge^{\delta T}$ . Here the constant  $G$  is independent of  $T$  and  $\delta > 0$  can be taken arbitrary small. So, for large  $T$ , the growth of  $\|A_T^{-1}\|$  is negligible compared to the decay of  $\|d*_T\varphi_T\|$  and the ‘inverse function theorem’ strategy applies to give the required small solution  $\eta_T$ . Standard elliptic methods show that this  $\eta_T$  is in fact smooth.

### 3. Examples arising from Fano threefolds

For applications of the construction given in Section 2 we need, as a start, to find Kähler threefolds satisfying the hypotheses of Theorem 2.2.

**3.1. Introduction to Fano threefolds.** The following example is classical in algebraic geometry.

The intersection of three generically chosen quadric hypersurfaces in  $\mathbb{C}P^6$  defines a smooth Kähler threefold  $X_8$ . It is simply-connected and the characteristic class  $c_1(X_8)$  of its anticanonical bundle is the pull-back to  $X_8$  of the positive generator of the cohomology ring  $H^*(\mathbb{C}P^6)$ . That is to say, the anticanonical bundle  $K_{X_8}^{-1}$  is the restriction to  $X_8$  of the tautological line bundle  $\mathcal{O}(1)$  over  $\mathbb{C}P^6$ . It follows that any anticanonical divisor  $D$  on  $X_8$  is obtained by taking an intersection  $D = X_8 \cap H$  with a hyperplane  $H$  in  $\mathbb{C}P^6$ . A generic such hyperplane section  $D$  is a complex surface, isomorphic to a smooth complete intersection of three quadrics in  $\mathbb{C}P^5$ . This is a well-known example of a K3 surface.

We next look at the normal bundle of  $X_8$  in  $D$ . An adjunction-type argument shows that the normal bundle will be trivial if we can find another anticanonical divisor  $D'$  on  $X_8$  such that  $D'$  does not meet  $D$ . But  $D' = X_8 \cap H'$  and the second hyperplane section  $C = D \cap D' = X_8 \cap H \cap H'$  is *never* empty—it is an algebraic curve (intersection of three quadrics) in  $\mathbb{C}P^4$ . Fortunately, a suitable threefold can be obtained by blowing up the curve  $C$ . The K3 divisor  $D$  lifts via the blow-up map  $\tilde{X}_8 \rightarrow X$  to an isomorphic K3 surface  $\tilde{D}$  which is an anticanonical divisor in  $\tilde{X}_8$  and has trivial normal bundle. Moreover, a Kähler metric on  $\tilde{X}_8$  may be chosen so that  $\tilde{D}$  and  $D$  are isometric Kähler manifolds.

Finally, as both  $\tilde{D}$  and  $X_8$  are simply-connected we find that the only possibility for a nontrivial generator of  $\pi_1(X_8 \setminus D)$  would be a circle around  $\tilde{D}$ . But this circle contracts in an exceptional curve as this curve meets  $\tilde{D}$  is exactly one point. Hence  $\tilde{X}_8 \setminus \tilde{D}$  is simply connected. The pair  $\tilde{X}_8, \tilde{D}$  now satisfies all the hypotheses of Theorem 2.2, and so the quasiprojective threefold  $W = \tilde{X}_8 \setminus \tilde{D}$  admits an asymptotically cylindrical Ricci-flat Kähler metric of holonomy  $SU(3)$ .

The threefold  $X_8$  in the above example can be replaced by an arbitrary (smooth) projective-algebraic threefold  $V$  with  $c_1(V) > 0$ , i.e. a *Fano threefold*. Fano threefolds have been extensively studied over the past few decades and a lot is known about them. In particular, they are simply-connected and a generic anticanonical divisor  $D$  on a Fano threefold is a K3 surface [10]. It can be shown that the threefolds  $\tilde{V} \setminus \tilde{D}$  are again simply-connected and we obtain, by application of Theorem 2.2 the following.

**PROPOSITION 3.1.** *Let  $V$  be a Fano 3-fold,  $D \in |-K_V|$  a K3 surface, and  $\tilde{V}$  the blow-up of  $V$  along a self-intersection curve  $D \cdot D$ , and  $\tilde{D}$  the proper transform of  $D$ . Then  $\tilde{V} \setminus \tilde{D}$  has a complete Ricci-flat Kähler metric with holonomy  $SU(3)$ . This metric is asymptotic to the Riemannian product  $D \times S^1 \times \mathbb{R}_{>0}$ , where the Ricci-flat Kähler metric on  $D$  is in the Kähler class induced by the embedding in  $V$ .*

**3.2. Matching the K3 divisors.** Let  $V_1, V_2$  be Fano threefolds and  $D_1, D_2$ , respectively, anticanonical K3 divisors on these. Recall that by Yau's theorem each of the Kähler K3 surfaces  $D_i$  has a uniquely determined Ricci-flat Kähler metric in its Kähler class. If the two Ricci-flat Kähler structures in the Kähler classes of  $D_i \subset V_i$  are hyper-Kähler rotations of each other then, in view of Proposition 3.1,

we can proceed to the construction of a generalized connected sum  $M$  from  $\tilde{V}_i \setminus \tilde{D}_i$ , as described in Section 2.2. The 7-manifold  $M$  admits metrics of holonomy  $G_2$  by Theorem 2.3. In this case, we shall say that a compact  $G_2$ -manifold  $M$  is *constructed from the pair of Fano threefolds  $V_1$  and  $V_2$* . Can we choose  $D_1$  and  $D_2$  so as to satisfy the required hyper-Kähler rotation condition?

We have the freedom to move a K3 surface in the anticanonical linear system of  $V$  and to deform  $V$  in its algebraic family of Fano threefolds. Recall also from Section 2.2 that there is an  $S^1$ -family of choices for the second complex structure  $J$  on each  $D_i$ . It turns out that, with this freedom, a pair of ‘matching divisors’  $D_1, D_2$  can always be found. We now briefly explain, ignoring some important technical points, the ideas in the solution of the matching problem.

All the K3 surfaces are deformations of each other and are diffeomorphic as real 4-manifolds. In particular, their second cohomology lattices are isomorphic to the (unique) even unimodular lattice  $L$  of signature  $(3, 19)$ , known as the K3 lattice. Respectively,  $L \otimes \mathbb{C}$  is isomorphic to the second cohomology with complex coefficients and inherits the Hodge decomposition. A Kähler isometry between two K3 surfaces induces a so-called effective Hodge isometry between their second cohomology lattices, preserving the Hodge decomposition and mapping the Kähler class of one K3 to the Kähler class of the other. Surprisingly, the global Torelli theorem for K3 surfaces asserts that the converse is also true: any effective Hodge isometry between second cohomology of two K3 surfaces arises as the pull-back of a unique biholomorphic map between these K3 surfaces [1, Ch.VIII]. The latter map will necessarily be an isometry between *Ricci-flat* Kähler K3 surfaces because of the uniqueness of a Ricci-flat Kähler metric in a Kähler class.

We can identify, using a version of the Kodaira–Spencer–Kuranishi deformation theory, the data of Hodge decomposition and Kähler class which occurs in the anticanonical K3 divisors in a given algebraic family of Fano threefolds. The problem of choosing a matching pair of K3 divisors  $D_i$  in Fano threefolds  $V_i$  then reduces to a problem in the arithmetic of the K3 lattice.

The solution of this problem gives us the following general result.

**THEOREM 3.2.** *For any pair of algebraic families  $\mathcal{V}_1, \mathcal{V}_2$  of Fano threefolds there exists (smooth)  $V_1 \in \mathcal{V}_1$ ,  $V_2 \in \mathcal{V}_2$  such that a compact  $G_2$ -manifold  $M$  can be constructed from  $V_1, V_2$ .*

*This  $G_2$ -manifold satisfies*

$$0 \leq b_2(M) \leq \max\{b_2(V_1), b_2(V_2)\} - 1$$

*and*

$$b_2(M) + b_3(M) = b_3(V_1) - K_{V_1}^3 + b_3(V_2) - K_{V_2}^3 + 27.$$

**EXAMPLE 3.3.** A smooth complete intersection  $X_8$  of three quadrics in  $\mathbb{C}P^6$  has  $b^2 = 1$ ,  $b^3 = 28$ , and  $-K^3 = 8$ . According to Theorem 3.2, an appropriate choice of two such complete intersections  $V_8^{(1)}, V_8^{(2)} \subset \mathbb{C}P^6$  and of a hyperplane section  $D_i$  in each of the  $V_8^{(i)}$  provides data for the construction of a compact  $G_2$ -manifold  $M$ . We obtain  $b_2(M) = 0$  and  $b_3(M) = 99$ . Also  $M$  is simply-connected. This  $G_2$ -manifold is not homeomorphic to any of the examples constructed in [4].

**3.3. Discussion of the results.** There is a complete classification of smooth Fano threefolds into 104 algebraic families [3, 8]. This provides 5,460 different

pairs to form the generalized connected sums, leading to examples of compact  $G_2$ -manifolds. As any Fano threefold has  $1 \leq b^2 \leq 10$ , we have

$$b_2(M) \leq 9,$$

for any  $G_2$ -manifold  $M$  constructed from a pair of Fano varieties. Further inspecting the classification list of Fano varieties, we find that

$$39 \leq b_2(M) + b_3(M) \leq 239$$

and, in particular,  $b_3(M) \geq 30$ . (Recall that  $b^1 = 0$  for any  $G_2$ -manifold, therefore  $b^2, b^3$  determine all the Betti numbers of  $M$ .)

It is an interesting question to identify the most general class of Kähler threefolds  $\overline{W}$  for which the hypotheses of Theorem 2.2 hold. If the anticanonical linear systems on a pair of such  $\overline{W}$  are ‘large enough’ then the connected sum defined in Section 2 can be formed and will admit  $G_2$ -metrics. It seems that the blow-ups  $\tilde{V}$  of smooth Fano threefolds discussed in this section can be generalized to include at least manifolds obtained by resolution of singularities in some singular Fano varieties.

A pair of Fano threefolds in general yields several topologically distinct compact  $G_2$ -manifolds. Example in [6, §8] shows two topologically distinct  $G_2$ -manifolds constructed from a pair of  $\mathbb{C}P^2 \times \mathbb{C}P^1$ ’s, realizing both of the values  $b_2(M) = 0$  and  $b_2(M) = 1$  allowed in this case by Theorem 3.2. Of course, the counting of pairs of Betti numbers  $(b^2, b^3)$  only gives a lower estimate of the actual number of topological types realized by our examples of compact  $G_2$ -manifolds.

In any event, the majority of smooth Fano threefolds have the Betti number  $b^2 \leq 4$  and respectively the  $G_2$ -manifolds constructed from these have  $b^2 \leq 3$ . On the other hand, a majority of the compact  $G_2$ -manifolds constructed in [4] have  $b^2 > 3$ . Thus most of the compact  $G_2$ -manifolds constructed from smooth Fano threefolds can be easily identified as new examples, topologically distinct from those previously known.

Another interesting property of the connected sum construction is that it exhibits a new type of boundary point in the moduli space of all  $G_2$ -metrics on the given compact 7-manifold  $M$ . Any 1-parameter family  $\varphi_T + d\eta_T$  of  $G_2$ -metrics given by the gluing Theorem 2.3 defines a path in the moduli space. The boundary point attained as  $T \rightarrow \infty$  corresponds to pulling apart a  $G_2$ -manifold at a cross-section  $K3 \times (2\text{-torus})$ , obtaining a pair of asymptotically cylindrical pieces. The approach to the boundary of the moduli space in this case involves no development of singularities, nor a curvature growth. This becomes important in [7] where we construct the first examples of fibrations of compact  $G_2$ -manifolds by certain *minimal* submanifolds called coassociative calibrated submanifolds. The fibrations are an odd-dimensional non-holomorphic analogue of the well-known elliptic fibrations of  $K3$  surfaces.

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